

Data-Driven Affinely Adjustable Robust Volt/VAr Control

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Abstract—Recent years have seen the increasing proliferation of distributed energy resources with intermittent power outputs, posing new challenges to the voltage management in distribution networks. To this end, this paper proposes a data-driven affinely adjustable robust Volt/VAr control (AARVVC) scheme, which modulates the smart inverter’s reactive power in an affine function of its active power, based on the voltage sensitivities with respect to real/reactive power injections. To achieve a fast and accurate estimation of voltage sensitivities, we propose a data-driven method based on deep neural network (DNN), together with a rule-based bus-selection process using the bidirectional search method. Our method only uses the operating statuses of selected buses as inputs to DNN, thus significantly improving the training efficiency and reducing information redundancy. Finally, a distributed consensus-based solution, based on the alternating direction method of multipliers (ADMM), for the AARVVC is applied to decide the inverter’s reactive power adjustment rule with respect to its active power. Only limited information exchange is required between each local agent and the central agent to obtain the slope of the reactive power adjustment rule, and there is no need for the central agent to solve any (sub)optimization problems. Numerical results on the modified IEEE-123 bus system validate the effectiveness and superiority of the proposed data-driven AARVVC method.

Index Terms—Volt/VAr control, voltage sensitivities, bidirectional search method, data-driven method.

I. INTRODUCTION

VOLT/VAr control (VVC) has always been a critical issue for power system operations. According to the standard by American National Standards Institute [1], the voltage level should be maintained within a secure range, otherwise the performance of electrical equipment might be affected.

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Along with the growing trend of distributed energy resources (DERs), the ability of voltage support for distribution networks also needs further improvements. According to the IEEE standard 1547-2018, proactive voltage regulations are mandatory rather than optional for power systems [2]. But considering the long reaction time and high operation cost, the legacy voltage regulation devices cannot provide dynamic voltage support in shorter time periods against the fluctuating voltage issues. Compared with switch-based legacy voltage regulation devices, power electronics-based smart inverters have a much shorter response time and better controllability [3]. They can both absorb or inject reactive power to eliminate the rapid voltage fluctuations across power systems. Authors in [4] declaim that the high penetration of DERs may bring more difficulties in coordinating different voltage regulation devices.

In order to coordinate both the switch-based discrete devices and responsive smart inverters for voltage regulation, VVC problems in distribution networks are often formulated as optimal power flow (OPF) problems to maintain the system voltage level within a pre-defined range while accomplishing different objectives, e.g., minimizing system loss [5], reducing system cost [6] or minimizing system voltage deviations [7]. Taking full advantage of measurements, communications and control capabilities, different VVC strategies are proposed. In [8], a centralized VVC framework is proposed for day-ahead scheduling of different voltage regulation devices. To address voltage issues in different timescales caused by the stochastic and intermittent nature of DER, a robust two-stage VVC strategy is proposed in [5] to coordinate the discrete and continuous voltage regulation devices and find a robust optimal solution, which can cope with any possible realization within the uncertain DER output. However, the VVC problems in [5], [8] are solved in a centralized manner, leading to high communication costs and computational burdens. As discussed in [9], the advantages of distributed algorithms over centralized approaches in power systems include: (1) Limited information sharing, which can improve cybersecurity and protect data privacy; (2) Robustness with respect to the failure of individual agents; (3) The ability to perform parallel computations and better scalability. Distributed VVC strategies, based on the Alternating Direction Method of Multipliers (ADMM) [10] or projected Newton method, are applied to coordinate photovoltaic inverters [11], [12], and wind turbines [13], relying on the communication between neighboring buses/zones or the communication between the central agent and local agents.

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In the centralized and distributed VVC strategies, the reactive power outputs of DERs highly rely on communication and coordination across distribution systems, lacking the self-regulation ability of local DERs to some extent. In order to enhance the self-regulation ability of local DERs, some local voltage control strategies are proposed to combine with the centralized and distributed VVC strategies. For instance, local voltage controls are combined with centralized/distributed VVC strategies in [14], [15], [16]. The local voltage control always adjusts the reactive power outputs of DERs as a function of voltage magnitude following a given ‘Volt-Q’ piecewise linear characteristic. The characteristics and performance of droop control are tested in [17], [18]. However, according to [19], [20], the droop control may lead to some stability or feasibility issues under certain circumstances. Adaptive droop control methods are introduced in [21], [22], where the slopes and intercepts are varying in real-time to improve the stability and feasibility performance. According to IEEE 1547-2018 standard [2], it calls for supplemental capabilities – the ‘P-Q’ rule, other than the ‘Volt-Q’ rule, needed to adequately integrate DERs when the aggregated DER penetration is higher or the overall DER power output is subject to frequent large variations. For the ‘P-Q’ rule, the smart inverter’s reactive power adjustment is based on its local real-time active power rather than its voltage magnitude. More specifically, the smart inverter’s reactive power is adjusted as a function of its active power following a given/pre-defined ‘P-Q’ characteristic. In [23], the reactive power outputs of DERs are adjusted based on a quadratic relationship with the active power outputs. Researchers in [24] introduce a dynamic VVC strategy with several states, where the ‘Volt-Q’ rule and the ‘P-Q’ rule are applied to different operating statuses, respectively.

How to determine a ‘P-Q’ rule is the key to achieving good voltage regulation performances. By projecting the complex power flow relationship into linear space, the voltage deviations caused by the power injection fluctuations can be approximated rapidly [25] using voltage sensitivities. Taking advantage of voltage sensitivity analysis, different ‘P-Q’ control rules for voltage regulation are investigated. For example, in [26], an affine ‘P-Q’ rule is introduced against the voltage deviations caused by PV uncertainties, where the reactive power adjustment ratio is obtained by solving an optimization problem with voltage sensitivities as parameters. Besides, the affine ‘P-Q’ rule is further refined by incorporating voltage and inverter limit constraints in [27], resulting in fewer voltage violations and reactive power usages. But the ‘P-Q’ rules in [26], [27] are determined in a system-wise centralized manner. In [28], a network partition method is applied to divide the system into several zones, where the ‘P-Q’ rule for each zone is separately determined. That is, the ‘P-Q’ rule is determined in a zone-wise centralized manner without considering the interactions among zones. Both the system-wise and zone-wise centralized manner require a large amount of information exchanging and computational burdens. Moreover, as mentioned before, voltage sensitivities are the key parameters for performing ‘P-Q’ rules. In [26], the voltage sensitivities are calculated by inverting the Jacobian matrix, requiring a large amount of computation and system topology information.

Authors in [27] utilize the surface fitting technique [29], a non-linear regression method, to estimate voltage sensitivities, where each bus voltage sensitivity is approximately calculated based on the mapping from its local power injections to its local voltage. However, this technique does not consider the influences from other buses on the local bus voltage sensitivity. The sensitivity analysis in [28] relies on the perturb and observe method, which means to repeatedly inject a small amount of power at one node and calculate the impact on bus voltages. The perturb and observe method requires repeatedly solving the power flow.

To this end, a data-driven method is proposed for fast estimation of voltage sensitivities without requiring system topology information. Compared with conventional methods, e.g., inverting Jacobian matrices or the perturb and observe method, the proposed method is much faster. Based on the estimated voltage sensitivities, an affinely adjustable robust Volt/Var control (AARVVC) scheme is further proposed to mitigate voltage issues against the PV uncertainty. In the first stage, the switch-based discrete devices and the base reactive power set points for PV inverters are determined with the goal of minimizing the total system power losses. In the second stage, the reactive power outputs of PV inverters are further adjusted, following a data-driven affine ‘P-Q’ control rule, to reduce possible voltage fluctuations, which is decided in a hierarchical distributed manner. The main contributions of this work are listed as follows:

- A data-driven method, based on the deep neural network (DNN), is proposed to predict voltage sensitivities. Given the voltage magnitudes and power injections of pre-selected buses as inputs, the well-trained DNNs output the corresponding voltage sensitivity parameters, which are of great importance for determining the affine ‘P-Q’ rule. It greatly improves the speed of calculating voltage sensitivities while maintaining high prediction accuracy.
- To improve the training efficiency and reduce redundant information, a feature-selection process, based on the rule-based bus selection with a Bidirectional Search (BDS) process [30], is proposed. The operating statuses of each bus, including the bus active and reactive power injections and voltages, are regarded as one feature. Then the bus-selection problem can be converted into a feature-selection problem. By applying the rule-based bus selection process, the operating statuses of a selected subset of buses, instead of the whole system, are sufficient for the fast and accurate voltage sensitivity estimation.
- The slope of the affine ‘P-Q’ rule is obtained using the consensus-based ADMM algorithm. Taking advantage of the hierarchical distributed solution structure, the optimization problem is divided into subproblems and solved by each local agent while only simple averaging calculation is processed at the center agent. It leads to lower computational burdens for the center. Additionally, relying on the communication between the central agent and local buses, the distributed consensus-based AARVVC requires less information than the system-wise and zone-wise centralized manners, which protects local information privacy.

