A Two-Level Simulation-Assisted Sequential Distribution System Restoration Model With Frequency Dynamics Constraints

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Abstract—This paper proposes a service restoration model 2 for unbalanced distribution systems and inverter-dominated ³ microgrids (MGs), in which frequency dynamics constraints are 4 developed to optimize the amount of load restoration and guar-5 antee the dynamic performance of system frequency response 6 during the restoration process. After extreme events, the damaged 7 distribution systems can be sectionalized into several isolated 8 MGs to restore critical loads and tripped non-black start dis-9 tributed generations (DGs) by black start DGs. However, the high 10 penetration of inverter-based DGs reduces the system inertia, 11 which results in low-inertia issues and large frequency fluctua-12 tion during the restoration process. To address this challenge, we 13 propose a two-level simulation-assisted sequential service restora-14 tion model, which includes a mixed integer linear programming 15 (MILP)-based optimization model and a transient simulation 16 model. The proposed MILP model explicitly incorporates the 17 frequency response into constraints, by interfacing with transient 18 simulation of inverter-dominated MGs. Numerical results on a 19 modified IEEE 123-bus system have validated that the frequency 20 dynamic performance of the proposed service restoration model 21 are indeed improved.

Index Terms—Frequency dynamics, service restoration, 22 23 network reconfiguration, inverter-dominated microgrids, 24 simulation-based optimization.

NOMENCLATURE

27	$\Omega_{ m BK}$	Set of bus blocks.
28	$\Omega_{ m G}$	Set of generators.
29	$\Omega_{\rm BS}$	Set of generators with black start capability.
30	$\Omega_{\rm NBS}$	Set of generators without black start capabil-
31		ity.
32	$\Omega_{\rm K}$	Set of distribution lines.
33	Ω_{SW_K}	Set of switchable lines.

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$\Omega_{\rm NSW_K}$	Set of non-switchable lines.
$\Omega_{ m L}$	Set of loads.
Ω_{SW_L}	Set of switchable loads.
$\Omega_{ m NSW_L}$	Set of non-switchable loads.
Ω_{ϕ}	Set of phases.
Indices	

BK	Index of bus block.	40
k	Index of line.	41
i, j	Index of bus.	42
t	Index of time instant.	43
ϕ	Index of three-phase ϕ_a, ϕ_b, ϕ_c .	44

Parameters

a_{ϕ}	Approximate relative phase unbalance.	46
$D_{\mathrm{P}}, D_{\mathrm{Q}}$	$P-\omega$ and $Q-V$ droop gains.	47
f_0	Nominal steady-state frequency.	48
f^{\min}	Minimum allowable frequency during the	49
	transient simulation.	50
М	Big-M number.	51
$P_i^{\mathrm{G},\mathrm{M}}, Q_i^{\mathrm{G},\mathrm{M}}$	Active and reactive power output maximum	52
	limits of generator at bus <i>i</i> .	53
$P_k^{\mathrm{K},\mathrm{M}}, Q_k^{\mathrm{K},\mathrm{M}}$	Active and reactive power flow maximum	54
K - K	limits of line k.	55
$p_{k,\phi}$	Phase identifier of line k.	56
R, L	Aggregate resistance and inductance of con-	57
	nections from the inverter terminal's point	58
	review.	59
\hat{R}_k, \hat{X}_k	Matrices of resistance and reactance of line <i>k</i> .	60
Т	Length of rolling horizon.	61
$U_i^{\rm m}, U_i^{\rm M}$	Minimum and maximum limit for squared	62
	nodal voltage magnitude of bus <i>i</i> .	63
V _{bus}	Bus voltage.	64
Z_k, \hat{Z}_k	Matrices of original impedance and equivalent	65
	impedance of line k.	66
α	Hyper-parameter in frequency dynamics con-	67
	straints.	68
Δf^{\max}	User-defined maximum allowable frequency	69
	drop limit.	70
$\Delta f^{\mathrm{meas}} w_i^{\mathrm{L}}$	Measured maximum transient frequency drop.	71
$w_i^{\rm L}$	Priority weight factor for load of bus <i>i</i> .	72
$\omega_{\rm c}$	Cut-off frequency of the low pass filter.	73
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25 26 Sets 34

