# Voltage Calculations in Secondary Distribution Networks via Physics-Inspired Neural Network Using Smart Meter Data

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Abstract—The increasing penetration of distributed energy 1 2 resources (DERs) leads to voltage issues across distribution 3 networks, necessitating voltage calculations by utilities. Electric 4 model-free voltage calculation offers an enticing solution. 5 However, most researches mainly focus on primary distribution 6 networks ignoring secondary distribution networks and com-7 monly overlook extreme voltage case calculations, which require 8 the model's extrapolation abilities. In addressing the gaps, this 9 paper presents a customized physics-inspired neural network 10 (PINN) model, the structure of which is inspired by the derived 11 coupled power flow model of primary-secondary distribution 12 networks. To ensure precision and rapid convergence, a crafted 13 training framework for the PINN model is proposed. The PINN's 14 "structure-mimetic" design enables superior extrapolation for 15 unseen scenarios and enhances physical information awareness. <sup>16</sup> We demonstrate this through two applications: hosting capacity 17 analysis and customer-transformer connectivity. The effectiveness 18 and advantages of the proposed PINN model are validated on two 19 public testing systems and one utility distribution feeder model.

Index Terms-Distribution network, voltage calculation, elec-20 21 tric model-free, physics-inspired neural network, extrapolation.

NOMENCLATURE

22 23 Abbreviations

AO2

24	DER	Distributed energy resource
25	EN	Euclidean norm
26	EV	Electric vehicle

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HC	Hosting capacity	27
LR	Learning rate	28
MAPE	Mean absolute percentage error	29
MLP	Multi-layer perception	30
MN	Manhattan norm	31
MSE	Mean squared error	32
OLTCs	On-load tap changers	33
PDNet	Primary distribution network	34
PFlw	Power flow	35
PINN	Physics-inspired neural network	36
PV	Photovoltaic	37
SDNet	Secondary distribution network	38
SGD	Stochastic gradient descent	39
SM	Smart meter	40
ST	Service transformer	41
TC	Transformer-customer	42

#### Constants

$[a_0^{J*}, A^{J*}]$	Incidence matrix of the radial SDNet $J$	44
$\alpha_0$	Initial learning rate	45
E	Coefficient matrix of customer active power	46
G	Minimum connection matrix	47
H	Coefficient matrix of customer rective power	48
$\boldsymbol{D}_r$	Line resistance matrices of PDNet	49
$D_{x}$	Line reactance matrices of PDNet	50
δ	Factor for scaling the $L^{\eta}_{\theta_n}$	51
$[A_0, A^T]$	Incidence matrix of the radial PDNet graph	52
k	ST number	53
N	Number of the buses (except slack bus) in the	54
	PDNet	55
$N_b$	Data batch size for training	56
$N_c$	Total number of load buses in the feeder	57

## Indices and Sets

$\mathcal{N}^{s}$	Index set of PDNet buses connected with	59
	SDNets	60
$\mathcal{N}^{J*}$	Non-head abuse index set of SDNet $(n_0^{J*}, \phi_J)$	61
Θ	Parameter set of PINN model	62
$\{0\} \bigcup \mathcal{N}^p$	Index set of buses in the PDNet	63
$n_0^{J*}$	PDNet bus connected with SDNet $J$ on	64
-	phase- $\phi_J$	65

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66	variables	
67	$[v, v_0]$	Squared voltage magnitudes of the PDNet
68		buses
69	$\boldsymbol{b}_c$	Bias vector of $\eta_c^s$ layer
70	р	Nodal injection active power of the PDNet
71	q	Nodal injection reactive power of the PDNet
72	$W_a$	Weight matrix of $\eta_a^p$ layer
73	$W_b$	Weight matrix of $\eta_{\rm b}^{\rm q}$ layer
74	Р	Line active power of the PDNet
75	Q	Line reactive power of the PDNet
76	$\mathcal{J}(\Theta)$	Total loss of the PINN model
77	$\mathcal{R}_{\Theta}$	Regularization term
78	$ heta_\eta$	Parameters emerging physical information
79	$ heta_{oldsymbol{\phi}}$	Parameters without physical information
80		embedded
81	$L^{\eta}_{\theta_n}$	Prediction error of physics-inspired module
82	$L_{\Theta}^{i\eta}$	PINN model prediction error
83	$p_c$	Active power collected from SMs
84	$q_c$	Reactive power collected from SMs
85	$v_0^{I*}$	Head node squared voltage of SDNet I
86	v <sub>c</sub>	Squared voltage magnitudes derived from
87		SMs

## I. INTRODUCTION

ROLIFERATION of distributed energy resources (DERs), 89 such as residential photovoltaic (PV) systems and elec-90 91 tric vehicles (EVs), is reshaping modern distribution power 92 networks. Spurred by technological growth and ecological 93 needs, these DERs are increasingly connected to the low-94 voltage secondary distribution networks (SDNets), upending <sup>95</sup> traditional energy practices. However, the integration of DERs 96 introduces numerous operational and reliability hurdles. A 97 prevalent issue is the voltage rise due to distributed PV, making harder to maintain voltages within the ANSI C84.1 toler-98 it <sup>99</sup> ances [1], [2], given the reverse power flow (PFlw) in the case 100 of excess power generation. Hence, it is of great importance for utilities or distribution power companies to perform voltage 101 calculations, enabling the design and development of effective 102 voltage control strategies for the safe and reliable operation of 103 104 distribution networks [3].

Voltage calculations rely on distribution network models, but these models are typically absent in SDNets populated tor by residential PV and electric vehicles. Although some utilimaintaining or updating these models can be time-consuming maintaining or updating these models can be time-consuming und costly. As a result, these recorded models are mostly und costly. As a result, these recorded models are mostly voltage calculations and model-based hosting capacity the results [5].

As an alternative, electric model-free voltage calculation methods have gained increasing attraction with the rise of machine-learning technologies and the mass adoption of smart meters (SMs), presenting a promising solution to the outlined here challenges. Rather than using electric power models for PFlw analysis, these methods leverage regression techniques to analyze historical SM data (i.e., P, Q, and V) and identify the <sup>121</sup> correlation between load data and the voltage data from SMs. <sup>122</sup> With this well-established mapping relationship, the voltage <sup>123</sup> at customer nodes can be calculated in various scenarios by <sup>124</sup> specifying the customers' active and reactive power (i.e., P and Q) at a given moment. <sup>126</sup>

In recent years, there has been a significant upswing in <sup>127</sup> scholarly interest in data-driven or model-free voltage calculation methodologies. These can be bifurcated into two <sup>129</sup> primary categories: linear and nonlinear regression-based <sup>130</sup> methods. <sup>131</sup>

Class I - Linear regression-based methods: These methods 132 mainly focus on the linearization of the PFlw model [6]. 133 In the pioneering work by [7], a data-driven linearization 134 approach of PFlw models was proposed, employing partial 135 least squares-based and Bayesian linear regression-based algo- 136 rithms to address collinearity and avoid overfitting of real 137 operation data. Similarly, a robust data-driven linearization 138 model utilizing linear support vector regression is presented 139 in [8]. The ultimate goal of these methods is to estimate 140 the parameters of the linearized PFlw model, then conduct 141 voltage calculations based on these PFlw models. Further 142 pushing the boundaries, a novel two-step regressor combining 143 multiple techniques was proposed in [9]. This innovative 144 methodology integrates linear and nonlinear regressors into a 145 unified model, resulting in enhanced predictive capabilities, as 146 evidenced by a substantial reduction in error across simulation 147 scenarios. 148

Class II - Nonlinear regression-based methods: These meth- 149 ods leverage nonlinear regression, with a particular emphasis 150 on neural network-related approaches, owing to their adept- 151 ness in capturing the inherent nonlinearities present in PFlw 152 problems [10], [11], [12], [13], [14], [15], [16]. Specifically, 153 authors in [10] put forth a deep belief network-based PFlw cal- 154 culation method that, in addition to active/reactive power data, 155 incorporated topology information to account for variability 156 due to system topology changes. A deep neural network- 157 based approach is proposed to depict the high-dimensional 158 load-to-solution mapping and directly solved the optimal PFlw 159 problem [17]. In [18], the authors introduced two voltage 160 change prediction models leveraging deep neural networks, 161 validated using three datasets. While the model's extrapolation 162 capability was evaluated, the paper did not discuss methods 163 for its enhancement. 164

Despite the valuable findings obtained from numerous 165 studies focusing on developing model-free voltage calculation 166 methods, several intricate challenges still necessitate further 167 deliberation and exploration. 168

First, most existing studies focus only on primary distribution networks (PDNets), overlooking SDNets where SMs <sup>170</sup> are usually installed. This oversight often results in the <sup>171</sup> use of unconventional measurements, such as distribution <sup>172</sup> transformer readings, making such methods incompatible <sup>173</sup> with residential SM data. In response, neural networks are <sup>174</sup> adopted in [13], [14] to model the relationships among historical SM data in the corresponding SDNet. However, the <sup>176</sup> model's performance may falter when transformer-customer <sup>177</sup> <sup>178</sup> connectivity is inaccurate. This inaccurate connectivity <sup>179</sup> information may also inflate calculation errors.