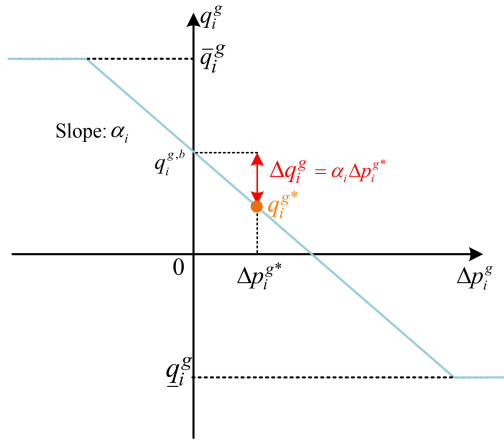


Fig. 1. The reactive power adjustment following an affine ‘P-Q’ rule.

194 The rest of the paper is organized as follows. Section II
 195 provides an overview of the proposed two-stage VVC strat-
 196 egy. The first-stage VVC strategy is formulated in Section III.
 197 Section IV presents the second-stage VVC strategy, including
 198 the data-driven voltage sensitivity estimation and the distri-
 199 buted consensus-based AARVVC. Numerical results on the
 200 modified IEEE-123 bus system are given in Section V and the
 201 paper is concluded in Section VI.

202 II. TWO-STAGE VVC FRAMEWORK: OVERVIEW

203 The paper proposes a two-stage VVC framework. Based
 204 on the predicted information, the first stage aims to minimize
 205 the system power losses by dispatching the optimal settings
 206 of switch-based discrete devices and determining the optimal
 207 base reactive power set points for PV inverters. Considering
 208 the long reaction time of the discrete voltage control devices,
 209 the first-stage VVC has a slow timescale. However, only rely-
 210 ing on the forecast values, the intermittent nature of PV may
 211 cause unexpected voltage deviations.

212 In the second stage, the PV deviation from its forecast value
 213 is considered. On the basis of its reactive power set point deter-
 214 mined in the first stage, each PV inverter further adjusts its
 215 reactive power along with its real-time active power output to
 216 avoid potential voltage violations. The reactive power adjust-
 217 ment of PV inverter follows an optimal affine ‘P-Q’ rule. As
 218 shown in Fig. 1, $q_i^{g,b}$ is the PV inverter’s base reactive power
 219 set point determined in the first stage, and $\Delta p_i^{g,*}$ is the PV de-
 220 viation from its forecast value. Upon the optimal affine ‘P-Q’
 221 rule, the PV inverters’ real-time reactive power can be adjusted
 222 as follows:

$$223 \quad q_i^{g,*} = q_i^{g,b} + \Delta q_i^g \quad (1)$$

224 with

$$225 \quad \Delta q_i^g = \alpha_i \Delta p_i^{g,*} \quad (2)$$

226 where α_i is the slope of the affine ‘P-Q’ rule.

227 The value of α_i is determined by solving an affinely
 228 adjustable robust problem with the goal of minimizing voltage
 229 deviations caused by the PV fluctuations. Note that voltage
 230 sensitivities with respect to active/reactive power injections

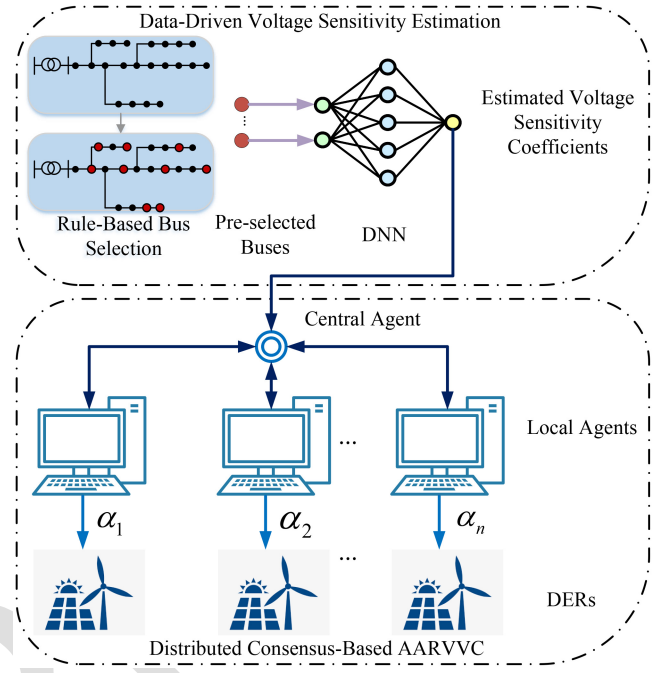


Fig. 2. The data-driven AARVVC for the second-stage VVC.

231 are the key parameters to determine the optimal affine ‘P-Q’
 232 rule. Conventionally, the voltage sensitivities can be estimated
 233 by inverting the Jacobian matrix or using the perturb and
 234 observe method, which could be time-consuming. To this end,
 235 we propose a data-driven AARVVC to determine the optimal
 236 affine ‘P-Q’ rule in the second stage. As shown in Fig. 2,
 237 the data-driven AARVVC for the second-stage VVC consists
 238 of two steps: (1) Data-driven voltage sensitivity estimation;
 239 (2) Distributed consensus-based AARVVC.

240 With respect to the data-driven voltage sensitivity estima-
 241 tion, the DNN is utilized to predict voltage sensitivities by
 242 using the operating statuses, including the bus active and reac-
 243 tive power injections and voltages, as the input. The operating
 244 statuses of each bus can be regarded as one input feature
 245 for the DNN. To improve the training efficiency and reduce
 246 redundant information behind features, a rule-based bus selec-
 247 tion with a BDS process is first utilized to select a subset of
 248 buses whose operating statuses have a more important and
 249 greater impact on the voltage sensitivity estimation. More
 250 details about the rule-based bus selection process are pro-
 251 vided in Section IV. Then, the DNN-based voltage sensitivity
 252 estimation is performed to predict voltage sensitivities.

253 Finally, a distributed consensus-based AARVVC is
 254 proposed to determine the optimal ‘P-Q’ rule of each PV
 255 inverter in a hierarchical manner after receiving the esti-
 256 mated voltage sensitivities from the DNN. The communication
 257 between the local bus agents and the central agent is required
 258 for information exchange. As every local bus agent reaches a
 259 consensus with the central agent on the optimal ‘P-Q’ rule,
 260 the communication process halts.

261 III. FIRST-STAGE VVC STRATEGY

262 The first-stage VVC strategy is a deterministic OPF problem
 263 to determine the step positions of discrete devices and the

264 optimal base reactive power set points for PV inverters based
 265 on the forecast values of DERs. The objective of this first
 266 stage is to minimize the total power losses while maintaining
 267 system voltages within the range of [0.95, 1.05].

268 A. The Distribution Network

269 Consider a radial distribution network containing $n+1$ buses
 270 represented as set $\{0\} \cup \mathcal{N}$, where $\{0\}$ denotes the slack bus
 271 at which the distribution network is connected to the trans-
 272 mission network and set $\mathcal{N} := \{1, \dots, n\}$ denotes all other
 273 buses. Hence the radial network contains n line segments con-
 274 necting the adjacent buses. For any bus $j \in \mathcal{N}$, \mathcal{N}_j is the
 275 set of all children buses of bus j . The set consisting all line
 276 segments in the distribution network can be expressed as:
 277 $\mathcal{L} = \{\ell_j = (i, j) | i = b^p(j), j \in \mathcal{N}\}$, where $b^p(j)$ denotes the
 278 parent bus of bus j . For each line segment $(i, j) \in \mathcal{L}$, let
 279 P_{ij} and Q_{ij} represent the active/reactive power flow through
 280 the line respectively, r_{ij} and x_{ij} denote the line resistance and
 281 reactance. Let p_i and q_i represent the active and reactive power
 282 injections of bus i , V_i and v_i denote the voltage magnitude and
 283 the squared voltage magnitude of bus i . Then the linearized
 284 distribution power flow [31], [32] can be expressed as:

$$285 \quad P_{ij} = \sum_{k \in \mathcal{N}_j} P_{jk} - p_j \quad (3a)$$

$$286 \quad Q_{ij} = \sum_{k \in \mathcal{N}_j} Q_{jk} - q_j \quad (3b)$$

$$287 \quad v_i - v_j = 2(r_{ij}P_{ij} + x_{ij}Q_{ij}) \quad (3c)$$

288 B. First-Stage VVC Problem Formulation

289 On the basis of the linearized distribution power flow, the
 290 first-stage VVC problem is formulated as¹:

$$291 \quad \min F = \sum_{(i,j) \in \mathcal{L}} r_{ij} \cdot \frac{P_{ij}^2 + Q_{ij}^2}{v_{nom}} \quad (4)$$