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74	$\omega_{\rm set}, V_{\rm set}$	Set points of frequency and voltage			
75		controllers.			
76	ω_0	Nominal angular frequency.			
77	Variables				
78	f^{nadir}	Frequency nadir during the transient simula-			
79		tion.			
80	$I_{\rm d}, I_{\rm q}$	<i>dq</i> -axis current.			
81	P, Q	Filtered terminal output active and reactive			
82		power.			
83	$P^{\mathrm{L}}, Q^{\mathrm{L}}$ $P^{\mathrm{G}}_{i,\phi,t}$	Restored active and reactive loads.			
84	$P_{i,\phi,t}^{G}$	Three-phase active power output of generator			
85		at bus <i>i</i> , phase ϕ , time <i>t</i> .			
86	$P^{ ext{G,MLS}}_{i,t} \\ P^{ ext{K}}_{k,\phi,t}$	Maximum load step at bus <i>i</i> , time <i>t</i> .			
87	$P_{k,\phi,t}^{K}$	Three-phase active power flow of line k , phase			
88	κ,ψ,ι	ϕ , time t.			
89	$P^{\mathrm{L}}_{i,\phi,t} \ Q^{\mathrm{G}}_{i,\phi,t}$	Restored active load at bus <i>i</i> , phase ϕ , time <i>t</i> .			
90	$Q_{i,\phi}^{G}$	Three-phase reactive power output of genera-			
91	$\sim i, \varphi, i$	tor at bus <i>i</i> , phase ϕ , time <i>t</i> .			
92	$Q_{k,\phi,t}^{\mathrm{K}}$	Three-phase reactive power flow of line k ,			
93	$\sim_{\kappa,\varphi,l}$	phase ϕ , time t.			
94	$U_{i,\phi,t}$	Squared of three-phase voltage magnitude.			
95	V	Output voltage of the inverter.			
96	$x_{i,t}^{\mathbf{B}}$	Binary energizing status of bus, if $x_{i_f}^{\rm B} = 1$			
97	1,1	then the bus <i>i</i> is energized at time <i>t</i> .			
98	$x_{B,t}^{\mathrm{BK}}$	Binary energizing status of bus block, if			
99	<i>D</i> , <i>i</i>	$x_{B,t}^{\rm BK} = 1$ then the bus block B is energized			
100		at time t.			
101	$x_{i,t}^{G}$	Binary switch on/off status of grid-following			
102	1,1	generator, if $x_{i,t}^{G} = 1$ then the grid-following			
103		generator at bus <i>i</i> is switched on at time <i>t</i> .			
104	$x_{k,t}^{\mathbf{K}}$	Binary connection status of line, if $x_{k,t}^{\rm K} = 1$			
105	к,1	then the line k is connected at time t.			
106	$x_{i,t}^{L}$	Binary restoration status of load, if $x_{i,t}^{L} = 1$			
107	.,.	then the load <i>i</i> is restored at time <i>t</i> .			
108	$\Delta P_{i,t-1}^{G,MLS}$	Change of the maximum load step.			
109	$\theta^{i,i-1}$	Output phase angle of the inverter.			
110	ω	Output angular frequency of the inverter.			
		I INTRODUCTION			

I. INTRODUCTION

XTREME events can cause severe damages to power dis-112 L tribution systems [1], e.g., substation disconnection, line 113 114 outage, generator tripping, load shedding, and consequently 115 large-scale system blackouts [2]. During the network and ser-116 vice restoration, in order to isolate faults and restore critical 117 loads, a distribution system can be sectionalized into several 118 isolated microgirds (MGs) [3]. Through the MG formation, 119 buses, lines and loads in outage areas can be locally ener-120 gized by distributed generations (DGs), where more outage 121 areas could be restored and the number of switching operations 122 could be minimized [4]-[9]. In [4], the self-healing mode of ¹²³ MGs is considered to provide reliable power supply for critical loads and restore the outage areas. In [5], a networked 124 125 MGs-aided approach is developed for service restoration, which considers both dispatchable and non-dispatchable DGs. 127 In [6] and [7], the service restoration problem is formulated 128 as a mixed integer linear programming (MILP) to maximize 129 the critical loads to be restored while satisfying constraints for MG formation and remotely controlled devices. In [8], the 130 formation of adaptive multiple MGs is developed as part of 131 the critical service restoration strategy. In [9], a sequential service restoration framework is proposed to generate restoration 133 solutions for MGs in the event of large-scale power outages. 134 However, the previous methods mainly use the conventional 135 synchronous generators as the black start units, and only con- 136 sider steady-state constraints in the service restoration models, 137 which have limitations in the following aspects: 138