Second, many of these methods perform poorly for 180 181 high-impact, low-probability extreme voltage scenarios 182 (e.g., voltages are less than 0.95 p.u. or greater than 1.05 183 p.u.) due to insufficient extreme voltage scenario data [16]. 184 However, the prediction performance for extreme voltage 185 scenarios is crucial since those scenarios necessitate voltage 186 control [9]. These scenarios require the neural network 187 model to have extrapolation capabilities, given that target voltage values often reach the boundaries (e.g., 0.95 pu and 188 189 1.05 pu). Extrapolation refers to a model's ability to make 190 accurate predictions for input data outside the range of its <sup>191</sup> training data. While the model in [13] claimed enhanced 192 extrapolation capabilities by adding aggregated active and 193 reactive power of customers as input and forming multi-<sup>194</sup> outputs, the core component of the model is still a multi-layer 195 perception-based (MLP) model. Such a model has been 196 shown to struggle with extrapolating most nonlinear tasks <sup>197</sup> due to their linear extrapolation. The existing literature rarely <sup>198</sup> discusses the reasons for the model's extrapolation ability they claimed [19]. 199

Third, these previous model-free voltage calculation 200 methods are typically black-box, lacking physics-informed 201 202 interpretability. Unlike deep neural networks, PINNs offer <sup>203</sup> enhanced interpretability and reliability in machine learning <sup>204</sup> applications [20]. PINNs come in various paradigms, with 205 the most prevalent one employing a physics-informed loss 206 function to steer model training. For instance, Power-GNN, <sup>207</sup> proposed in [21], addresses the state and parameter estimation 208 challenges by constructing a loss function rooted in PFlw <sup>209</sup> equation residuals. Reference [12] introduced a physics-guided 210 neural network for PFlw problems, utilizing an MLP as 211 encoder and a Kirchhoff's laws-based bi-linear neural network 212 decoder. The model employs a tailored loss function to <sup>213</sup> minimize voltage prediction errors and power mismatches. 214 enhancing convergence and accuracy through the integration 215 of physical laws. However, its adaptability to unbalanced 216 primary-secondary integrated distribution networks remains 217 uncertain. Beyond loss function modifications, another notable <sup>218</sup> approach involves the physics-informed design of architecture. 219 This strategy uses physical principles to guide the neural 220 network's architecture, either by infusing physical signifi-221 cance into hidden layer outputs or by directly altering the 222 network's connections. Reference [15] introduces a deep 223 neural network with a skip-connection structure, inspired 224 by the cyclic nature of the prox-linear solver, to facilitate 225 efficient training. Reference [22] balances computational effi-226 ciency and PFlw analysis accuracy using an encoder-decoder 227 framework and message propagation among nodes but is 228 limited by its strong physical assumptions and dependence 229 on the Newton-Raphson solver. Reference [13] proposes 230 a model-free voltage calculation model incorporating total 231 loads to address upstream voltage fluctuations but it lacks 232 physical interpretability. Overall, prior studies rarely consider 233 using customized and physical rule-inspired neural networks 234 that are suitable for distribution networks to improve the 235 performance and extrapolation ability of voltage calculation models [10], [14], [16], and how to combine the different <sup>236</sup> paradigms can be further explored as well. <sup>237</sup>

In light of these challenges, this study proposes a model-free <sup>238</sup> voltage calculation method for distribution networks based on <sup>239</sup> a customized PINN. The main contributions of this work are <sup>240</sup> summarized as follows: <sup>241</sup>

- This study presents a coupled distribution PFlw model <sup>242</sup> for integrated primary-secondary networks, laying the <sup>243</sup> foundation for the physics-inspired structure design of a <sup>244</sup> customized neural network. <sup>245</sup>
- This paper proposes a model-free voltage calculation <sup>246</sup> method via a PINN tailored to the needs of diverse <sup>247</sup> operational and planning scenarios. The proposed model's <sup>248</sup> physics-inspired structure greatly enhances extrapolation <sup>249</sup> capabilities beyond existing methods, supported by test <sup>250</sup> results on the distribution models and the successful <sup>251</sup> application in PV hosting capacity (HC) calculations. <sup>252</sup>
- The proposed PINN model exploits its physics- 253 inspired structure to capture the PDNet-SDNets' physical 254 information, relying solely on SM data. Based on 255 the extracted physical information, we develop a 256 transformer-customer (TC) connectivity identification 257 method, illustrating the PINN model's application in 258 distribution power network information awareness tasks. 259

The rest of the paper is organized as follows. Section II 260 presents the coupled linearization of the distribution power 261 flow model for primary and secondary networks. The physics- 262 inspired model free voltage calculation model is formulated 263 in Section III. Section IV presents PINN voltage calculation 264 model applications, including model-free locational PV host- 265 ing capacity calculation and transformer-customer connectivity 266 identification. Numerical results on the proposed model are 267 given in Section V, and the paper is concluded in Section VI. 268

## II. PDNET-SDNETS COUPLED POWER FLOW MODEL 269

In this section, we develop a coupled distribution PFIw <sup>270</sup> model for integrated primary-secondary networks to assist in <sup>271</sup> designing the structure of the PINN model. Our focus is on a <sup>272</sup> residential distribution feeder that comprises both the mediumvoltage PDNet and the low-voltage SDNets. The SDNets <sup>274</sup> consist of single-phase connections<sup>1</sup> that link to the PDNet <sup>275</sup> through single-phase service transformers (STs). We operate <sup>276</sup> under the assumption that all customers are connected to the <sup>277</sup> feeder via SDNets and that the SM data for all these customers <sup>278</sup> is readily accessible. <sup>279</sup>

## A. Linearization of Power Flow Model for PDNet and SDNets

Consider an unbalanced three-phase radial PDNet containing N + 1 buses, whose index set can be represented as 283  $\{0\} \bigcup \mathcal{N}^p$ , where 0 denotes the slack bus and set  $\mathcal{N}^p = 284$  $\{1, 2, \ldots, N\}$  is the index set of all other buses in the PDNet. 285 The indices of nodes that are connected with SDNets are 286 denoted as  $\mathcal{N}^s = \{n_0^{1*}, n_0^{2*}, \ldots, n_0^{1*}\}$ , where  $\mathcal{N}^s \subseteq \mathcal{N}^p$ . Let 267

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<sup>&</sup>lt;sup>1</sup>Despite using a split-phase triplex cable in reality, our model approximates it as a single-phase 240V connection via Kron reduction and balanced current assumptions.

<sup>288</sup> vectors v, p and q collect the squared bus voltage magnitudes, <sup>289</sup> nodal net active and reactive power consumption of the <sup>290</sup> primary network. Based on the assumption that the line losses <sup>291</sup> are small and that the voltages are nearly balanced [23], <sup>292</sup> the PFlw relationship of the primary distribution system can <sup>293</sup> be represented with the LinDistFlow model, and compactly <sup>294</sup> expressed in a graph-based form [24]:

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$$AQ = -q,$$
  

$$\begin{bmatrix} A_0, & A^T \end{bmatrix} \begin{bmatrix} v_0 \\ v \end{bmatrix} = 2(D_r P + D_x Q), \qquad (1)$$

AP = -p

where  $A_0 \in \mathbb{R}^{3N \times 3}$  represents the three-phase connection between bus<sub>0</sub> and each of the other buses;  $A \in \mathbb{R}^{3N \times 3N}$  is the incidence matrix for the PDNet that represents the three-phase connection among all non-head buses.  $D_r$  and  $D_x$  are block diagonal matrices that collect the line impedance matrices. The LinDistFlow model in (1) establishes a linear mapping from the PDNet's nodal power injections to the squared voltage magnitudes, and the linear relationship is determined by the system topology information.

Following the linearization of PDNet PFlw, we investigate some the corresponding SDNet model, as residential customers are commonly connected to low-voltage SDNets. For convenience, we denote the selected network as  $SDNet(n_0^{J*}, \phi_J)$ , which signifies that the SDNet is electrically connected to bus  $n_0^{J*}$ of the PDNet through a phase- $\phi_J$  lateral line. For clarity in notation, we define  $J* = \{n_0^{J*}, \phi_J\}$ . Any variable with the superscript J\* denoted as  $(\cdot)^{J*}$  signifies it belongs to the specific SDNet $(n_0^{J*}, \phi_J)$  connected to the PDNet.