292 subject to:

$$293 \quad P_{ij} = \sum_{k \in \mathcal{N}_j} P_{jk} + p_j^l - p_j^g, \forall j \in \mathcal{N} \quad (5a)$$

$$294 \quad Q_{ij} = \sum_{k \in \mathcal{N}_j} Q_{jk} + q_j^l - q_j^g - q_j^c, \forall j \in \mathcal{N} \quad (5b)$$

$$295 \quad v_i - v_j = 2(r_{ij}P_{ij} + x_{ij}Q_{ij}), \forall (i, j) \in \mathcal{L} \quad (5c)$$

$$296 \quad v_0 = 1 + 2n_{tap}\Delta tap + (n_{tap}\Delta tap)^2 \quad (5d)$$

$$297 \quad \approx 1 + 2n_{tap}\Delta tap$$

$$298 \quad \underline{n}_{tap} \leq n_{tap} \leq \bar{n}_{tap}, n_{tap} \in \mathbb{Z} \quad (5e)$$

$$299 \quad \left| n_{tap} - n_{tap}^p \right| \leq \Delta n_{tap} \quad (5f)$$

$$300 \quad q_i^c = n_i^c \cdot \Delta q_i^c, n_i^c \in \mathbb{Z}, \forall i \in \mathcal{N} \quad (5g)$$

$$301 \quad 0 \leq n_i^c \leq \bar{n}_i^c, \forall i \in \mathcal{N} \quad (5h)$$

$$302 \quad \left| n_i^c - n_i^{p,c} \right| \leq \Delta n_i^c, \forall i \in \mathcal{N} \quad (5i)$$

¹For this first-stage VVC problem, the power losses can be approximated
 by $\sum_{(i,j) \in \mathcal{L}} r_{ij} \cdot \frac{P_{ij}^2(t) + Q_{ij}^2(t)}{v_{nom}}$ to convexify the optimization problem, like
 [33], [34].

$$-\bar{q}_i^g \leq q_i^g \leq \bar{q}_i^g, \forall i \in \mathcal{N} \quad (5j) \quad 303$$

$$\bar{q}_i^g = \sqrt{S_i^2 - (p_i^g)^2}, \forall i \in \mathcal{N} \quad (5k) \quad 304$$

$$\underline{v} \leq v_i \leq \bar{v}, \forall i \in \mathcal{N} \quad (5l) \quad 305$$

where (4) represents the first-stage VVC goal is to minimize
 the total power losses. Constraints (5a)-(5c) are the linearized
 power flow constraints. Equation (5d) represents the volt-
 age of the swing bus considering the on-load tap changing
 transformer (OLTC) where n_{tap} denotes the tap position and
 Δtap denotes the tap step size. A linear approximation is
 applied to (5d). Equations (5e) and (5f) are the operational
 constraints of OLTC, where n_{tap}^p is the previous tap posi-
 tion. The operational constraints of capacitor banks and PV
 inverters are presented in (5g)-(5i) and (5j)-(5k), where $n_i^{p,c}$
 denote the previous number of capacitor banks. Equation (5l)
 is the voltage constraint. Including the settings of the switch-
 based discrete devices as controllable variables, the first-stage
 VVC is a mixed-integer optimization problem. By running
 the first-stage VVC optimization, the optimal step positions
 of switch-based discrete devices and the base reactive power
 set points for PV inverters can be obtained. With respect to
 the first-stage VVC, the optimization variables include:

(1) Exogenous variables:

$$q_i^g, q_i^c, n_i^c, \forall i \in \mathcal{N}, \text{ and } n_{tap} \quad 325$$

(2) Endogenous variables:

$$P_{ij}, Q_{ij}, \forall (i, j) \in \mathcal{L} \quad 327$$

$$v_0, v_i, \forall i \in \mathcal{N} \quad 328$$

However, the fluctuating nature of PV is not considered
 in the first-stage VVC, and the real-time PV generation may
 vary rapidly and deviate from its forecast value, potentially
 leading to voltage violations. Due to the slow response time
 of the legacy voltage control devices like OLTCs and capacitor
 banks, the first-stage VVC may not be capable of dealing with
 such fast voltage deviations. To this end, a second-stage VVC
 strategy is proposed to resolve voltage issues by adjusting PV
 inverters' reactive power in real-time.

IV. SECOND-STAGE VVC STRATEGY: REAL-TIME ADJUSTMENT OF REACTIVE POWER

The second-stage VVC strategy focuses on the real-time
 adjustment for the reactive power outputs of inverters. In the
 first stage, the base reactive power set points for inverters are
 determined based on the forecast values of PV outputs without
 considering the uncertain characteristic of renewable energy.
 To avoid potential voltage issues caused by the PV fluctua-
 tions, the second-stage VVC is proposed for reactive power
 adjustment. A 'P-Q' affine rule is applied as the adjustment
 rule. The reactive power of PV inverter at bus i after the
 adjustment can be expressed as (6):

$$q_i^{g*} = q_i^{g,b} + \alpha_i \cdot \Delta p_i^g \quad (6) \quad 350$$

Here the PV inverter reactive power q_i^{g*} can be split into
 two parts: the non-adjustable (or deterministic) part $q_i^{g,b}$, and
 the adjustable part which is expressed as an affine function

of the PV deviation Δp_i^g with the slope α_i . Note that $q_i^{g,b}$ is the optimization solution of q_i^g in the first-stage VVC. Given the slope α_i , the reactive power adjustment can be calculated immediately with the real-time PV output. Therefore, the second-stage VVC strategy allows the real-time adjustment of PV inverter's reactive power in accordance with its real-time active power output to mitigate the voltage fluctuation.

A. Second-Stage Problem Formulation: Robust Optimization Solution

The aim of the second-stage VVC strategy is to minimize the system voltage deviations due to the rapid PV fluctuations by adjusting inverters' reactive power following the optimal affine 'P-Q' rule.

Let \mathcal{N}_G denote the set of all buses with PVs installed. For any bus $i \in \mathcal{N}$, its voltage deviation can be estimated based on voltage sensitivity:

$$\Delta V_i = \sum K_{ij}^p \cdot \Delta p_j^g + K_{ij}^q \cdot \Delta q_j^g, \forall j \in \mathcal{N}_G \quad (7)$$

where K_{ij}^p and K_{ij}^q are the voltage sensitivities at bus i to the active and reactive power injections at bus j , respectively.

It is worth mentioning that the PV deviation Δp_j^g from the base PV set point $p_j^{g,b}$ is an uncertain parameter:

$$\Delta p_j^g \in [\Delta p_j^{g,min}, \Delta p_j^{g,max}], \forall j \in \mathcal{N}_G \quad (8)$$

where $\Delta p_j^{g,min} \leq 0$, $\Delta p_j^{g,max} \geq 0$ indicates that the actual PV outputs can deviate from the predicted values in both positive and negative directions. The second-stage VVC strategy is expected to be robust against the PV output uncertainty.