(1) An inverter-dominated MG can have low-inertia: With 139 the increasing penetration of inverter-based DGs (IBDGs) 140 in distribution systems, such as distributed wind and pho-141 tovoltaics (PVs) generations, the system inertia becomes 142 lower [10], [11]. When sudden changes happen, such as DG 143 output changing, load reconnecting, and line switching, the 144 dynamic frequency performance of such low-inertia distribu- 145 tion systems can deteriorate [12]. This issue becomes even 146 worse when restoring low-inertia inverter-dominated MGs. 147 Without considering frequency dynamics constraints, the load 148 and service restoration decisions may not be implemented in 149 practice. 150

(2) Frequency responses need to be considered: Previous 151 studies [13]-[16] have considered the impact of disturbances 152 on frequency responses in the service restoration problem 153 using different approaches. In [13], the amount of load restored 154 by DGs is limited by a fixed frequency response rate and 155 maximum allowable frequency deviation. However, because 156 the frequency response rate is pre-determined in an off-line 157 manner, the impacts of significant load restoration, topology 158 change, and load variations may not be fully captured by the 159 off-line model. In [14], the stability and security constraints are 160 incorporated into the restoration model. However, this model 161 has to be solved by meta-heuristic methods due to the non- 162 linearity of the stability constraints, which may lead to large 163 optimality gaps. In [15], even though the transient simulation 164 results of voltage and frequency are considered to evaluate 165 the potential MG restoration paths in an online manner, it 166 adopts a relatively complicated four-stage procedure to obtain 167 the optimal restoration path. In [16], a control strategy of 168 real-time frequency regulation for network reconfiguration is 169 developed, nonetheless, it is not co-optimized with the switch 170 operations. 171

(3) Grid-forming IBDGs need to be considered: In previous 172 studies on optimal service restoration, IBDGs are usually mod- 173 eled as grid-following sources (i.e., PQ sources) to simply 174 supply active and reactive power based on the control com- 175 mands. However, during the service restoration after a network 176 blackout and loss of connection to the upstream feeder, a grid- 177 forming IBDG will be needed to setup voltage and frequency 178 references for the blackout network [17]. During outages, 179 the grid-following IBDGs will be switched off. After out- 180 ages, the grid-forming IBDGs have the black start capability, 181 which can restore loads after the faults are isolated. Because 182 IBDGs are connected with power electronics converters and 183 have no rotating mass, there is no conventional concept of 184 "inertia" for IBDGs. Thus, control techniques such as droop 185 control [18], [19] and virtual synchronous generator (VSG) 186 control [20], [21] are usually adopted to emulate the inertia 187 property in IBDGs. 188

To alleviate the frequency fluctuations caused by service 189 ¹⁹⁰ restoration, we establish a MILP-based optimization model with frequency dynamics constraints for sequential service 191 192 restoration to generate sequential actions for remotely controlled switches, restoration status for buses, lines, loads, 193 ¹⁹⁴ operation actions for grid-forming and grid-following IBDGs, which interacts with the transient simulation of inverter-195 dominated MGs. Inspired by recent advances in simulation-196 ssisted methods [15], [22] and to incorporate the frequency a 197 198 dynamics constraints explicitly in the optimization formulation, we associate the frequency nadir of the transient simula-199 200 tion with respect to the maximum load that a MG can restore. Although some previous works have considered the transient 201 202 simulation as well in finding the optimal restoration solution, they either adopts a heuristic framework, or merely using the 203 transient simulation to validate the feasibility of the obtained 204 storation solution after solving an optimization problem. By 205 TC contrast, the proposed two-level simulation-assisted restoration 206 207 model directly incorporates the transient simulation module top of a strict MILP optimization problem via explicit 208 on constraints, thus its solving process is more tractable and 209 straightforward. 210

The main contribution of this paper is two-folded:

• We develop a two-level simulation-assisted sequential service restoration model within a rolling horizon framework, which combines a MILP-based optimization level of service restoration and a transient simulation level of inverter-dominated MGs.

Frequency dynamics constraints are developed and explicitly incorporated in the optimization model, to associate the simulated frequency responses with the decision variables of maximum load step at each stage. These constraints help restrict the system frequency drop during the transient periods of restoration. Thus, the generated restoration solution can be more secure and practical.

The reminder of the paper is organized as follows: Section II presents the overall framework of the proposed service restoration model. Section III introduces frequency dynamics constrained MILP-based sequential service restoration. Section IV describes transient simulation of inverter-dominated MGs. Numerical results and conclusions are given in Section V and Section VI, respectively.