By referring to the impedance of the ST's primary and strong secondary winding to the same voltage level, SDNet $(n_0^{J*}, \phi_J)$ and can be considered a single-phase radial network. In this representation, the primary winding of the ST, identified by  $n_0^{J*} \in \mathcal{N}^s$ , acts as the head bus, and its squared voltage magnitude is denoted as  $v_0^{J*}$ , being an element of vector  $v_0$ . Let  $\mathcal{N}^{J*} = \{1, \ldots, n_s^{J*}\}$  be the index set of non-head buses in SDNet $(n_0^{J*}, \phi_J)$ . Then, we collect the net bus consumption of active and reactive power, as well as squared nodal voltage magnitudes of the SDNet, into vectors  $p^{J*}$ ,  $q^{J*}$ , and  $v^{J*}$ . Similarly, assuming negligible line and transformer losses, the PFlw in the single-phase SDNet $(n_0^{J*}, \phi_J)$  can be approximately expressed by using the linearized DistFlow equations, which can be concisely represented in a graph-based compact form:

$$v^{J*} = -2[A^{J*}]^{-T}R^{J*}[A^{J*}]^{-1}p^{J*} -2[A^{J*}]^{-T}X^{J*}[A^{J*}]^{-1}q^{J*} - v_0^{J*}[A^{J*}]^{-T}a_0^{J*}, \quad (2)$$

where  $[\boldsymbol{a}_0^{J*}, [\boldsymbol{A}^{J*}]^T]^T \in \mathbb{R}^{(n_s^{J*}+1) \times n_s^{J*}}$  is the incidence matrix of the radial topology graph,  $\boldsymbol{R}^{J*}$  and  $\boldsymbol{X}^{J*}$  are diagonal matrices whose entries are the line resistance and reactance in the SDNet, respectively. Considering that  $\boldsymbol{A}^{J*}, \boldsymbol{a}_0^{J*}, \boldsymbol{R}^{J*}$  and  $\boldsymbol{X}^{J*}$ arise from the topology information of SDNet $(n_0^{J*}, \phi_J)$ , (2) are compact format as (3):

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$$v^{J*} = -\mathbf{B}^{J*}\mathbf{p}^{J*} - \mathbf{C}^{J*}\mathbf{q}^{J*} - v_0^{J*}\mathbf{m}^{J*},$$
 (3)

where

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$$m^{J*} = [A^{J*}]^{-T} a_0^{J*} \in \mathbb{R}^{n_s^{J*} \times 1}.$$
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In this transformation, the complex coefficients are encap- 343 sulated within the newly introduced matrices  $B^{J*}$ ,  $C^{J*}$ , and 344  $m^{J*}$ . Notably, due to the inherent properties of the coefficient 345 terms, both  $B^{J*}$  and  $C^{J*}$  manifest as symmetric matrices. In 346 practical SDNets, not every bus is connected with load. Given 347 the majority if measurements in the SDNet are obtained from 348 SMs installed on the customer side, our attention is specifi- 349 cally directed toward buses serving customer loads. Buses of 350 the SDNet without customer connections are excluded from 351 consideration, as they do not yield measurable data. As a 352 result, (3) can be further modified to represent the relationship 353 among the SM measurements. Let  $\mathcal{N}_c^{J*}$  denote the set of buses 354 with loads in SDNet $(n_0^{J*}, \phi_J)$ , where  $\mathcal{N}_c^{J*} \subseteq \mathcal{N}^{J*}$ . We define 355 vectors  $v_c^{J*}, p_c^{J*}$ , and  $q_c^{J*}$  of size  $c^{J*} \times 1$  to collect the squared 356 voltage magnitudes, net active and reactive power consumption 357 for all buses with loads. Here,  $c^{J*}$  represents the number of 358 load buses in the network J, and (3) can be further reduced  $_{359}$ to (4): 360

$$\boldsymbol{v}_{c}^{J*} = -\boldsymbol{B}_{c}^{J*}\boldsymbol{p}_{c}^{J*} - \boldsymbol{C}_{c}^{J*}\boldsymbol{q}_{c}^{J*} - \boldsymbol{v}_{0}^{J*}\boldsymbol{m}_{c}^{J*}, \qquad (4) \quad {}_{361}$$

where

$$\boldsymbol{B}_{c}^{J*} = \left[\boldsymbol{B}_{c}^{J*}(x, y)\right]_{x \in \mathcal{N}_{c}^{J*}, y \in \mathcal{N}_{c}^{J*}}, \boldsymbol{B}_{c}^{J*} \in \mathbb{R}^{c^{J*} \times c^{J*}},$$
 36

$$m_c^{J*} = \left[m_c^{J*}(x)\right]_{x \in \mathcal{N}_c^{J*}}, m_c^{J*} \in \mathbb{R}^{c^{J*} \times 1}.$$
 365

The matrices  $B_c^{J*}$ ,  $C_c^{J*}$ , and  $m_c^{J*}$  are derived from  $B^{J*}$ , <sup>366</sup>  $C^{J*}$ , and  $m^{J*}$  by removing the entries associated with buses <sup>367</sup> without connected loads. Fig. 1 depicts the architecture of <sup>368</sup> the integrated primary-secondary distribution networks. Within <sup>369</sup> this illustration, two SDNets connected to distinct phases are <sup>370</sup> highlighted in blue and red, respectively, to provide a detailed <sup>371</sup> representation of the network structure. <sup>372</sup>

## B. Primary-Secondary Distribution Network Combination

For a distribution network, the PDNet and SDNets are <sup>374</sup> interconnected through STs. The aggregated power of the STs <sup>375</sup> plays a crucial role in shaping the PFlw within the PDNet. <sup>376</sup> Consequently, any changes in the PDNet's PFlw directly <sup>377</sup> impact the sub-SDNets, specifically by altering the primary <sup>378</sup> side voltage of the STs connecting them. When constructing <sup>379</sup> the primary-secondary combined PFlw model, it is essential <sup>380</sup> to consider this interdependence. The core of this coupling <sup>381</sup> lies in the voltage of the ST's primary windings, which <sup>382</sup> act as pivotal points. These voltage levels serve to connect <sup>383</sup> the two-level PFlws, seamlessly integrating the PDNet and <sup>384</sup> all SDNets into a unified framework. To comprehensively <sup>385</sup> formulate the coupled PFlw model, we consolidate all SDNets <sup>386</sup> into a compact expression, focusing on the role of  $\nu$  in <sup>387</sup> connecting all components. <sup>388</sup>



(5)

Fig. 1. The structure of integrated primary-secondary distribution networks.

Let *I* represent the number of SDNets in the distribution feeder. The measurements of all load buses in the SDNets can be compactly denoted by column vectors of size  $N_c \times 1$ , where  $N_c = \sum_{J=1}^{J=1} n_c^{J*}$  represents the total number of load buses in the feeder, equaling the customer number. The last term in (4) can also be collected in a column vector as:

$$\boldsymbol{\mu}_{c} = \begin{bmatrix} [\boldsymbol{\mu}_{1}]^{T}, [\boldsymbol{\mu}_{2}]^{T}, \dots, [\boldsymbol{\mu}_{I}]^{T} \end{bmatrix}^{I}$$

$$\boldsymbol{\mu}_{I} \in \{\boldsymbol{v}_{c}^{I*}, \boldsymbol{p}_{c}^{J*}, \boldsymbol{q}_{c}^{J*}\} \quad J \in \{1, 2, \dots, L^{T}\}$$

<sup>396</sup>  $\mu_J \in \{ v_c^{J*}, p_c^{J*}, q_c^{J*} \} \ J \in \{1, 2, ..., I\},$ <sup>397</sup>  $m_c = \left[ \left[ v_0^{1*} m_c^{1*} \right]^T, \left[ v_0^{2*} m_c^{2*} \right]^T, ..., \left[ v_0^{I*} m_c^{I*} \right]^T \right]^T,$ 

<sup>398</sup> where  $\mu_c$  is a substitutable variable representing  $p_c$ ,  $q_c$  or  $v_c$ , <sup>399</sup> which denote the loading data and squared voltage data from <sup>400</sup> the customer side. Then (4) can be expanded to reflect the <sup>401</sup> relationship between voltage and power consumption of all <sup>402</sup> load buses in the feeder:

 $\boldsymbol{v}_c = -\boldsymbol{B}_c \boldsymbol{p}_c - \boldsymbol{C}_c \boldsymbol{q}_c - \boldsymbol{m}_c,$ 

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404 where

 $\boldsymbol{B}_c = \operatorname{diag} \left( \boldsymbol{B}_c^{1*}, \boldsymbol{B}_c^{2*}, \dots, \boldsymbol{B}_c^{I*} \right),$  $\boldsymbol{C}_c = \operatorname{diag} \left( \boldsymbol{C}_c^{1*}, \boldsymbol{C}_c^{2*}, \dots, \boldsymbol{C}_c^{I*} \right).$ 