Considering the uncertain parameter Δp_j^g , the second-stage VVC problem can be formulated as a robust optimization problem:

$$\min \sum_{i=1}^n |\Delta V_i| \quad (9)$$

subject to:

$$(7), (8)$$

To get rid of the absolute value operator in (9), an auxiliary variable V_i^{aux} is introduced, and the problem (9) can be rewritten as follows:

$$\min \sum_{i=1}^n V_i^{aux} \quad (10)$$

subject to:

$$(8)$$

$$V_i^{aux} \geq \sum_{j=1}^n (K_{ij}^p + \alpha_j \cdot K_{ij}^q) \cdot \Delta p_j^g, \forall i \in \mathcal{N}, \forall j \in \mathcal{N}_G \quad (11a)$$

$$V_i^{aux} \geq - \sum_{j=1}^n (K_{ij}^p + \alpha_j \cdot K_{ij}^q) \cdot \Delta p_j^g, \forall i \in \mathcal{N}, \forall j \in \mathcal{N}_G \quad (11b)$$

Given that Δp_i^g varies in the uncertainty interval, the corresponding affinely adjustable robust counterpart (AARC) [35]

of (11) can be reformulated as follows:

$$\min \sum_{i=1}^n V_i^{aux} \quad (12)$$

for $\forall i \in \mathcal{N}, \forall j \in \mathcal{N}_G$, subject to:

$$V_i^{aux} \geq \sum_{j=1}^n (\theta'_{ij} \cdot \Delta p_j^{max} + \theta''_{ij} \cdot \Delta p_j^{min}) \quad (13a)$$

$$V_i^{aux} \geq - \sum_{j=1}^n (\theta'_{ij} \cdot \Delta p_j^{min} + \theta''_{ij} \cdot \Delta p_j^{max}) \quad (13b)$$

$$\theta'_{ij} \geq 0 \quad (13c)$$

$$\theta''_{ij} \leq 0 \quad (13d)$$

$$\theta'_{ij} \geq K_{ij}^p + \alpha_j \cdot K_{ij}^q \quad (13e)$$

$$\theta''_{ij} \leq K_{ij}^p + \alpha_j \cdot K_{ij}^q \quad (13f)$$

where θ'_{ij} and θ''_{ij} are the dual variables. Finally, the AARC problem reduces to a linear problem [26], whose solution is the optimal slope α_i for each PV inverter.

With respect to the AARC problem, two main challenges should be considered:

(i) The first one is how to efficiently obtain the values of voltage sensitivities to the active/reactive power injections. Traditional methods to estimate voltage sensitivities, e.g., the inversion of Jacobian matrix and the perturb and observe method, can be time-consuming and complicated.

(ii) What's more is that the AARC problems (12) and (13) are formulated in a centralized manner, which means the central agent needs to collect all the information from local agents, leading to large computational burdens for the central agent.

To this end, we propose a data-driven AARVVC scheme consisting of the data-driven voltage sensitivity estimation and distributed consensus-based AARVVC.

B. Data-Driven Voltage Sensitivity Estimation

Reflecting the impact of power injections change on nodal voltages by projecting the complex power flow relationship into linear space, the voltage sensitivities K_{ij}^p and K_{ij}^q are important parameters in the optimization problem in (12)-(13). In other words, the optimal reactive power adjustment ratio of the affine function in (2) depends on accurate voltage sensitivity calculation. If the accuracy of voltage sensitivity estimation can not be guaranteed, it is difficult to get a reliable affine adjust ratio, thus significantly affecting the performance of the second-stage VVC. To this end, the data-driven voltage sensitivity estimation method is proposed.

The data-driven voltage sensitivity estimation includes the rule-based bus selection with a BDS process and the DNN-based voltage sensitivity estimation. The rule-based bus selection with a BDS process is applied to select a subset of buses whose operating statuses have a more important and greater impact on the voltage sensitivity estimation, thus improving the training efficiency and reducing redundant information. And the DNN-based voltage sensitivity estimation can efficiently predict voltage sensitivities with high accuracy.

443 1) *Rule-Based Bus Selection With a BDS Process*: The
444 relationship between the voltage deviations and the deviations
445 of bus power injections is presented as follows:

$$446 \begin{bmatrix} \Delta p \\ \Delta q \end{bmatrix} = \mathbf{J} \cdot \begin{bmatrix} \Delta \theta \\ \Delta V \end{bmatrix} \quad (14)$$

447 where \mathbf{J} is the Jacobian matrix, Δp and Δq are the deviations
448 of bus power injections, ΔV and $\Delta \theta$ represent the deviations
449 of voltage magnitudes and angles. This work mainly focuses
450 on the impact of bus power injections on voltage magnitudes.
451 By inverting the Jacobian matrix, the relationship between the
452 deviations of voltage magnitudes and the deviations of bus
453 power injections can be written as:

$$454 \Delta v = \begin{bmatrix} \mathbf{K}^p & \mathbf{K}^q \end{bmatrix} \cdot \begin{bmatrix} \Delta p \\ \Delta q \end{bmatrix} \quad (15)$$

455 where \mathbf{K}^p and \mathbf{K}^q in (15) are sub-matrices of \mathbf{J}^{-1} . The opera-
456 tion of matrix inversion can be time-consuming for large-scale
457 systems.

458 Conventionally, the entries of \mathbf{K}^p and \mathbf{K}^q can be calculated
459 from the power flow solutions, demanding operating statuses
460 of all buses. However, there is always redundant information
461 behind operating statuses of all buses. By introducing the
462 feature selection process, the information redundancy can be
463 reduced. What's more, from the point of practicality, it is not
464 easy to collect the operating statuses of every single bus and
465 use them for calculating the voltage sensitivities. The rule-
466 based feature selection process can pick out some key buses
467 whose operation statuses contain more valuable information
468 for voltage regulation, which makes the proposed data-driven
469 AARVVC more practical.

470 To this end, a rule-based bus selection with a BDS Process is
471 utilized to pick the key buses for voltage sensitivity estimation.
472 Only the operating statuses of the selected buses will be used
473 to perform voltage sensitivity estimation.

474 The operating statuses, including the bus active and reactive
475 power injections and its voltage, of each bus are regarded as
476 one feature, then the bus-selection problem can be converted
477 into a feature-selection problem, which can be resolved by the
478 BDS feature-selection method.

479 As a sequential searching strategy, BDS consists of two sep-
480 arate processes: a sequential forward selection (SFS) which
481 selects the feature that contributes most to improving the
482 estimation accuracy from the remaining feature set, and a
483 sequential backward selection (SBS) that deletes the feature
484 which contributes the least to improving accuracy from the
485 remaining feature set.

486 The procedure of the BDS is shown in **Algorithm 1: BDS-
487 Based Bus Selection** in detail. In step S2, E represents the
488 estimation error between the true and predicted voltage sensi-
489 tivities. Every feature from the feature set (B), combines with
490 the set of selected features (F) forming the input for training.
491 As for the feature union with the lowest error, the selected
492 feature from set B is added to set F . In step S3, each feature
493 in the current feature set B is temporarily excluded, and the
494 DNN models are trained based on the remaining feature sets.
495 By comparing the errors, one feature that contributes the least
496 information for voltage sensitivity estimation, which means the

Algorithm 1 BDS-Based Bus Selection

S1: Initialization: Define set $F=\emptyset$ and set $B=\mathcal{N}$, $m=0$, and the
number of buses to be selected n .

S2: SFS process:

Let set $\mathcal{I}=\{i|i \notin F \text{ and } i \in B\}$, which contains k buses
 $\{i_1, i_2, \dots, i_k\}$.

Initialize $i^* = i_1$, $\eta^* = E(F \cup i_1)$, where E is an indicator of
estimation error. The larger E is, the larger the error is.

for $i = i_1, i_2, \dots, i_k$,

$\eta = E(F \cup i)$.

if ($\eta \leq \eta^*$)

$i^* = i$

$\eta^* = \eta$

end if

end for

$F = F \cup \{i^*\}$

S3: SBS process:

Let set $\mathcal{J}=\{j|j \notin F \text{ and } j \in B\}$ which contains l buses
 $\{j_1, j_2, \dots, j_l\}$.

Initialize $j^* = j_1$, $\mu^* = E(B_k - j_1)$.

for $j = j_1, j_2, \dots, j_l$,

$\mu = E(B_k - j)$.

if ($\mu \leq \mu^*$)

$j^* = j$

$\mu^* = \mu$

end if

end for

$B = B - \{j^*\}$

**S4: Let $m = m + 1$, and go back to S2 until $m = n$, which means
that the pre-defined number of buses have been selected and
added to set F .**

well-trained DNN model achieves the highest accuracy with-
out this feature, will be finally removed from the current set B .
Note that features selected by SFS will not be deleted by SBS
while features removed by SBS will not be selected by SFS.
This can ensure that the two processes can converge to the
same solution from two directions.

In the second-stage VVC, the PV inverter's reactive power is
adjusted in accordance with its real-time active power. It indi-
cates that the operating statuses of buses with PV installed are
usually necessary for the AARVVC. From a practical point of
view, to reduce the investment in measuring devices, we fur-
ther define a rule to combine the key buses selected by the
BDS process and the buses with PV installed. The rule is
defined as follows: if one bus selected by the BDS process is
the neighboring bus of any bus with PV installed, then the bus,
selected by the BDS process, will be replaced by its neigh-
oring bus with PV installed. This rule is based on the intuition
that there are relatively strong correlations between the operat-
ing statuses of two neighboring buses. An illustration example
to explain the rule to merge buses selected by BDS and buses
with PV installed is depicted in Fig. 3.