II. OVERVIEW OF THE PROPOSED SERVICE RESTORATION MODEL

The general framework of the proposed two-level 233 234 simulation-assisted service restoration is shown in Fig. 1, ²³⁵ including an optimization level of MILP-based sequential service restoration model and a transient simulation level of 236 7th-order electromagnetic inverter-dominated MG dynamic 237 model. After outages, the fault-affected areas of the distri-238 bution system will be isolated. Consequently, each isolated 239 sub-network can be considered as a MG [23], which can be 240 formed by the voltage and frequency supports from the grid-241 ²⁴² forming IBDGs, and active and reactive power supplies from 243 the grid-following IBDGs. In the proposed optimization level, 244 each MG will determine its restoration solutions, including



Fig. 1. The overall framework of the proposed service restoration model with optimization level and simulation level.

optimal service restoration status of loads, optimal operation 245 of remotely controlled switches and optimal active and reactive 246 power dispatches of IBDGs. To prevent large frequency fluctu- 247 ation due to a large load restoration, the maximum restorable 248 load for a given period is limited by the proposed frequency 249 dynamics constraints. In this way, the whole restoration pro- 250 cess is divided into multiple stages. As shown in Fig. 1, 251 the information exchanged between the optimization level 252 and the simulation level are the restoration solution (obtained 253 from optimization) and MG system frequency nadir value 254 (obtained from transient simulation): at each restoration stage. 255 the optimization level will obtain and send the optimal restora- 256 tion solution to the simulation level; then, after receiving the 257 restoration solution, the simulation level will begin to run 258 transient simulation by the proposed dynamic model of each 259 inverter-dominated MG, and send the frequency nadir value to 260 the optimization level for next restoration stage. 261

To accurately reflect the dynamic frequency-supporting 262 capacities of grid-forming IBDGs during the service restora- 263 tion process, a rolling-horizon framework is implemented in 264 the proposed service restoration model, as shown in Fig. 2. 265 More specifically, we repeatedly run the MILP-based sequen- 266 tial service restoration model by incorporating the network 267 configuration from the preceding stage as the initial condi- 268 tion, and then feedback the frequency nadir value from the 269 transient simulation to the frequency dynamics constraints. For 270 each stage: (1) the horizon length will be fixed; (2) then only 271 the restoration solution of first horizon of the current stage 272 is retained and transferred to the simulation level, while the 273 remaining horizons are discarded; (3) this process will keep 274 going until the maximum restored load is reached in each 275 MG. More details about the principles of rolling horizon can 276 be found in [24]. 277



Fig. 2. Implementation of rolling-horizon in the proposed restoration model.

278 III. FREQUENCY DYNAMICS CONSTRAINED 279 SERVICE RESTORATION

This section presents the mathematical formulation for 280 281 coordinating remotely controlled switches, grid-forming and grid-following IBDGs, and the sequential restoration status of 282 283 buses, lines and loads. Here, we consider a unbalanced threephase radial distribution system. The three-phase ϕ_a, ϕ_b, ϕ_c are 284 simplified as ϕ . Define the set $\Omega_{\rm L} = \Omega_{\rm SW_{\rm L}} \cup \Omega_{\rm NSW_{\rm L}}$, where $_{286} \Omega_{SW_L}$ and Ω_{NSW_L} represent the set of switchable load and 287 the set of non-switchable loads, respectively. Define the set ²⁸⁸ $\Omega_{\rm G} = \Omega_{\rm BS} \cup \Omega_{\rm NBS}$, where $\Omega_{\rm BS}$ and $\Omega_{\rm NBS}$ represent the set 289 of grid-forming IBDGs with black start capability and the 290 set of grid-following IBDGs without black start capability, respectively. Define the set $\Omega_{K} = \Omega_{SW_{K}} \cup \Omega_{NSW_{K}}$, where 291 ²⁹² Ω_{SW} and Ω_{NSW} represent the set of switchable lines and the ²⁹³ set of non-switchable lines, respectively. Define Ω_{BK} as the 294 set of bus blocks, where bus block [9] is a group of buses ²⁹⁵ interconnected by non-switchable lines and those bus blocks ²⁹⁶ are interconnected by switchable lines. It is assumed that bus 297 block can be energized by grid-forming IBDGs. By forcing 298 the related binary variables of faulted lines to be zeros, each ²⁹⁹ faulted area remains isolated during the restoration process.