In the context of the combined model represented by (5), 407 408 several important points exist to be considered. Firstly, matri-409 ces  $B_c$  and  $C_c$  are derived from the topology information of 410 all the SDNets. As per the equations, it is evident that these 411 matrices are symmetrical and sparse. Secondly, the vector  $m_c$ 412 is influenced by both the SDNet topology and the head node <sup>413</sup> voltage  $[v_0^{1*}, \ldots, v_0^{I*}]$  of the SDNets. The head nodes represent 414 the primary side of the STs, directly connected to the primary <sup>415</sup> network buses. This implies that the voltage of the primary 416 network buses can impact the voltage of the load buses. At 417 each time instance t, if we hold the constant components 418 and separate the varying components of the voltage, the head <sup>419</sup> node voltage can be expressed as  $[v_s^{1*} + \Delta v^1(t), \dots, v_s^{I*} +$ <sup>420</sup>  $\Delta v^{I}(t)$ ], where  $v_{s}^{I*}$  represents a constant voltage value, and <sup>421</sup>  $\Delta v^{I}(t)$  represents the voltage fluctuation at time t. As a result, <sup>422</sup> the vector  $m_c$  can be decomposed into  $m_c^s$  and  $m_c^{\Delta}$ , where 423  $m_c^s$  represents the constant component, and  $m_c^{\Delta}$  represents <sup>424</sup> the fluctuating component. Notably,  $m_c^{\Delta}$  exhibits intricate relationships with customer load, voltage regulators, PDNet 425 topologies, and other factors that can influence changes in 426 PDNet's PFlw, making explicit calculation challenging. Thus, 427 assuming fixed topologies and mainly considering customer 428 loads and voltage regulators, the voltage variance item  $m_c^{\Delta}$  429 can be represented as  $\Psi(p_c, q_c, r)$ , with  $\Psi(\cdot)$  representing 430 the voltage variance relationship, and r denoting the actions 431 of voltage regulators in the PDNet. Thirdly, for enhanced 432 performance, accounting for linearization errors is crucial, 433 especially when considering SDNets, which exhibit greater 434 losses than PDNet due to their lower voltage. These errors 435 are related to the squared line power and squared voltage 436 terms, indicating their association with the quadratic terms 437 of customers' net active/reactive power consumption and the 438 squared voltage [24]. Due to its complexity, we represent the 439 error term implicitly as  $\chi(p_c, q_c, v_c)$ , where  $\chi(\cdot)$  represents 440 the complex relationship. In summary, taking into account the 441 above discussions, the final expression of the PDNet-SDNets 442 coupled PFlw model can be written as follows: 443

$$v_c = Ep_c + Hq_c - m_c^s + \Psi(p_c, q_c, r) + \chi(p_c, q_c, v_c),$$

$$E = -B_c H = -C_c,$$
444

$$\boldsymbol{m}_{c}^{s} = \left[ \left[ v_{s}^{1*} \boldsymbol{m}_{c}^{1*} \right]^{T}, \left[ v_{s}^{2*} \boldsymbol{m}_{c}^{2*} \right]^{T}, \dots, \left[ v_{s}^{I*} \boldsymbol{m}_{c}^{I*} \right]^{T} \right]^{T}. \quad (6) \quad {}_{446}$$

In the next section, we will design the PINN model based on 447 the format of the combined PFlw model mentioned above and 448 the characteristics of the coefficient matrices. 449

## III. PHYSICS-INSPIRED MODEL-FREE VOLTAGE 450 CALCULATION METHOD 451

## A. Model-Free Voltage Calculation Problem Restatement 452

The essential thinking of our model-free voltage calculation 453 method is to learn and model complex multi-dimensional 454 underlying relationships between the input loads ( $\mathcal{P}$ ,  $\mathcal{Q}$ ) 455 and corresponding voltages ( $\mathcal{V}$ ). The relationship can be 456 simply modeled as  $\mathcal{V} = F(\mathcal{P}, \mathcal{Q})$ . In our problem, load 457 data  $\mathcal{P}$ ,  $\mathcal{Q}$ , and  $\mathcal{V}$  represent the system measurements in 458 a period of time collected by customer-side SMs. Based 459 on model training, the function  $F(\cdot)$  can be obtained by 460 estimating the model parameter  $\theta$  learned from the SM data. 461 Unlike previous works, our approach does not rely entirely 462



Fig. 2. The structure of the proposed PINN model.

<sup>463</sup> on implicit PFlw relationship mapping. Instead, the PINN <sup>464</sup> model is designed to implicitly learn the highly nonlinear <sup>465</sup> components of the PFlw model that cannot be directly derived, <sup>466</sup> while explicitly capturing the remainder and preserving the <sup>467</sup> physical structure. With the integration of a physics-inspired <sup>468</sup> structure, our goal is to enhance the model's extrapolation <sup>469</sup> ability. The model's parameters thus consist of both physics-<sup>470</sup> inspired and conventional components. Overall, this paper's <sup>471</sup> focus can be summarized as  $v_c = F(p_c, q_c; \theta_\eta, \theta_\phi)$ , where <sup>472</sup>  $\theta_\eta$  denotes the parameters emerging physical information; <sup>473</sup> the other parameters are included into  $\theta_\phi$ . This paper will <sup>474</sup> demonstrate how to design the  $F(\cdot)$  model and present a <sup>475</sup> customized framework to train the parameter  $\Theta = \{\theta_\eta, \theta_\phi\}$ <sup>476</sup> based on the dataset  $\mathcal{D}_{tr} = \{v_c, p_c, q_c\}$ .

## 477 B. PINN Model Structure

In this section, we propose a customized neural network inspired by the PDNet-SDNets coupled PFlw model. The model structure is shown in Fig. 2. The PINN model comtransformation from the physics-inspired module  $F_{\eta}$ , the linearized error compensation module  $F_e$ , and the voltage variance capture module  $F_{\nu}$ .

1) Physics-Inspired Module: As explained in Section II, 484 485 the relationship between customer loads and voltages can 486 be transformed into a linear relationship, combined with 487 two complex implicit terms. The physics-inspired module, 488 established on the linear feed-forward layers, is the core 489 component that exhibits linear characteristics. It is important note that the voltage terms are squared; hence, the data 490 to <sup>491</sup> used in model training undergoes a similar squaring operation. <sup>492</sup> This module incorporates three distinct neural layers -  $\eta_a^p$ ,  $\eta_b^q$ , 493 and  $\eta_c^s$  - designed to simulate the linear part of (6). Apart <sup>494</sup> from layer  $\eta_c^s$ , where the weight matrix  $W_c$  is an identity 495 matrix, the parameters of the three layers make up the physical <sup>496</sup> parameter set  $\theta_{\eta} = \{W_a, W_b, b_c\}$ . In particular,  $W_a$  serves 497 as the coefficient matrix for the active power matrix and <sup>498</sup> primarily captures E. On the other hand,  $W_b$  symbolizes the coefficient matrix for the reactive power matrix and is responsible for estimating *H*. The model considers  $-m_c^s$  through  $b_c$ . 500 These coefficient matrices encapsulate the topology pattern 501 and hold information about the line parameters. As a result, 502 the parameters of the well-trained physics-inspired module 503 can encapsulate network information, owing to its "structuremimetic" design. The knowledge acquired by the coefficient 505 matrices can provide the foundation for power network physics 506 information awareness tasks, further explained in Section IV. 507

2) Linearized Error Compensation Module: This module 508 serves the crucial role of mitigating the voltage calculation 509 errors introduced by the linearized PFlw model, reflecting the 510  $\chi(p_c, q_c, v_c)$  term in (6). While previous works commonly 511 neglect losses from power lines and STs, we recognize 512 the significance of considering these losses to enhance the 513 model's performance since the PDNet and SDNet combined 514 network is considered. As discussed in Section II, voltage 515 calculation errors are linked to the squared line power and 516 squared voltage terms, which in turn, are associated with 517 the quadratic expressions of customers' net active/reactive 518 power consumption and the squared voltage. This association 519 entails a complex relationship that is challenging to compute 520 explicitly. Hence, our module employs fully connected MLPs 521 with the  $tanh(\cdot)$  activation function. The MLP module enables 522 us to effectively model the intricate non-linear relationship 523 between bus injection power and the error compensation for 524 customer node voltages. Consequently, our model can more 525 accurately compensate for voltage calculation errors. The 526 inputs to this module consist of the squared customers' net 527 active/reactive power consumption and squared voltage, while 528 the outputs yield the error compensation for customer node 529 voltages. 530

3) Voltage Variance Capture Module: This module serves 531 to capture the voltage variance of the head bus voltage of 532 each SDNet, represented as  $\chi(p_c, q_c, v_c)$  term. This voltage 533 variance arises from changes in PFlw of the PDNet. The 534 relationships between influencing factors (e.g., customer loads, 535 voltage regulators) and voltage variance are complex, making 536 explicit consideration challenging. To address this complex- 537 ity, we employ the MLP model to effectively capture the 538 nonlinear relationships. While our focus in this study is on 539 fixed topologies, considering the topology modifications is 540 potentially future work highlighted in Section V. Among 541 the influential factors, customer loads and voltage regulators, 542 notably on-load tap changers (OLTCs), are the key contrib- 543 utors. The actions of OLTCs closely align with the overall 544 load conditions and the resultant PDNet voltage levels, which, 545 in turn, depend on the load situations. To effectively capture 546 this relationship, we utilize separate inputs for customer loads 547 and total loads, representing the overall load conditions. The 548 module outputs estimate the voltage variance at the head bus of 549 each ST. 550

## C. PINN Model Training Framework

551

To enhance the performance and accelerate the convergence 552 of the PINN model, this paper employs customized training 553 processes that account for the unique characteristics of the 554 problem. 555 *1) Data Normalization:* Data normalization is an important pre-processing step when training deep neural networks, as it see helps improve model convergence, reduce overfitting issues, and enhance generalization ability. Thus, we select the linear transformation method, specifically standardization, to accomplish this task [16].