2) *A DNN-Based Voltage Sensitivity Estimation*: The
buses, selected by the proposed rule-based bus selection, are
used for voltage sensitivity estimation. Instead of requiring the
operation statuses of the whole system, only the operating sta-
tuses of selected buses are set as the input of DNN. Aiming to
establish the mapping relationship from the input features to
the voltage sensitivities, supervised machine learning, using a
three-layer fully connected DNN, is performed. With the help

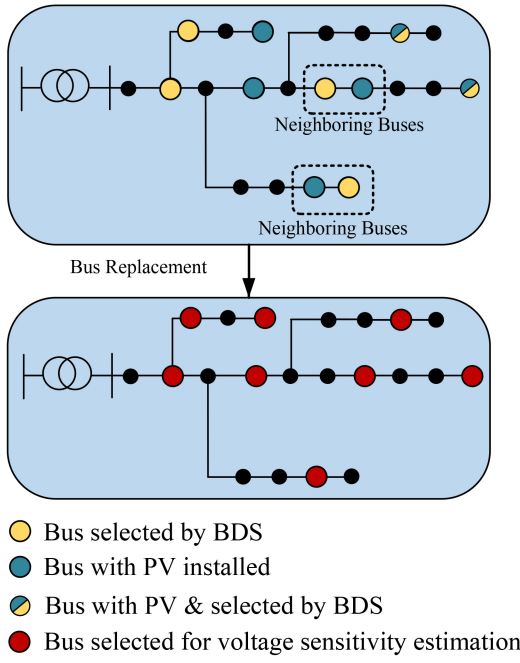


Fig. 3. Merging process of buses selected by BDS and buses with PV installed.

526 of the well-trained DNN, the estimated voltage sensitivities
 527 can be obtained in real-time. Compared with the conventional
 528 methods to calculate the voltage sensitivities, the DNN-based
 529 voltage sensitivity estimation can be much more efficient and
 530 more capable of coping with the rapidly changing operating
 531 statuses of power systems.

532 C. Distributed Consensus-Based AARVVC

533 To obtain the slope of the affine ‘P-Q’ rule for PV inverter
 534 in a distributed manner, we propose the distributed consensus-
 535 based AARVVC to solve the AARC problem (12)-(13). For
 536 each bus $i \in \mathcal{N}$, we introduce $z_i = \{z_i^j | z_i^j = \alpha_j, \forall j \in \mathcal{N}_G\}$, and
 537 let $\mathbf{z} = \{z_i | \forall i \in \mathcal{N}\}$. Then the AARC problem (12)-(13) can
 538 be reformulated as follows:

$$539 \quad \min \sum_{i=1}^n V_i^{aux} \quad (16)$$

540 for $\forall i \in \mathcal{N}, \forall j \in \mathcal{N}_G$, subject to:

$$541 \quad V_i^{aux} \geq \sum_{j=1}^n (\theta'_{ij} \cdot \Delta p_j^{\max} + \theta''_{ij} \cdot \Delta p_j^{\min}) \quad (17a)$$

$$542 \quad V_i^{aux} \geq -\sum_{j=1}^n (\theta'_{ij} \cdot \Delta p_j^{\min} + \theta''_{ij} \cdot \Delta p_j^{\max}) \quad (17b)$$

$$543 \quad \theta'_{ij} \geq 0 \quad (17c)$$

$$544 \quad \theta''_{ij} \leq 0 \quad (17d)$$

$$545 \quad \theta'_{ij} \geq K_{ij}^p + z_i^j * K_{ij}^q \quad (17e)$$

$$546 \quad \theta''_{ij} \leq K_{ij}^p + z_i^j * K_{ij}^q \quad (17f)$$

$$547 \quad z_i^j = \alpha_j \quad (17g)$$

548 Note $\Delta \mathbf{p}^{\min} = [\Delta p_j^{\min}]_{j \in \mathcal{N}_G}$, $\Delta \mathbf{p}^{\max} = [\Delta p_j^{\max}]_{j \in \mathcal{N}_G}$ are the
 549 uncertain parameters, which are assumed to be accessed by

Algorithm 2 Distributed Consensus-Based AARVVC

S1: Initialization. Let the number of iterations $k = 1$, $\alpha(1) = 0$, $z_i(1) = 0$, $\lambda_i(1) = 0$, $\rho > 0$.

S2: Each local bus agent i updates $z_i(k)$ based on the voltage sensitivities K_{ij}^p and K_{ij}^q .

$$z_i(k+1) = \arg \min_{z_i} L_\rho^{(i)}(\alpha(k+1), z_i, \lambda_i(k))$$

$$\text{s.t. (17a) - (17f)}$$

S3: Each local agent then communicates $z_i(k+1)$ to the central agent.

S4: Collecting $z_i(k)$ from each local bus agent $i \in \mathcal{N}$, the central agent then updates $\alpha(k+1)$. Each entry $\alpha_j(k+1)$ of $\alpha(k+1)$ can be expressed as:

$$\alpha_j(k+1) = \frac{\sum_{i \in \mathcal{N}} z_i^j(k+1)}{n+1}, \forall i \in \mathcal{N}, \forall j \in \mathcal{N}_G$$

The central agent then sends $\alpha(k+1)$ back to each local bus agent i .

S5: Each local bus agent i updates $\lambda_i(k+1)$:

$$\lambda_i(k+1) = \lambda_i(k) + \rho \cdot (z_i(k+1) - \alpha(k+1)), \forall i \in \mathcal{N}$$

S6: Let $k = k + 1$. If $k > k_{max}$, or the consensus is achieved, stop the iteration process; otherwise, go to S2, where k_{max} is the maximum number of iterations.

each bus $i \in \mathcal{N}$ in this paper, and K_{ij}^p, K_{ij}^q are the voltage
 sensitivity of bus i with respect to the active and reactive power
 of bus j , which can be accessed by bus i . It is worth mentioning
 K_{ij}^p, K_{ij}^q can be estimated by the proposed data-driven voltage
 sensitivity estimation.

In addition, $\theta'_{ij}, \theta''_{ij}$ can be regarded as the variables associated with bus i . In this case, the objective function (16) as well as the constraints (17a)-(17f) can be split into subproblems related to each bus $i \in \mathcal{N}$. Then, the only coupling constraint is (17g).

To deal with the coupling constraint (17g), let $\lambda = \{\lambda_i | i \in \mathcal{N}\}$, where $\lambda_i = \{\lambda_i^j | j \in \mathcal{N}_G\}$, denote dual variables associated with (17g), then the augmented Lagrangian function can be written as:

$$L_\rho(\alpha, \mathbf{z}, \lambda) = \sum_{i=1}^n L_\rho^{(i)}(\alpha_i, z_i, \lambda_i)$$

$$= \sum_{i=1}^n \left[V_i^{aux} + \sum_{j \in \mathcal{N}_G} (\lambda_i^j \cdot (z_i^j - \alpha_j) + \frac{\rho}{2} \cdot \|z_i^j - \alpha_j\|^2) \right] \quad (18)$$

where ρ is a parameter. Based on ADMM, the problem (16)-(17) can be solved in a distributed manner, which is shown in detail in **Algorithm 2: Distributed Consensus-Based AARVVC**.

As seen in S2 and S3 of Algorithm 2, each local agent is assigned its own subproblem to obtain the optimal values of $z_i(k)$ and then communicates $z_i(k)$ to the central agent using the communication capacity of the inverters during the k -th iteration. Then in step S4, the consensus-based ADMM also simplifies the iteration process and the update of α_j can be realized by simply averaging all entries in the j th column of \mathbf{z} , and the values of α_j are then sent back to corresponding

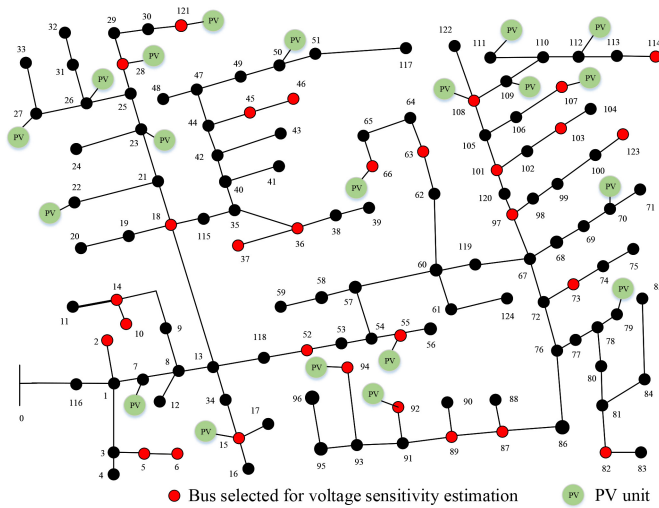


Fig. 4. The modified IEEE-123 bus test system.