300 A. MILP-Based Sequential Service Restoration Formulation

The objective function (1) aims to maximize the total restored loads with priority factor w_i^L over a rolling horizon x_i^{00} [t, t + T] as shown below:

$$\max \sum_{t \in [t,t+T]} \sum_{i \in \Omega_L} \sum_{\phi \in \Omega_\phi} \left(w_i^{\mathrm{L}} x_{i,t}^{\mathrm{L}} P_{i,\phi,t}^{\mathrm{L}} \right)$$
(1)

where $P_{i,\phi,t}^{L}$ and $x_{i,t}^{L}$ are the restored load and restoration status of load at *t*. If the load demand $P_{i,\phi,t}^{L}$ is restored, then $x_{i,t}^{L} = 1$. *T* is horizon length in the rolling horizon optimization problem. In this work, the amount of restored load is also bounded by frequency dynamics constraints with respect to frequency response and maximum load step. More details of frequency dynamics constraints are discussed in Section III-B.

Constraints (2)-(11) are defined by the unbalanced three- 312 phase version of linearized DistFlow model [25], [26] in 313 each formed MG during the service restoration process. 314 Constraints (2) and (3) are the nodal active and reactive power 315 balance constraints, where $P_{k,\phi,t}^{K}$ and $Q_{k,\phi,t}^{K}$ are the active and ³¹⁶ reactive power flows along line k, and $P_{i,\phi,t}^{G}$ and $Q_{i,\phi,t}^{G}$ are ³¹⁷ the power outputs of the generators. Constraints (4) and (5) 318 represent the active and reactive power limits of the lines, 319 where the limits $(P_k^{K,M} \text{ and } Q_k^{K,M})$ are multiplied by the line 320 status binary variable $x_{k,i}^{K}$. Therefore, if a line is disconnected 321or damaged $x_{k,t}^{\text{K}} = 0$, then constraints (4) and (5) will be 322 relaxed, which means that power cannot flow through this line. 323 In the proposed model, there are two types of IBDGs, grid- 324 forming IBDGs with black start capability and grid-following 325 IBDGs without black start capability. On the one side, the grid- 326 forming IBDGs can provide voltage and frequency references 327 in the MG during the restoration process, which can energize 328 the bus and restore the part of the network that is not damaged 329 if the fault is isolated. Therefore, the grid-forming IBDGs are 330 considered to be connected to the network at the beginning 331 of restoration. On the other side, the grid-following IBDGs 332 are switched off at the beginning of restoration. If the grid- 333 following IBDGs are connected to an energized bus during 334 the restoration process, then they can be switched on to supply 335 active and reactive powers. In constraints (6) and (7), the active 336 and reactive power outputs of the grid-forming IBDGs are lim- 337 ited by the maximum active and reactive capacities $P_i^{G,M}$ and 338 $Q_i^{G,M}$, respectively. Constraints (8) and (9) limit the active and 339 reactive outputs of the grid-following IBDGs. Note that the 340 constraints (8) and (9) of grid-following IBDGs are multiplied 341 by binary variable $x_{i,l}^{G}$. Consequently, if one grid-following 342 IBDG is not energized $(x_{i,t}^{G} = 0)$ during the restoration pro- 343 cess, then constraints (8) and (9) of this grid-following IBDG 344 will be relaxed. 345

$$\sum_{k \in \Omega_{\mathrm{K}}(i,.)} P_{k,\phi,t}^{\mathrm{K}} - \sum_{k \in \Omega_{\mathrm{K}}(.,i)} P_{k,\phi,t}^{\mathrm{K}} = P_{i,\phi,t}^{\mathrm{G}} - x_{i,t}^{\mathrm{L}} P_{i,\phi,t}^{\mathrm{L}}, \forall i, \phi, t \qquad {}_{\mathsf{346}}$$

$$\sum_{\in \Omega_{\mathrm{K}}(i,.)} Q_{k,\phi,t}^{\mathrm{K}} - \sum_{k \in \Omega_{\mathrm{K}}(.,i)} Q_{k,\phi,t}^{\mathrm{K}} = Q_{i,\phi,t}^{\mathrm{G}} - x_{i,t}^{\mathrm{L}} Q_{i,\phi,t}^{\mathrm{L}}, \forall i, \phi, t \quad \text{346}$$

k

$$-x_{k,t}^{K}P_{k}^{K,M} \le P_{k,\phi,t}^{K} \le x_{k,t}^{K}P_{k}^{K,M}, \forall k \in \Omega_{K}, \phi, t$$
(3) 349
(4) 350

$$-x_{k,t}^{K,M} Q_{k}^{K,M} \leq Q_{k,\phi,t}^{K} \leq x_{k,t}^{K} Q_{k}^{K,M}, \forall k \in \Omega_{K}, \phi, t$$
(5) 351

$$0 \le P_{i,\phi,t}^{\rm G} \le P_i^{\rm G,M}, \forall i \in \Omega_{\rm BS}, \phi, t$$
(6) 352