2) Weight Initialization: Based on the designed neural 562 <sup>563</sup> network structure, two groups of weights need to be initialized <sup>564</sup> that are  $\theta_{\eta} = \{ W_a, W_b, b_c \}$  for the physics-inspired part and 565  $\theta_{\phi} = \{\{W_k^e\}_{k=1}^K, \{W_l^v\}_{l=1}^L\}$  for other compensation parts, where  $W_k^e$  represents the kth layer in linearized error compensation 566 <sup>567</sup> module; the *l*th layer in voltage variance capture module is <sup>568</sup> recorded as  $W_l^{\nu}$ . According to the explanation in Section II, 569 the E and H are non-positive symmetric matrices. To obtain 570 better initial status and keep these properties, the  $W_a, W_b$  are  $_{571}$  initialized as identity matrices I with the same size, that is, 572  $W_a^{init}, W_b^{init} = -I_n \odot K_{n \times n}$ , where  $K \sim \mathcal{U}(0, 1)$ . We initialize 573 the  $b_c$  using random values yield to  $\mathcal{U}(0, 1)$ . To prevent the 574 gradient from exploding or vanishing, we utilize the widely-575 used Xavier method for the initialization of other parameters 576  $\theta_{\phi}$ . Details of the methods can be found in [25].

<sup>577</sup> 3) Loss Function and Regularization: The loss function <sup>578</sup>  $\mathcal{L}(\cdot, \cdot)$  is a mathematical function that measures the difference <sup>579</sup> between the predicted output of the neural network and the true <sup>580</sup> output for a given input. In our problem, mean squared error <sup>581</sup> (MSE) is used to measure the difference between predictive <sup>582</sup> and actual voltage.

In addition to the typical error calculation components, regularization is another common element included in the loss function. Regularization is considered as penalty terms added to the loss function to impose soft constraints. In our problem, we employ the regularization method to encourage the network to retain physical information while updating to minimize loss. The designed loss function with regularization terms can be expressed as:

591 
$$\mathcal{J}(\Theta) = L_{\Theta} + L_{\theta_n}^{\eta} + \mathcal{R}_{\Theta},$$
 (7)

$$L_{\Theta} = \frac{1}{N_b} \left( \sum_{i=1}^{N_b} \mathcal{L} \left( F(\boldsymbol{p}_i^{c_n}, \boldsymbol{q}_i^{c_n}; \Theta), \boldsymbol{v}_i^{c_n} \right) \right), \tag{8}$$

$$L^{\eta}_{\theta_{\eta}} = \frac{\delta}{N_b} \left( \sum_{i=1}^{N_b} \mathcal{L} \left( F_{\eta} \left( \boldsymbol{p}_i^{c_n}, \boldsymbol{q}_i^{c_n}; \theta_{\eta} \right), \boldsymbol{v}_i^{c_n} \right) \right), \tag{9}$$

594 
$$\mathcal{R}_{\Theta} = \lambda \| \boldsymbol{W}_{\{a,b\}} \|_{2} + \beta \left( \sum_{i} \sum_{j} \boldsymbol{W}_{\{a,b\}}^{i,j} - \| \boldsymbol{W}_{\{a,b\}} \|_{1} \right) + \gamma \| \boldsymbol{F}_{e}^{o} \|_{1},$$
(10)

<sup>596</sup> where  $N_b$  is the batch size;  $\delta$  is the scaling factor;  $\|\cdot\|_1$  and <sup>597</sup>  $\|\cdot\|_2$  denote the Manhattan Norm (MN) and Euclidean Norm <sup>598</sup> (EN) respectively;  $\lambda$ ,  $\beta$  and  $\gamma$  are the weighting factors for <sup>599</sup> three regularization terms. The proposed loss function  $\mathcal{J}(\Theta)$ <sup>600</sup> incorporates three main components.  $F_e^o$  denotes the output <sup>601</sup> of the linearization compensation module. First,  $L_{\Theta}$  signifies <sup>602</sup> the model prediction error calculated by MSE, forming the <sup>603</sup> primary component of the loss function. Second,  $L_{\theta_{\eta}}^{\eta}$  is a <sup>604</sup> customized term that calculates the difference between the <sup>605</sup> outputs of the physics-inspired module and actual voltages <sup>606</sup> employing MSE. This term aims to reduce error compensation

## Algorithm 1 PINN Model Training Algorithm

- **Require:** Training set  $\mathcal{D}_{tr} = \{ \mathbf{v}_c, \mathbf{p}_c, \mathbf{q}_c \}$ , initial learning rate (LR)  $\alpha_0$ , decay factor k, momentum  $\zeta$ , mini-batch size  $N_b$ , number of epochs  $\mathcal{T}$
- 1: Initialize the parameters of network  $F_{\theta}$  as  $\Theta = \left\{ \theta_{\eta}^{0}, \theta_{\phi}^{0} \right\}$  by designed rules; update initial LR as  $\alpha \leftarrow \alpha_{0}$
- 2: for epoch = 1 to  $\mathcal{T}$  do
- 3: for i = 1 to  $\lceil N/N_b \rceil$  do
- 4: Select  $N_b$  example pairs from shuffled  $\mathcal{D}_{tr}$  forming mini-batch  $S_i = \{ \boldsymbol{p}_b^{c_n}, \boldsymbol{q}_b^{c_n}, \boldsymbol{v}_b^{c_n} \}_{b=1}^{N_b}$
- 5: Compute gradient of the loss function with respect to network parameters as

 $\nabla_{\theta} \mathcal{J}(\Theta; S_i) = \left\{ \nabla_{\theta_{\eta}} \mathcal{J}, \nabla_{\theta_{\phi}} \mathcal{J} \right\}$ 

6: Editing gradient of physics-inspired module based on weight symmetry averaging as

$$abla_{ heta_\eta}\mathcal{J} \leftarrow rac{1}{2}ig(
abla_{ heta_\eta}\mathcal{J} + 
abla_{ heta_\eta}\mathcal{J}^Tig)$$

Update the parameters using SGD update rule:

$$\vec{\boldsymbol{\nu}} \leftarrow \zeta \boldsymbol{\nu} + (1 - \zeta) \nabla_{\theta} \mathcal{J}(\Theta; S_i) \Theta \leftarrow \Theta - \alpha \vec{\boldsymbol{\nu}} \qquad \rhd \boldsymbol{\nu} \leftarrow \nabla_{\theta} \mathcal{J}(\Theta; S_{i-1})$$

8: **if**  $\lceil \alpha/e \rceil == 0$  **then** 8:  $\alpha \leftarrow k\alpha \triangleright$  decays LR

$$\alpha \leftarrow k\alpha \triangleright$$
 decays LR  $\alpha$  by k every e epochs

9: end if

7:

10: end for11: end for

12: return  $F_{\theta_f}$ 

from other modules, thereby enhancing overall accuracy. <sup>607</sup> Finally, the regularization term  $\mathcal{R}_{\Theta}$  is included in the loss <sup>608</sup> function. The EN of  $W_{\{a,b\}}$  is adopted in  $\mathcal{R}_{\Theta}$  to make the <sup>609</sup> weight matrices sparse, reflecting the characteristics of real E <sup>610</sup> and H. To maintain  $W_{\{a,b\}}$  as non-positive, we introduce the <sup>611</sup> subtraction between the element summation of  $W_{\{a,b\}}$  and MN <sup>612</sup> as soft constraints. Similar to  $L^{\eta}_{\theta_{\eta}}$ , we supplement the MN of <sup>613</sup>  $F_e$  in the regularization terms to minimize error compensation, <sup>614</sup> as the actual linearized error cannot be large.

4) Gradient Editing: Due to the properties of the E and <sup>616</sup> H, it is crucial to maintain the symmetry of the weight <sup>617</sup> matrices  $W_{\{a,b\}}$  during network training to achieve bet- <sup>618</sup> ter performance. Considering the symmetrical initialization <sup>619</sup> weights, one straightforward approach is to enforce weight <sup>620</sup> symmetry by replacing the gradient of the weight matrix <sup>621</sup>  $W_{\{a,b\}}$  with the average of the gradient and its transpose during <sup>622</sup> the backpropagation phase. This technique is known as the <sup>623</sup> weight symmetry averaging. After considering all the steps <sup>624</sup> outlined previously, we utilize Stochastic Gradient Descent <sup>625</sup> (SGD), a widely used optimization technique, as the optimizer <sup>626</sup> for updating the model parameters. The training procedure for <sup>627</sup> the PINN model is provided in **Algorithm 1**.