578 local agents. The local agents then update the dual vari-
 579 able λ_i based on the updated α , z_i and the parameter ρ in
 580 step S5. The iteration process will stop until the consensus is
 581 achieved among all the local agents or the maximum number
 582 of iterations is reached.

V. NUMERICAL RESULTS

584 In this section, the proposed data-driven AARVVC is imple-
 585 mented on the modified IEEE-123 bus test system to test its
 586 performance. The modified IEEE-123 bus test system with
 587 PV generators is shown in Fig. 4. The base voltage for the test
 588 system is set to 4.16 kV and the base power is set to 100 kVA.
 589 The first-stage VVC strategy is run at a circle of 15 minutes
 590 based on the forecast PV generations to dispatch the switch-
 591 based discrete devices, e.g., OLTC, and determine the base
 592 reactive power set points for PV inverters. For the data-driven
 593 voltage sensitivity estimation process, 1500 scenarios are gener-
 594 ated by randomly setting the nodal power injections, and
 595 the real values of voltage sensitivities are obtained by invert-
 596 ing the Jacobian matrices. The dataset is split into three parts
 597 of training, validation, and testing, accounting for 80%, 10%,
 598 and 10% of data, respectively. The model training, parameter
 599 tuning, and testing are conducted offline, then the well-trained
 600 model can be utilized for online voltage sensitivity estimation.

601 In the first-stage VVC, the forecast PV penetration of this
 602 system is 47.79%. In the second-stage VVC, a 50% uncertainty
 603 interval is considered for each single PV, indicating the uncer-
 604 tainty set of the PV penetration of this system can be 23.89%
 605 to 71.68%. Note that the tap positions of discrete devices keep
 606 unchanged within the second stage. The reactive power of PV
 607 inverter is adjusted following the optimal affine ‘P-Q’ rule,
 608 determined by the proposed data-driven AARVVC. In the dis-
 609 tributed consensus-based AARVVC, the parameter ρ is set as
 610 0.01 and the maximum number of iterations is set as 100.

A. Voltage Sensitivity Comparisons

612 As discussed before, the data-driven voltage sensitivity
 613 estimation includes two main parts: the bus-selection process
 614 and the DNN-based voltage sensitivity estimation, where the

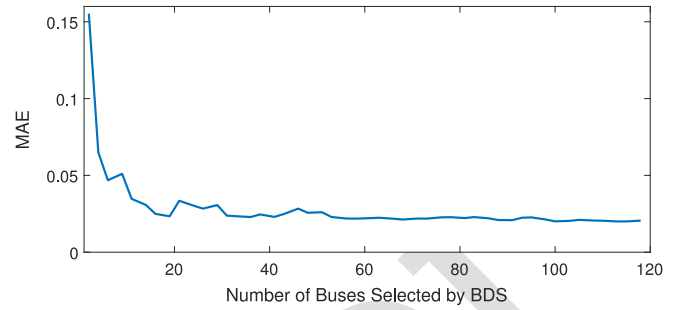


Fig. 5. MAE versus the number of selected buses.

operating statuses of these selected buses are used as the input
 of DNN for voltage sensitivity prediction.

To evaluate the impact of the number of selected buses
 on the prediction accuracy, the mean average error (MAE)
 is chosen as the evaluation metric, which can be expressed as
 follows:

$$MAE = \frac{1}{n_c} \sum_{i=1}^{n_c} |x_i - \hat{x}_i| \quad (19)$$

where n_c is the number of entries of the predicted voltage
 sensitivities, x_i represents the real voltage sensitivity and \hat{x}_i
 is the estimated voltage sensitivity. The number of features to
 be selected by the bidirectional search process is an important
 hyperparameter, since it reflects the number of buses whose
 operation statuses are included in the voltage sensitivity esti-
 mation. Setting different numbers of features to be selected by
 the bidirectional search method and comparing the correspond-
 ing MAE on the validation set, Figure 5 shows the relationship
 of MAE versus the value of buses selected by BDS. As can
 be seen Fig. 5, MAE first decreases sharply as the number of
 selected buses increases, then MAE shows slight fluctuations
 as the number of selected buses is greater than 20. It shows that
 after the number of selected buses reaches 30, incorporating
 operating statuses of more buses does not contribute much to
 improving the prediction accuracy of voltage sensitivity. This
 phenomenon indicates there is redundant information behind
 the operating status of all the buses.

In this case, the number of selected buses to perform volt-
 age sensitivity estimation is set to 30. The results of the
 bus-selection process for the modified IEEE-123 bus test
 system, selected by the proposed rule-based voltage sensitiv-
 ity in Section IV, are depicted as red dots in Fig. 4. Those
 selected buses are distributed across the distribution network.
 It indicates information coming from almost all parts of the
 distribution network is incorporated in those selected buses.
 This might shed light on the reason why using the operat-
 ing status of part of buses is enough to achieve the accurate
 voltage sensitivity estimation.

Taking bus 7 as an example, Fig. 6 shows the actual and
 estimated voltage sensitivities of each bus $i \in \mathcal{N}$ with respect
 to the active and reactive power injection at bus 7, i.e., dV_i/dp_7
 and dV_i/dq_7 for $\forall i \in \mathcal{N}$. The actual voltage sensitivities are cal-
 culated by inverting the Jacobian matrix, which are regarded
 as the benchmark, and the estimated voltage sensitivities are
 calculated from the proposed data-driven voltage sensitivity

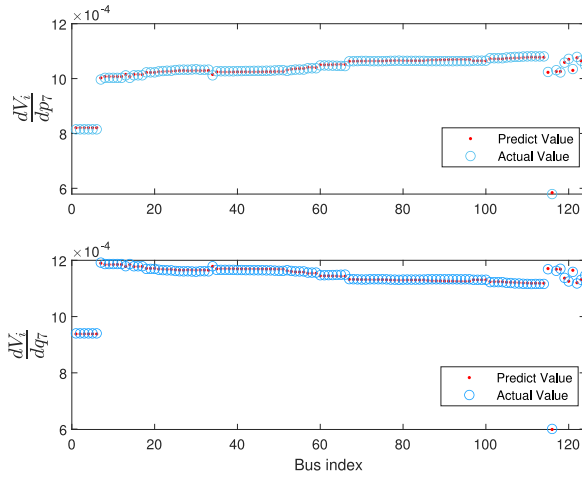


Fig. 6. Actual and estimated voltage sensitivities with respect to active and reactive power injections at bus 7.

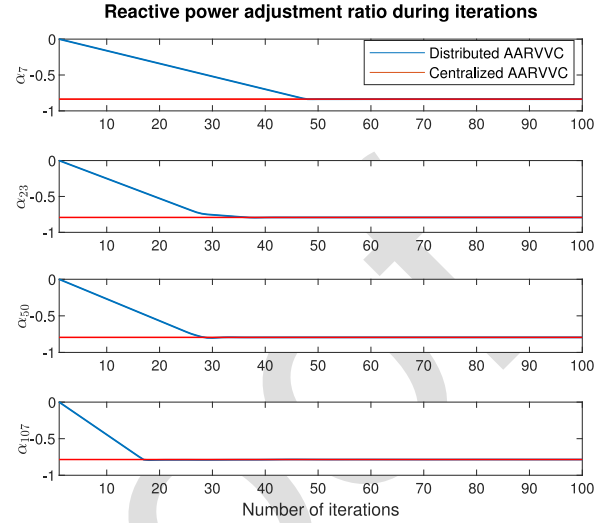


Fig. 7. Slopes for PV inverters at buses 7, 23, 50, and 107.

TABLE I
NUMBER OF BUSES WITH VOLTAGE VIOLATIONS
UNDER ONE EXTREME SCENARIO

| Scheme | 1 | 2 | 3 | 4 |
|-----------------------------|-------|-------|-------|-------|
| Bus with Voltage Violations | 75 | 1 | 1 | 2 |
| Lowest Voltage (p.u.) | 0.929 | 0.949 | 0.949 | 0.949 |

estimation method. As shown in Fig. 6, the values of the estimated and actual voltage sensitivities are very close. It validates that the proposed data-driven voltage sensitivity estimation method provides accurate prediction of the voltage sensitivities by only making use of the information from the selected buses.

B. Performance of the Distributed Consensus-Based AARVVC

As important parameters, the voltage sensitivities with respect to bus power injections, to decide the slope of the affine ‘P-Q’ rule α_i , it has been validated in Section V-A that the proposed data-driven voltage sensitivity estimation method can accurately predict voltage sensitivities. We further test the performance of our proposed Algorithm 2: Distributed Consensus-Based AAARVC.