$$0 \le Q_{i,\phi,t}^{\mathrm{G}} \le Q_{i}^{\mathrm{G},\mathrm{M}}, \forall i \in \Omega_{\mathrm{BS}}, \phi, t$$

$$(7) \quad \text{353}$$

$$0 \le P_{i,\phi,t}^{\mathsf{G}} \le x_{i,t}^{\mathsf{G}} P_i^{\mathsf{G},\mathsf{M}}, \forall i \in \Omega_{\mathrm{NBS}}, \phi, t$$

$$(8) \quad {}_{354}$$

$$0 \le Q_{i,\phi,t}^{\mathsf{G}} \le x_{i,t}^{\mathsf{G}} Q_i^{\mathsf{G},\mathsf{M}}, \forall i \in \Omega_{\mathrm{NBS}}, \phi, t$$

$$\tag{9} \quad 355$$

Constraints (10) and (11) calculate the voltage difference ³⁵⁶ along line *k* between bus *i* and bus *j*, where $U_{i,\phi,t}$ is the square ³⁵⁷ of voltage magnitude of bus *i*. We use the big-M method [9] ³⁵⁸ to relax constraints (10) and (11), if lines are damaged or ³⁵⁹ disconnected, then $x_{k,t}^{\text{K}} = 0$. The $p_{k,\phi}$ represents the phase ³⁶⁰ identifier for phase ϕ of line *k*. For example, if line *k* is a ³⁶¹ single-phase line on phase a, then $p_{k,\phi_a} = 1$, $p_{k,\phi_b} = 0$ and ³⁶² ³⁶³ $p_{k,\phi_c} = 0$. Constraint (12) guarantees that the voltage is limited ³⁶⁴ within a specified region $[U_i^m, U_i^M]$, and will be set to 0 if the ³⁶⁵ bus is in an outage area $x_{i,t}^B = 0$.

³⁶⁸
$$U_{i,\phi,t} - U_{j,\phi,t} \le 2 \left(\hat{R}_k P_{k,\phi,t}^{\mathsf{K}} + \hat{X}_k Q_{k,\phi,t}^{\mathsf{K}} \right) + \left(2 - x_{k,t}^{\mathsf{K}} - p_{k,\phi} \right) M,$$

$$\begin{cases} 369 & \forall k, ij \in \Omega_{\mathrm{K}}, \phi, t \end{cases}$$

370
$$x_{i,t}^{\mathbf{B}} U_i^{\mathbf{m}} \le U_{i,\phi,t} \le x_{i,t}^{\mathbf{B}} U_i^{\mathbf{M}}, \forall i, \phi, t$$
 (12)

³⁷¹ where \hat{R}_k and \hat{X}_k are the unbalanced three-phase resistance ³⁷² matrix and reactance matrix of line *k*. To model the unbalanced ³⁷³ three-phase network, we assume that the distribution network ³⁷⁴ is not too severely unbalanced and operates around the nominal ³⁷⁵ voltage, then the relative phase unbalance can be approximated ³⁷⁶ as $a_{\phi} = [1, e^{-i2\pi/3}, e^{i2\pi/3}]^T$ [25]. Therefore, the equivalent ³⁷⁷ unbalanced three-phase system line impedance matrix \hat{Z}_k can ³⁷⁸ be calculated based on the original line impedance matrix Z_k ³⁷⁹ and a_{ϕ} in (13). \hat{R}_k and \hat{X}_k are the real and imaginary parts ³⁸⁰ of \hat{Z}_k , as shown in (14). Note that the loads and IBDGs are ³⁸¹ also modeled in a three-phase form. More details about the ³⁸² model of unbalance three-phase distribution system can be ³⁸³ found in [26].

$$\hat{Z}_k = a_\phi a_\phi^H \odot Z_k$$

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$$\hat{R}_k = real(\hat{Z}_k), \quad \hat{X}_k = imag(\hat{Z}_k)$$
 (14)

(13)

Constraints (15)-(22) ensure the physical connections 386 387 among buses, lines, IBDGs and loads during restoration pro-388 cess. In constraint (15), the grid-following IBDGs will be ³⁸⁹ switched on $x_{i,t}^{\text{G}} = 1$, if the connected bus is energized $x_{i,t}^{\text{B}} = 1$; ³⁹⁰ otherwise, $x_{i,t}^{\text{G}} = 0$. Constraint (16) implies a switchable line can only be energized when both end buses are energized. 391 ³⁹² Constraint (17) presents that a non-switchable line can be energized once one of two end buses is energized. Constraint (18) 393 ensures that a switchable load can be energized $x_{i,t}^{L} = 1$, if the connected bus is energized $x_{i,t}^{B} = 1$; otherwise, $x_{i,t}^{L} = 0$. Constraint (19) allows that a non-switchable load can be 394 395 396 immediately energized once the connected bus is energized. 397 Constraints (20)-(22) ensure that the grid-following IBDGs, switchable lines and loads cannot be tripped again, if they 399 400 have been energized at the previous time t-1.