## IV. APPLICATIONS OF PINN-BASED VOLTAGE 629 CALCULATION MODEL 630

## A. Model-Free Locational PV Hosting Capacity Calculation 631

To ensure the seamless integration of new PV installations, it 632 is essential to conduct the locational PV HC analysis [5], [26]. 633 This analysis helps to determine the maximum PV capacity 634 that can be accommodated within the grid without violating operational constraints at specific locations or necessitating grid upgrades. The HC analysis considers various impact criteria, such as system overvoltage, thermal stress, harmonics, etc. Its primary focus is to uphold good voltage quality, particularly for typical North American residential circuits [27]. Estimating PV HC based on voltage constraints requires accurate voltage estimation in new scenarios, such as reverse PFlw or large volt-age fluctuations. This underlines the paramount significance of excellent potential extrapolation capabilities due to the special structure, making it suitable for calculating voltages in high-penetration PV scenarios. As a result, we conducted locational HC analysis to show the potential application of our proposed model.

## 650 B. PDNet-SDNets Physics Information Awareness

The lack of detailed SDNet models impedes effective 651 652 decision-making and planning for operators. To tackle this 653 challenge, earlier research efforts have delved into TC rela-654 tionship identification [28], [29]. However, the predominant 655 reliance on voltage correlation combined with manual param-656 eter adjustments hinders existing methods from achieving 657 consistent and stable performance. Our proposed model, fea-658 turing a well-designed physics-inspired module, offers novel 659 perspectives on solving TC connectivity problems. To demon-660 strate the model's support for physics information awareness, we developed a method for identifying TC connectivity. This 661 662 method leverages the abundant physical information contained <sup>663</sup> in  $W_a$  and  $W_b$ . The procedure for connectivity identification 664 is detailed in Algorithm 2.

Initially, the algorithm transforms the  $W_a$  and  $W_b$  into the 665 666 minimum connection matrix G, adhering to the threshold  $\tau$ , which has been proposed in Algorithm 2 and proof to be 667 <sup>668</sup> the lower bound of non-zero<sup>2</sup> elements in  $W_a$  or  $W_b$ . G only 669 contains partial customer connection information; detailed <sup>670</sup> below, if the element  $G^{i,j}$  is non-zero, customer *i* and *j* should be connected to the same ST, but the opposite is not true 672 because only the minimum connection number is considered  $_{673}$  to generate G. Hence, the algorithm then applies the "transitive <sup>674</sup> relation" rule to augment G. For instance, if customers i and j, 675 and customers j and d, are respectively connected to the same  $_{676}$  ST, then customers *i*, *j*, and d are considered as linked to the  $_{677}$  same ST. Based on the modified G, customers connected to 678 the same ST form a cluster, and all such clusters constitute 679 a cluster list  $\mathcal{C}$ . The algorithm subsequently and iteratively  $_{680}$  merges the clusters in C, after discarding duplicate items, based 681 on the correlation between two clusters until the number of  $_{682}$  clusters matches the ST counts k. The cluster relationship 683  $RV = \rho(z_s, z_t)$ , where  $z_s$  and  $z_t$  are two clusters from C, can <sup>684</sup> be calculated as  $RV = \sum_{i=1}^{|z_i|} \sum_{j=1}^{|z_i|} (|\boldsymbol{W}_a^{z_i^j}| + |\boldsymbol{W}_b^{z_i^j}|)$ . A higher <sup>685</sup> *RV* value indicates that customers from the two clusters are 686 likely to be connected to the same transformer, suggesting

## Algorithm 2 TC Connectivity Identification

**Require:**  $W_a$ ,  $W_b$ , Customer Num  $N_{c_2}$ , Transformer Num k

- 1: Calculate threshold index  $\tau \leftarrow \lfloor \frac{N_c^2}{k} \rfloor$
- 2: Update  $W_a$ ,  $W_b$  as:

$$\begin{split} \boldsymbol{W}_{a}^{i,j} &\geq \boldsymbol{W}_{a}^{[\tau]} \leftarrow 1; \, \boldsymbol{W}_{a}^{i,j} < \boldsymbol{W}_{a}^{[\tau]} \leftarrow 0; \\ \boldsymbol{W}_{b}^{i,j} &\geq \boldsymbol{W}_{b}^{[\tau]} \leftarrow 1; \, \boldsymbol{W}_{b}^{i,j} < \boldsymbol{W}_{b}^{[\tau]} \leftarrow 0; \\ \boldsymbol{G}^{i,j} \leftarrow [\![(\boldsymbol{W}_{a} + \boldsymbol{W}_{b})_{i,j} > 0]\!]i, j = 1, 2, ..., N_{c}; \\ \boldsymbol{W}^{[\tau]} \text{ denotes the } \tau \text{ largest element of } \boldsymbol{W}. \end{split}$$

3: **for** i = 1 to  $N_c$  **do** 

4: Create initial set 
$$R = \{j | G_{i,j} == 1, j \in 1, ..., N_c\}$$
  
 $CS \leftarrow R, FS \leftarrow R$ 

5: For every item *m* from *R*, conduct update below until |FS| equals to |CS|:

$$FS \leftarrow FS \cup \{j | G_{m,j} == 1\}$$
$$CS \leftarrow FS$$

6: Add *FS* to the cluster list *C*, and remove duplicates7: end for

- 8: while  $|\mathcal{C}| > k$  do
- 9: Calculate  $RV = \rho(z_s, z_t), s, t \in \{1, ..., |C|\}$
- 10: Find minimum value  $RV_{z_s,z_t}$ , then merge  $z_s, z_t$  two sets and update C
- 11: Recalculate  $RV = \rho(z_s, z_t), s, t \in \{1, ..., |\mathcal{C}| 1\}$

12: end while

13: return C

they should be merged. The final TC results are recorded in  $_{687}$ C. Utilizing this straightforward method, we can extract TC  $_{688}$ information from the well-trained PINN model.  $_{689}$ 

**Proposition 1.** The lower bound for the number of nonzero elements in matrix  $W_a$  or  $W_b$  is greater than  $\tau$ , where  $_{691}$  $\tau = \lfloor \frac{N_c^2}{k} \rfloor$ ; k and  $N_c$  denote ST number and total customer  $_{692}$ number, respectively.  $_{693}$ 

*Proof:* When customer *i* and *j* share the same ST, the <sup>694</sup> element  $W_a^{i,j}$  and  $W_b^{i,j}$  will be non-zero. We define  $\mathbf{x} \in \mathbb{Z}^k$  as <sup>695</sup> the number of customers connected to each ST. The problem of <sup>696</sup> finding the minimum number of non-zero elements in matrices <sup>697</sup> can be formulated as min  $y = \mathbf{x}^T \mathbf{x}$ , subject to the constraint <sup>698</sup>  $\sum_{i=1}^k x_i = N_c$ . To solve the problem, we relax  $\mathbf{x}$  to  $\bar{\mathbf{x}} \in \mathbb{R}^k$  and <sup>699</sup> obtain the objective function as  $\bar{y}$ , yielding min  $\bar{y} \leq \min y$ . <sup>700</sup> Notably, the relaxed problem achieves its optimal solution <sup>701</sup> when each ST has an equal number of customers. The optimal <sup>702</sup> value of objective function  $\bar{y}^*$  in this case is  $\frac{N_c^2}{k}$ . To satisfy the <sup>703</sup> integer requirement, we can round this value down to  $\lfloor \frac{N_c^2}{k} \rfloor$ , <sup>704</sup> which preserves the relationship that  $\lfloor \bar{y}^* \rfloor \leq \bar{y}^* \leq y^*$ , where  $y^*$  <sup>705</sup> denotes the optimal value of original problem. The proposition <sup>706</sup> is thus proven.

#### V. CASE STUDIES 708

#### A. Test Circuits and SM Datasets

709

Three distribution feeder models are used for conducting the 710 designed case studies, comprising two public testing circuits, 711

<sup>&</sup>lt;sup>2</sup>Training errors may result in sparse elements in  $W_a$  and  $W_b$  being small but not exactly zero. We still refer to these elements as "zero elements" for convenience and the others as "non-zero elements." This approximation does not affect the final results.

712 namely, "EPRI12Bus" (small) and "EPRICk5" (complex) cir-713 cuits, along with one real utility feeder. Each model integrates 714 STs and SDNets. The small circuit serves 46 customers 715 spread over 12 unique low-voltage SDNets, each boasting 716 distinct topologies and conductor lengths [18]. The complex 717 circuit is modeled after EPRI Ckt5 and includes 591 STs 718 connected with 1379 customers [30]. The real feeder circuit, 719 marked as "Real40Bus", originates from a distribution network 720 in the Midwest U.S., powered by a 69 kV substation. In 721 contrast to the small test circuit, the real utility feeder model 722 features an extended three-phase feeder line with 40 STs 723 connected with 52 customers. Moreover, each customer across 724 the three test circuits was allocated a unique load profile 725 with real and reactive power derived from actual utility smart 726 meter data, with a data resolution of 30 minutes over two 727 years. Utilizing authentic smart meter data, voltage values 728 are produced through OpenDSS based on the corresponding 729 distribution systems.