Once the estimated voltage sensitivities are given, the slope α_i of the affine ‘P-Q’ rule for each PV inverter can be determined by our proposed Algorithm 2: Distributed Consensus-Based AAARVC. Taking PV inverters at buses 7, 23, 50 and 107 as an example, the adjustment slopes for those PV inverters, determined by the distributed consensus-based AAARVC, are shown in Fig. 7. The adjustment slopes for those PV inverters solved by the centralized optimization, i.e., the AARC problem (12) and (13) is solved in a centralized manner, are depicted in Fig. 7 as the benchmark. It can be observed from Fig. 7 that all those slopes, determined by the distributed consensus-based AAARVC, can converge to the benchmark, the slopes determined by the centralized optimization. It means that the optimal ‘P-Q’ rules can be accurately calculated by our proposed distributed consensus-based AAARVC in a hierarchical distributed manner.

C. Algorithm Comparisons

For algorithm comparisons, four different VVC schemes are considered:

Scheme 1-First-stage VVC: Only the first-stage VVC is considered.

Scheme 2-Centralized AARVVC with accurate voltage sensitivities: The AARC problem (12) and (13) is solved in a centralized manner, where the voltage sensitivities are obtained by inverting the Jacobian matrix.

Scheme 3-Distributed consensus-based AARVVC with accurate voltage sensitivities: The AARC problem (12) and (13) is solved in a distributed consensus-based manner, where the voltage sensitivities are obtained by inverting the Jacobian matrix.

Scheme 4-Our proposed data-driven AARVVC, i.e., distributed consensus-based AARVVC with estimated voltage sensitivities: The AARC problem (12) and (13) is solved in a distributed consensus-based manner, where the voltage sensitivities are estimated by the proposed data-driven voltage sensitivity estimation method.

First, consider one extreme scenario, where all the PV generation is at the lowest level within the uncertainty set. The voltage profiles of the modified IEEE-123 bus test system under different schemes are presented in Fig. 8, and the number of buses with voltage violations is given in Table I. In Fig. 8, the blue curves are the optimal voltage profiles determined in the first stage considering the forecast PV outputs, the yellow curves represent the voltage profiles in different schemes, and the red lines are voltage limits. As shown in Fig. 8, there are voltage violations for a considerable number of buses in Scheme 1. It indicates that without the second-stage reactive power adjustment, the first-stage VVC can not maintain the voltage profiles within the acceptable range. With respect to Scheme 2 and Scheme 3, both of them utilize the accurate voltage sensitivities. The only difference between

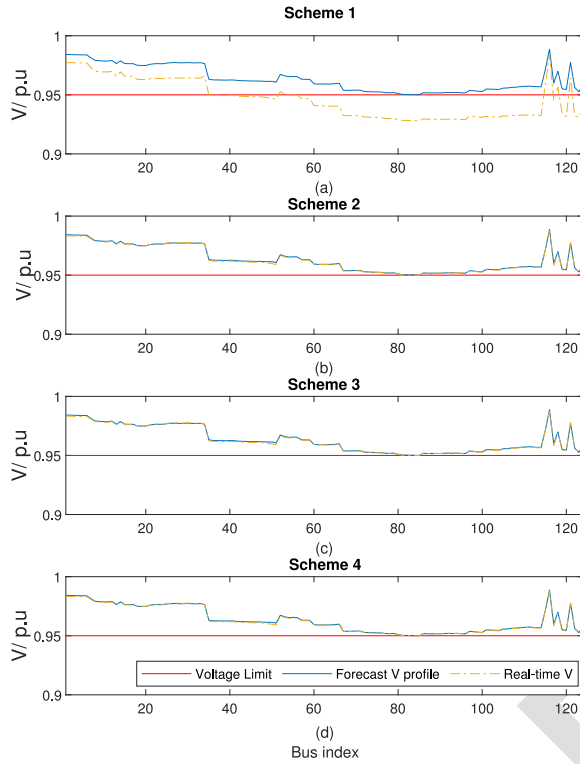


Fig. 8. The voltage profiles of different schemes under an extreme scenario.

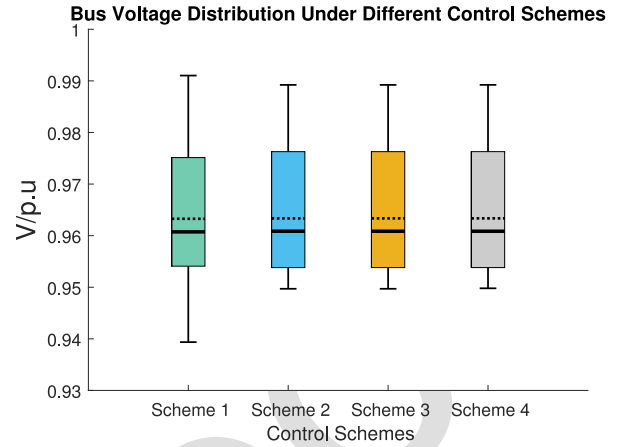


Fig. 9. Distribution of system bus voltage under different control schemes.

TABLE II
PERCENTAGE OF BUSES WITH VOLTAGE VIOLATIONS
UNDER 1500 SCENARIOS

| Scheme | 1 | 2 | 3 | 4 |
|------------------------------------------------|-------|-------|-------|-------|
| Percentage of Buses with voltage violation (%) | 7.73 | 0.47 | 0.47 | 0.53 |
| Lowest Voltage (p.u.) | 0.939 | 0.949 | 0.949 | 0.949 |

724 Scheme 2 and Scheme 3 is the implementation manner, where
 725 Scheme 2 is centralized and Scheme 3 is distributed. The out-
 726 comes for Scheme 2 and Scheme 3 are virtually identical, it
 727 validates our proposed distributed consensus-based AARVVC
 728 can converge to the optimal solution solved by the central-
 729 ized optimization, but it is more scalable and practical. As
 730 shown in Table I, there is only one bus with voltage vio-
 731 lations for Scheme 1 and 2, where the lowest bus voltage
 732 magnitude for Scheme 2 and Scheme 3 is 0.949 p.u., which
 733 is very close to 0.95. For Scheme 4, its outcomes are very
 734 close to Scheme 2 and Scheme 3. The only minor differ-
 735 ence is the number of buses with voltage violations is 2 for
 736 Scheme 4, slightly larger than Schemes 2 and 3. Such a minor
 737 difference might be caused by the error between the accurate
 738 and estimated voltage sensitivities. The extreme scenario shows
 739 that the proposed data-driven AARVVC can achieve a great
 740 performance in terms of voltage regulation.

741 To further explore the performance of our proposed data-
 742 driven AARVVC for voltage regulation, a Monte-Carlo simu-
 743 lation is carried out to randomly generate 1500 scenarios,
 744 where the PV active power output is uniformly sampled from
 745 its respective uncertainty interval. The distributions of bus volt-
 746 age magnitudes under different control schemes are presented
 747 in Fig. 9. As can be seen in Fig. 9, under Scheme 1, voltages
 748 can not be maintained within the pre-defined range and the
 749 lowest voltage can be lower than 0.94. For the other 3 schemes,
 750 voltages can always be maintained within the acceptable level
 751 in most scenarios. Table II provides the ratios of bus voltage
 752 violation under different schemes. Without the second-stage
 753 VVC, 7.73% buses are operated under voltage violations
 754 while the proposed data-driven AARVVC method can greatly

755 decrease the ratio to around 0.5%, which is very close to
 756 the optimal performance of Scheme 2 and Scheme 3. The
 757 lowest voltage for Scheme 4 is slightly lower than 0.95 p.u.
 758 Note that Scheme 3 is also based on our proposed distributed
 759 consensus-based AARVVC. Scheme 3 and Scheme 4 are more
 760 scalable and require fewer computation burdens compared to
 761 Scheme 2. Even though the performance of Scheme 4 is
 762 slightly inferior to Scheme 3, it is more computationally effi-
 763 cient as it intelligently relies on the DNN to predict voltage
 764 sensitivities.

765 As summarized in Table III, the proposed AARVVC can
 766 greatly improve the voltage issues in the system, but requires
 767 only operation information from partial buses and no topol-
 768 ogy information. With the hierarchical distributed solution
 769 structure, it has better scalability and information privacy.

D. Comparisons With Other Techniques

770 To further demonstrate the performance of our proposed
 771 AARVVC method, comparisons with two other voltage regu-
 772 lation strategies are conducted.