$$x_{i,t}^{\mathbf{G}} \le x_{i,t}^{\mathbf{B}}, \forall i \in \Omega_{\text{NBS}}, t$$
(15)

$$x_{k,t}^{\mathbf{K}} \le x_{i,t}^{\mathbf{B}}, x_{k,t}^{\mathbf{K}} \le x_{j,t}^{\mathbf{B}}, \forall k, ij \in \Omega_{\mathrm{SW}_{\mathrm{K}}}, t$$
(16)

403
$$x_{k,t}^{\mathbf{K}} = x_{i,t}^{\mathbf{B}}, x_{k,t}^{\mathbf{K}} = x_{j,t}^{\mathbf{B}}, \forall k, ij \in \Omega_{\text{NSW}_{\mathbf{K}}}, t$$
 (17)

404
$$x_{i,t}^{L} \le x_{i,t}^{D}, \forall i \in \Omega_{SW_{L}}, t$$
(18)

405
$$x_{i,t}^{\mathrm{L}} = x_{i,t}^{\mathrm{B}}, \forall i \in \Omega_{\mathrm{NSW}_{\mathrm{L}}}, t$$
(19)

406
$$x_{i,t}^{G} - x_{i,t-1}^{G} \ge 0, \forall i \in \Omega_{\text{NBS}}, t$$
 (20)

407
$$x_{k,t}^{\mathrm{K}} - x_{k,t-1}^{\mathrm{K}} \ge 0, \forall k \in \Omega_{\mathrm{SW}_{\mathrm{k}}}, t$$
 (21)

408
$$x_{i,t}^{L} - x_{i,t-1}^{L} \ge 0, \forall i \in \Omega_{SW_{L}}, t$$
 (22)

⁴⁰⁹ Constraints (23)-(25) ensure that each formed MG remains ⁴¹⁰ isolated from each other and each MG can maintain a ⁴¹¹ tree topology during the restoration process. Constraint (23) ⁴¹² implies that if one bus *i* is located in one bus block, $i \in \Omega_{\text{BK}}$,

then the energization status of bus and the corresponding bus 413 block keep the same. Here $x_{B,t}^{BK}$ represents the energization 414 status of bus block BK. To avoid forming loop topology, con- 415 straint (24) guarantees that a switchable line cannot be closed 416 at time t if its both end bus blocks are already energized at $_{417}$ previous time t - 1. Note that the DistFlow model is valid 418 for radial distribution network, therefore, loop topology is not 419 considered in this work. If one bus block is not energized at 420 previous time t-1, then constraint (25) makes sure that this bus 421 block can only be energized at time t by at most one of the connected switchable lines. Constraints (26) and (27) ensure that 423 each formed MG has a reasonable restoration and energization 424 sequence of switchable lines and bus blocks. Constraints (26) 425 implies that energized switchable lines can energize the con- 426 nected bus block. Constraints (27) requires that a switchable 427 line can only be energized at time t, if at least one of the 428 connected bus block is energized at previous time t - 1. 429

$$x_{i,t}^{\mathrm{B}} = x_{i,t}^{\mathrm{BK}}, \forall i \in \Omega_{\mathrm{BK}}, t$$
(23) 430

$$\left(x_{i,t}^{BK} - x_{i,t-1}^{BK}\right) + \left(x_{j,t}^{BK} - x_{j,t-1}^{BK}\right) \ge x_{k,t}^{K} - x_{k,t-1}^{K},$$
⁴³¹
⁽²⁴⁾

$$\forall k, ij \in \Omega_{\text{SW}_{\text{K}}}, t \ge 2 \tag{24} \quad 432$$

$$\sum_{ki,k\in\Omega_{i}} (x_{ki,t}^{K} - x_{ki,t-1}^{K}) + \sum_{ij,j\in\Omega_{i}} (x_{ij,t}^{K} - x_{ij,t-1}^{K})$$
⁴³³

$$\leq 1 + x_{i,t-1}^{\mathsf{BK}}M, \forall k, ij \in \Omega_{\mathsf{SW}_{\mathsf{K}}}, t \geq 2 \tag{25}$$

$$x_{i,t-1}^{\mathrm{BK}} \leq \sum_{ki,k\in\Omega_i} (x_{ki,t}^{\mathrm{K}}) + \sum_{ij,j\in\Omega_i} (x_{ij,t}^{\mathrm{K}}), \forall k, ij\in\Omega_{\mathrm{SW}_{\mathrm{K}}}, t\geq 2$$
⁴³⁵
⁽²⁶⁾