#### 730 B. Voltage Calculation

1) Simulation Scenario Generation: We tested our 731 732 proposed model through five scenarios, denoted as S1 to S5 733 in Table I. The PV load data are sourced from over 300 solar 734 inverters with 4-10 kW capacities in the Middle U.S. The 735 EV data, culled from various real datasets, had charging 736 capacities of 3-10 kW. During scenario generation, annual PV 737 curves and EV charging profiles are randomly sampled from 738 these datasets and added to customer load curves. In S1, we 739 fully trained and tested the model on historical data without 740 additional PV or EV loads, assessing its performance under 741 normal conditions. S2 introduced PV for 25% of customers 742 in both training and testing data. This scenario tested the 743 model's performance under increased voltage variations 744 caused by fluctuating PV generation. In S3, S4, and S5, the 745 datasets included various PV and EV penetration levels, while 746 the training data remained historical data as in S1. These 747 experiments evaluated the model's extrapolation capability 748 under "unseen" scenarios. Given that our model incorporates 749 SM data as inputs, the analysis of the effects of measurement 750 noise and synchronization discrepancies is conducted to ensure 751 model robustness. The deviations in SM data comprise two 752 primary components. The first component, measurement noise, 753 has been extensively investigated. Research indicates that 754 it generally adheres to a Gaussian distribution with a zero 755 mean and a specific standard deviation [31]. The second 756 component stems from the asynchronous nature of smart 757 meters, which also exhibits a normal distribution as suggested <sup>758</sup> in [31]. Consequently, we can model the overall error as composite of two normally distributed variables, which 759 a <sup>760</sup> inherently results in a normal distribution. Aligning with prior <sup>761</sup> studies [31], [32], and [33], we adopted a deviation level of <sub>762</sub> 5, signifying that measurements are within  $\pm 5\%$  of the actual 763 values. To simulate a more realistic dataset, Gaussian noise The masks were applied to the loading data. The deviation  $\sigma$  of the res setting is given by  $\sigma = dl^* |z^m|/3$ , where dl is the deviation <sup>766</sup> level;  $z^{m}$  represents the loading data measured from the SMs. Therefore, we configured the dl to 5% with a mean of 0 for

TABLE I SIMULATION SCENARIO GENERATION SETTING

Scenario	Training	Testing	PV Penetration(%) EPRI12Bus/Real40Bus/EPRICk5
<b>S</b> 1	basic	basic	0%/ 0%/ 0%
S2	25%PV	25%PV	39%/ 56%/ 57%
<b>S</b> 3	basic	25%PV	39%/ 56%/ 57%
<b>S</b> 4	basic	50%PV	114%/ 108%/ 93%
S5	basic	50%PV + 20%EV	114%/ 108%/ 93%

loading data, and to 1% with a mean of 0 for voltage data. 768 This setup ensures that our proposed model undergoes testing 769 with data that closely mimics real-world conditions. 770

2) *Results Analysis:* The voltage calculation tasks on the 771 five scenarios are carried out by three models, including PINN, 772 linear NN (LNN), and Deep neural network (DNN). The 773 LNN model is the PINN model without the two compensation 774 modules. DNN refers to the fully connected neural network. 775 All the models are built on one-year SM data, among which 776 80% data for training and 20% data for validating, and 777 then tested on one-year long data. The error of the voltage 778 calculation results are shown in Fig. 3 and Fig. 4.

The bar chart in Fig. 3, illustrating the mean absolute error 780 (MAE) values over all time points and customers, shows the 781 PINN models exhibit lower MAE values than the DNN model 782 across all scenarios. As PV and EV penetration levels increase, 783 the MAE differences between the DNN and other models 784 become more prominent. In scenarios S1 and S2, where no 785 unseen cases are present in the test set, the DNN model shows 786 excellent results, with accuracy roughly consistent with the 787 PINN and even better than LNN in large systems. However, 788 when data from new scenarios, e.g., high DER integration, 789 are included in the testing set, the error of the DNN model 790 significantly increases, reaching higher levels. In contrast, the 791 PINN and LNN models continue to perform well, showcasing 792 their strong extrapolation ability. Overall, the PINN and LNN 793 models perform well across most scenarios. When the testing 794 model is small (e.g., EPRI12Bus and Real40Bus), the accuracy 795 of the two models is similar. However, in the EPRICk5 model, 796 where the PFlw relationships of PDNet become more complex 797 due to a larger number of buses, the LNN model struggles 798 to capture these complexities, resulting in increased MAE 799 errors. Conversely, including compensation modules in the 800 PINN model enhances its performance, particularly in complex 801 scenarios where PFlw relationships are intricate. We can also 802 see from Fig. 5 that the differences between PINN and LNN 803 usually occurred on the tip points where voltage regulators 804 could act. The blue error line above each bar in Fig. 3 repre- 805 sents the MAE values obtained when the models are trained 806 and tested with the noisy data. Notably, the proposed model 807 maintains robust performance, even when accounting for 808 potential variations stemming from measurement inaccuracies 809 and synchronization discrepancies commonly present in real- 810 world SM measurements. The boxplots in Fig. 4 further clarify 811 these findings by illustrating the error distributions during 812



Fig. 3. The MAE of three models over different scenarios based on accurate data and noise-added data.



Fig. 4. The error (actual value minus predicted value) distribution of three models over different scenarios during daytime (6 a.m. to 6 p.m.) period.



Fig. 5. Voltage estimation results for three customers from all circuits in S5.

<sup>813</sup> the 6 a.m. to 6 p.m. daytime period, where PV generations <sup>814</sup> have the largest impacts. These visualizations underline that, <sup>815</sup> compared to the DNN model, the errors of PINN results are <sup>816</sup> more concentrated, and such differences are notably prominent <sup>817</sup> in the EPRI12Bus and Real40Bus because of the higher PV <sup>818</sup> penetration level of these two models in the scenarios S4 <sup>819</sup> and S5.

Fig. 6 shows the training results for  $W_a$  and  $W_b$  across 820 821 all test circuits. Physical connections between customers are evident from the significant values (darker colors) in the plots, 822 indicating links between customers. Customers connected to 823 the same transformer exhibit pronounced voltage correlation, 824 forming darker sub-squares in the plots. Notably, the structured 825 connectivity in these plots is influenced by the customer order 826 827 in the input data, which is inaccessible in real scenarios, 828 resulting in more randomized matrices. Additionally, weak 829 correlations between some customers and potential training errors may hinder extracting physical information. Hence, this <sup>830</sup> paper proposes a TC identification algorithm to address these <sup>831</sup> issues, with detailed testing results presented later. <sup>832</sup>

*3)* Assessing the Impacts of Training Dataset Durations: In <sup>833</sup> practical applications of the PINN model, the available training <sup>834</sup> data volume may not be as extensive as in simulation scenarios. For instance, the addition of new customers to the system <sup>835</sup> will result in limited smart meter data. Additionally, the smart <sup>837</sup> meter data missing will also lead to the training dataset shrink. <sup>838</sup> Consequently, understanding the minimal training dataset size <sup>839</sup> required to maintain model efficacy is crucial under these <sup>840</sup> circumstances. To explore this, several simulations are carried <sup>841</sup> out, training the PINN model with datasets spanning one year, <sup>842</sup> six months, three months, one month, and one week. We <sup>843</sup> assessed the models' effectiveness using simulated data from <sup>844</sup> "S5". Fig. 7 illustrates the average MAE in voltage estimations <sup>845</sup> for models trained across these durations.



Fig. 6. The training results of  $W_a$  and  $W_b$  across all datasets.



Fig. 7. Average MAE of voltage estimations across PINN models trained with datasets of varying time spans.

Fig. 7 clearly illustrates that the MAE of the models 847 848 escalates as the duration of the training datasets dimin-849 ishes, transitioning from a year to a week. Specifically, the 850 ERPI12Bus and Real40Bus models exhibit a marginal rise in 851 MAE when the dataset length is curtailed from one year to <sup>852</sup> three months. Although training with one month's data leads to 853 a notable error increase, they are still albeit within acceptable 854 limits. However, the scenario changes drastically under the 855 training of the one-week dataset, where the MAE surges signif-856 icantly, indicating the model's diminished capacity to discern <sup>857</sup> the underlying PFlw relationships. The scale of the challenge is <sup>858</sup> more pronounced in the PINN model applied to the EPRICk5, due to its more extensive scale (requiring the training of 859 <sup>860</sup> more parameters). A pronounced jump in MAE is observed when the dataset is limited to one month, suggesting that 861 <sup>862</sup> larger distribution systems necessitate more extensive datasets.