773 The first one is the constant power factor (CPF) strategy.
 774 As suggested in [2], DERs' power factor settings can be spec-
 775 ified by the system operator. Then local DERs can adjust the
 776 reactive power following the power factor without exceeding
 777 the inverters' capability. In the first stage VVC, the optimal
 778 set points of PV inverters' reactive power q^s can be obtained.
 779 Based on p^s and q^s , the power factor can be calculated. Then
 780 the second stage adjustment aims to maintain the constant
 781 power factor as:
 782

$$\frac{q^s}{p^s} = \frac{q^s + \Delta q^{PF}}{p^s + \Delta p^s} \quad (20a) \quad 783$$

TABLE III
SUMMARY OF THE 4 SCHEMES

| Scheme | Second stage adjustment | Voltage issues | Topology information required | Operation statuses required | Scalability and Privacy Issues |
|--------|-------------------------|------------------|-------------------------------|-----------------------------|--------------------------------|
| 1 | w/o | Serious | / | / | / |
| 2 | w | Greatly improved | Yes | All buses | Yes |
| 3 | w | Greatly improved | Yes | All buses | No |
| 4 | w | Greatly improved | No | Partial buses | No |

TABLE IV
COMPARISONS WITH OTHER TECHNIQUES

| Strategy | Percentage of Buses with voltage violation (%) | Lowest voltage (p.u.) |
|----------|------------------------------------------------|-----------------------|
| AARVVC | 0.53 | 0.949 |
| CPF | 13.61 | 0.930 |
| FDC | 3.64 | 0.943 |

TABLE V
PERCENTAGE OF BUSES WITH VOLTAGE VIOLATIONS

| Second stage adjustment | Percentage of Buses with voltage violation (%) | Lowest voltage (p.u.) |
|-------------------------|------------------------------------------------|-----------------------|
| w/o | 20.74 | 0.927 |
| w | 1.59 | 0.948 |

$$\Delta q^{PF} = \frac{q^g}{p^g} \cdot \Delta p \quad (20b)$$

784

785 Another technique is the fixed droop control (FDC) sug-
786 gested by [2] with a dead band. Under this control strategy, the
787 PV inverter should control its reactive power output following
788 a piecewise linear relationship with voltage.

789 By conducting a Monte-Carlo simulation with 1500 ran-
790 domly generated scenarios, the performance of different
791 voltage regulation strategies is summarized in Table IV.
792 Among all three strategies, our AARVVC achieves the best
793 performance, with only 0.53% nodes experiencing voltage vi-
794 olations. The CPF strategy performs worst. As a result, the
795 voltage support from the PV inverters gets further weakened.
796 With the default settings of parameters, the FDC can effec-
797 tively reduce voltage violations, but the performance is not as
798 good as the proposed AARVVC.

799 E. Extension to Load Uncertainty

800 It is worth mentioning that our proposed AARVVC can be
801 easily extended to consider load uncertainties by making some
802 minor modifications. See the Appendix for more details about
803 it.

804 In this subsection, to make our proposed AARVVC more
805 generally applicable to various scenarios, the uncertainty of
806 nodal active and reactive power loads is considered. For the
807 second stage VVC, in addition to the PV uncertainty, a 10%
808 percent uncertainty interval of both active and reactive power
809 loads is considered for each bus.

810 A Monte-Carlo simulation with 1500 randomly generated
811 scenarios is carried out to test the performance of the extended
812 AARVVC under the uncertainty of both loads and PV gen-
813 eration. The PV active power outputs, as well as active
814 and reactive power loads are uniformly sampled from their
815 uncertain interval. For comparison, a base case without any
816 second-stage adjustment is conducted.

817 As can be seen in Table V, our proposed AARVVC can also
818 effectively mitigate voltage issues with considerations of both
819 load and PV uncertainties. For the base case, the percentage of
820 bus voltage violations increases greatly to 20.74%, meanwhile
821 the lowest bus voltage can be as low as 0.927 p.u. In con-
822 trast, after the extended form of the AARVVC is carried out,
823 the occurrence of voltage violations is drastically reduced to
824 1.59% and the lowest bus voltage can be maintained at 0.948.
825 The results validate the capability of the extended AARVVC
826 to deal with the load uncertainty.

827 VI. CONCLUSION

828 This paper introduces a data-driven AARVVC strategy for
829 voltage regulation against PV and load uncertainties. The data-
830 driven AARVVC strategy includes two parts: the data-driven
831 voltage sensitivity estimation and the distributed consensus-
832 based AARVVC, which are performed in a distributed manner
833 with the estimated voltage sensitivities. The voltage sensitiv-
834 ities are efficiently predicted by the DNN with the operating
835 statuses of selected buses as the input. The effectiveness and
836 superiority of the proposed data-driven AARVVC strategy are
837 tested on the modified IEEE-123 bus test system. The results
838 show it can accurately and efficiently estimate voltage sensi-
839 tivities and achieve a good voltage regulation performance in
840 a distributed consensus-based manner. In the future, we will
841 take into account the network topology change.

842 APPENDIX

843 *Extension to Load Uncertainties:* The proposed AARVVC
844 method can be further extended to take the load uncertainty
845 into consideration. Let Δp^l and Δq^l denote the active and
846 reactive power load uncertainty, respectively. The voltage
847 deviations in (7) can be further expressed as follows:

$$\Delta V_i = \sum_{j=1}^n K_{ij}^p \cdot (\Delta p_j^g - \Delta p_j^l) + K_{ij}^q \cdot (\Delta q_j^g - \Delta q_j^l), \quad 848$$

$$\begin{aligned}
&= \sum_{j=1}^n K_{ij}^p \cdot \left(\Delta p_j^s - \Delta p_j^l - \frac{K_{ij}^q}{K_{ij}^p} * \Delta q_j^l \right) + K_{ij}^q \cdot \Delta q_j^s \\
&= \sum_{j=1}^n K_{ij}^p \cdot \Delta p_j^{i*} + K_{ij}^q \cdot \Delta q_j^s, \forall i, j \in \mathcal{N}
\end{aligned} \quad (21)$$

Let $\Delta p_j^{i*} = \Delta p_j^s - \Delta p_j^l - \frac{K_{ij}^q}{K_{ij}^p} * \Delta q_j^l$, then equation (21) can be written as:

$$\Delta V_i = \sum_{j=1}^n K_{ij}^p \cdot \Delta p_j^{i*} + K_{ij}^q \cdot \Delta q_j^s, \forall i, j \in \mathcal{N} \quad (22)$$

Note that ΔV_i considers the influences from both PV and load uncertainties here, instead of only PV uncertainties. The formulation in (12) and (13) can be reformulated as:

$$\min \sum_{i=1}^n V_i^{aux} \quad (23)$$

subject to:

$$\Delta p_j^* \in \left[\underline{\Delta p_j^*}, \overline{\Delta p_j^*} \right], \forall j \in \mathcal{N} \quad (24a)$$

$$V_i^{aux} \geq \sum_{j=1}^n \left(K_{ij}^p + \alpha_j \cdot K_{ij}^q \right) \cdot \Delta p_j^{i*}, \forall i, j \in \mathcal{N} \quad (24b)$$

$$V_i^{aux} \geq - \sum_{j=1}^n \left(K_{ij}^p + \alpha_j \cdot K_{ij}^q \right) \cdot \Delta p_j^{i*}, \forall i, j \in \mathcal{N} \quad (24c)$$

Then the corresponding affinely adjustable robust counterpart can be written as:

$$\min \sum_{i=1}^n V_i^{aux} \quad (25)$$

for $\forall i, j \in \mathcal{N}$, subject to:

$$V_i^{aux} \geq \sum_{j=1}^n \left(\theta_{ij}' \cdot \underline{\Delta p_j^*} + \theta_{ij}'' \cdot \overline{\Delta p_j^*} \right) \quad (26a)$$

$$V_i^{aux} \geq - \sum_{j=1}^n \left(\theta_{ij}' \cdot \underline{\Delta p_j^*} + \theta_{ij}'' \cdot \overline{\Delta p_j^*} \right) \quad (26b)$$

$$\theta_{ij}' \geq 0 \quad (26c)$$

$$\theta_{ij}'' \leq 0 \quad (26d)$$

$$\theta_{ij}' \geq K_{ij}^p + \alpha_j \cdot K_{ij}^q \quad (26e)$$

$$\theta_{ij}'' \leq K_{ij}^p + \alpha_j \cdot K_{ij}^q \quad (26f)$$

Similarly, this problem can be solved by our proposed AARVVC strategy to determine the ‘P-Q’ rule.

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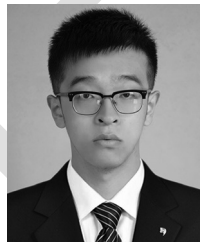
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