It's important to note that these observations are based on 863 30-minute interval smart meter data. Increasing the granularity 864 of the data to 15-minute intervals could potentially reduce the 865 minimum dataset size required for effective model training. 866 Preliminary findings suggest that for smaller systems, a dataset 867 spanning two weeks may suffice, while larger systems, akin 868 to the EPRICk5, may necessitate a dataset ranging from two 869 weeks to a month. 870

4) Analysis of Model Retraining Timings: When integrat- 871 ing the proposed model into actual utility systems, maintaining 872 its updated state is crucial for precise voltage estimation. 873 Consequently, model retraining becomes indispensable. This 874 section delineates three triggers for initiating model retraining: 875 error-oriented, event-oriented, and manual intervention. For 876 the error-oriented trigger mechanism, the system operators 877 establish specific error thresholds that are thoughtfully tailored 878 to the unique demands of each distribution system. This 879 customization is crucial to ensure that the model's sensitivity 880 to errors is appropriately calibrated for each system's diverse 881 conditions. When new smart meter data is fed into the 882 model for validation, the model is flagged for retraining if 883 the voltage calculation errors surpass these predetermined 884 thresholds. From the moment these errors are detected, the 885 newly collected smart meter data are gathered and employed 886 as training data for the PINN. In the event-oriented approach, 887 training can be proactively initiated even when the voltage 888 estimation errors by the PINN remain within acceptable limits. 889 This approach is triggered by specific events, which may not 890 necessarily cause immediate errors but also need an update 891 in the model. Key factors that activate this event-oriented 892 trigger include the scheduled changes within the network or 893 the onset of unique operational scenarios. For instance, the 894 peak load scenarios caused by extreme weather conditions 895 (e.g., extremely high/low temperatures) are typically underrepresented in historical datasets. Including data from these 897 unique scenarios enhances model accuracy, as a more diverse 898 training set improves the model's performance in different 899 conditions. Additionally, the proposed model can incorporate 900 the manual setting option for retraining, an essential feature to 901 maintain its relevance and accuracy over time. This approach 902 involves periodically (e.g., weekly, monthly) reviewing and 903 updating the model, regardless of whether it has reached a 904 specific error threshold or encountered a notable event. While 905 this approach may entail a higher computational burden, it 906 is crucial for keeping the model current. Moreover, once the 907 system reaches the error- or event-oriented trigger, the model 908 will be updated again, following the respective retraining 909 protocols. 910

5) Discussion: Relying on the derived coupled PFIw 911 model, the PINN aims to imbue each module with physical 912 significance. The linear neural network portion replicates the 913 linear component of (5) via parameter estimation, exemplify- 914 ing an accurate modeling approach that strictly adheres to the 915 physics rules of the system. This approach allows for precise 916 estimation across various scenarios, regardless of whether the 917 data exists in the historical dataset. The non-linear elements, 918 on the other hand, are indirectly captured by the MLPs, 919 leveraging their exceptional non-linear mapping capabilities. 920 However, several potential issues warrant discussion. Firstly, 921 922 cross-compensation of errors may exist among the three <sup>923</sup> modules during training. Given the lack of model information 924 and the absence of measurements from PDNet, SMs are 925 the only viable data source. Through carefully designed <sup>926</sup> regularization terms, we strive to prevent such compensation. While complete eradication may not be possible, our results 927 demonstrate the effectiveness of the voltage variance capturing 928 929 module, evidenced by comparing PINN and LNN results. <sup>930</sup> Another challenge relates to topology modifications. Changes <sup>931</sup> in the PDNet topology can impact the whole PFlw, thereby <sup>932</sup> compromising calculation accuracy. Current strategies involve 933 retraining the entire model using new data to tackle this <sup>934</sup> problem. However, the proposed PINN model takes a more 935 efficient approach. It retains unchanging parameters such as  $W_a$  and  $W_b$ , and fine-tunes the remaining model components, 936 937 thus reducing the need for extensive training data and com-<sup>938</sup> putational capacity. This strategy can be regarded as genuine 939 transfer learning, a machine learning technique where the <sup>940</sup> model developed for a specific task is adapted for a second 941 related task. Additionally, if certain system information is 942 partially available, we can employ a masking mechanism to <sup>943</sup> reduce the number of training parameters, thereby accelerating <sup>944</sup> and enhancing model convergence. Future work will focus on 945 exploring the integration of known system information and 946 addressing topology modification.

In the real-world deployment of models within utility 947 948 systems, navigating the issue of smart meter data missing 949 is crucial. There are three predominant scenarios of missing 950 the model could face. Firstly, when individual customers <sup>951</sup> experience a short range of data missing, we could address 952 the problem by removing the load data for all customers 953 during those specific intervals, leveraging the fact that our 954 model's input doesn't necessitate continuous data, thereby 955 ensuring minor omissions would not significantly affect the <sup>956</sup> model's accuracy. Secondly, a more challenging scenario arises when a significant portion of data is missing across many 957 <sup>958</sup> customers, leading to a limited dataset for training. Regarding <sup>959</sup> this issue, our previous analysis shows that the model can still <sup>960</sup> yield acceptable results with around one month of complete <sup>961</sup> historical data, indicating a certain resilience to this data 962 missing problem. The third scenario involves extensive data <sup>963</sup> gaps concentrated among a few customers. In such cases, <sup>964</sup> using advanced training methods like transfer learning on an 965 existing, outdated model can help minimize the requirement <sup>966</sup> for large volumes of training data and lessen the impact of 967 these data gaps. These strategies, aimed at mitigating the <sup>968</sup> effects of missing data, are pivotal areas of focus in our <sup>969</sup> upcoming research, offering potential solutions to enhance 970 model reliability in real-world applications.

#### 971 C. Locational Hosting Capacity Estimation

To exhibit how the designed model performs in the calcu-973 lation of locational HC, the Real40Bus model is selected to 974 complete the test. Instead of analyzing just a handful of worst-975 case scenarios and obtaining one PV HC value, the proposed 976 voltage calculation model calculates the maximum accessible 977 PV power at every time point for each customer location.



Fig. 8. Average MAE and MAPE of maximum accessible PV power for all customers.

In this context, the locational HC can be regarded as the 978 minimum value of the maximum accessible PV power across 979 all time points. However, our discussion here is confined to 980 the maximum accessible PV power. To generally exhibit the 981 performance of our model, the MAE and the mean absolute 982 percentage error (MAPE) of the estimation results over all 983 the time points are discussed. The model-based algorithm 984 that uses quasi-static time series simulations is adopted to 985 be the benchmark to calculate the maximum accessible PV 986 power, with more details provided in [5]. The estimation error 987 obtained from the designed model is shown in Fig. 8. Each bar 988 exhibits the average MAE of maximum accessible PV power 989 for one customer over one-year time points, and the green 990 curve presents the MAPE of corresponding estimation results. 991 It can be seen that the average MAE error for each customer 992 remains in a small range, with the maximum error below 3.5 993 kW. The average error over all the customers is just 0.87kW, 994 and the MAPE averages below 2.5%. Compared to previous 995 locational HC work, the performance of the proposed model 996 is competitive [30]. 997

## D. Power Network Physics Information Awareness

Previous research primarily focuses on TC identification 999 based on voltage correlation among customers [29], consider- 1000 ing only voltage information. On the contrary, our proposed 1001 method leverages the knowledge learned by the physics- 1002 inspired module that is well-trained using  $\mathcal{D}_{tr}$  and integrates 1003 both load and voltage data as input. By incorporating addi- 1004 tional information, our method offers a higher capability for 1005 TC identification. We tested the designed algorithm on three 1006 distribution models, and the results are presented in Table II, 1007 where the accuracy metric equals the ratio of the accurately 1008 identified ST number to the total ST number. As shown, 1009 our method achieves excellent results with 100% accuracy, 1010 whether in the designed system with diverse secondary topolo- 1011 gies (i.e., EPRI 12 bus) or in a real utility model. This indicates 1012 its effectiveness in handling complex SDNet patterns and 1013 real-world conditions. Furthermore, the favorable test results 1014 in large distribution networks (i.e., EPRI Ck5) validate the 1015 scalability of our approach. 1016

## VI. CONCLUSION 1017

998

This paper introduced an electric model-free voltage calcu- 1018 lation methodology designed to accommodate the operational 1019

TABLE II TC Connectivity Identification Results

Model	EPRI 12 Bus	Real 40 Bus	EPRI Ck5
Transformer Number	12	40	591
Customer Number	46	50	1379
Correctly Identified	12	40	575
Accuracy Rate	100%	100%	97.3%

1020 and planning needs of distribution networks without the neces-1021 sity for accurate electrical models. Leveraging the structure <sup>1022</sup> inspired by the PDNet-SDNets coupled PFlw, the PINN model 1023 displays potential for extrapolation and exhibits the ability to 1024 capture the physical characteristics of the electrical network. 1025 Supported by a customized training framework, the model 1026 ensures convergence and robust performance. Evaluations <sup>1027</sup> using two public testing systems and a real utility feeder model 1028 affirmed the effectiveness of the model in voltage calculation. 1029 The testing results also corroborated the proposed model's 1030 extrapolation and physical awareness capabilities in locational 1031 HC and TC identification applications. Future work will 1032 explore integrating known system information and assess the 1033 model's adaptability to topology modification. Additionally, 1034 efforts will be directed toward enhancing the model to support 1035 both three-phase and two-phase loads, thereby bolstering the 1036 applicability and accuracy of the PINN.

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