5

$$x_{ij,t}^{\rm K} \le x_{i,t-1}^{\rm BK} + x_{j,t-1}^{\rm BK}, \forall ij \in \Omega_{\rm SW_{\rm K}}, t \ge 2.$$
(27) 437

B. Simulation-Based Frequency Dynamics Constraints

By considering the frequency dynamics of each isolated ⁴³⁹ inverter-dominated MG during the transitions of network ⁴⁴⁰ reconfiguration and service restoration, constraints (28) ⁴⁴¹ and (30) have been added here to avoid the potential large ⁴⁴² frequency deviations caused by MG formation and oversized ⁴⁴³ load restoration. The variable of maximum load step $P_{i,t}^{G,MLS}$ ⁴⁴⁴ has been applied in constraint (28) to ensure that the restored ⁴⁴⁵ load is limited by an upper bound for each restoration stage, ⁴⁴⁶ as follows: ⁴⁴⁷

$$0 \le P_{i,t}^{\text{G,MLS}} \le P_{i,t-1}^{\text{G,MLS}} + \alpha \left(\Delta f^{\text{max}} - \Delta f^{\text{meas}}\right), \tag{28}$$

$$\forall i \in \Omega_{\rm BS}, t \ge 2 \tag{28} 449$$

In constraint (28), the variable $P_{i,t}^{G,MLS}$ is restricted by ⁴⁵⁰ three items: a hyper-parameter α representing the virtual ⁴⁵¹ frequency-power characteristic of IBDGs, a user-defined maximum allowable frequency drop limit Δf^{max} and the measured ⁴⁵³ maximum transient frequency drop from the results of simulation level Δf^{meas} . The hyper-parameter α is used to curb the ⁴⁵⁵ frequency nadir during transients from too low. This can be shown by the following expressions: ⁴⁵⁷

$$\alpha \left(\Delta f^{\max} - \Delta f^{\max} \right) = \alpha \left(f_0 - f^{\min} - \left(f_0 - f^{nadir} \right) \right)$$
(and in the probability of the p

$$= \alpha \left(f^{\text{nadir}} - f^{\text{min}} \right)$$

$$\triangleq \Delta P_{i,t-1}^{\text{G,MLS}}$$
(29) 460

⁴⁶¹ where f_0 is the nominal steady-state frequency, e.g., 60Hz. ⁴⁶² f^{nadir} is the lowest frequency reached during the transient sim-⁴⁶³ ulation. f^{min} is the minimum allowable frequency. $\Delta P_{i,t-1}^{G,MLS}$ is ⁴⁶⁴ the incremental change of the maximum load step for the next ⁴⁶⁵ step *t* (estimated at step *t* – 1). Finally, constraint (30) ensures ⁴⁶⁶ the restored load and frequency response of the IBDGs do not ⁴⁶⁷ exceed the user-defined thresholds.

$$\begin{array}{l} {}_{468} \qquad \qquad -x^{\rm G}_{i,t}P^{\rm G,MLS}_{i,t} \le P^{\rm G}_{i,\phi,t} - P^{\rm G}_{i,\phi,t-1} \le x^{\rm G}_{i,t}P^{\rm G,MLS}_{i,t}, \\ {}_{469} \qquad \qquad i \in \Omega_{\rm BS}, \phi, t \ge 2 \end{array}$$
(30)

Note that the generator ramp rate is not a constant num-470 471 ber anymore as in previous literature, but is varying with the value of $P_{i,t}^{G,MLS}$ from (28) during the optimization process combining with transient simulation information of frequency 473 deviation. When f^{nadir} is approaching f^{\min} , that implies a 474 475 necessity to reduce the potential amount of restored load in the next step. Thus the incremental change of maximum load 476 ⁴⁷⁷ step $\Delta P_{i,t}^{\text{G,MLS}}$ is reduced to reflect the above purpose. During the restoration process, the restored load in each restoration 478 stage is determined by maximum load step and available DG 479 power output through power balance constraints (2), (3) and 480 constraints (28), (30) in optimization level; then, the frequency 481 482 deviation in each restoration stage is determined by restored load through transient model in simulation level, which is 484 introduced in the next section.

485 IV. TRANSIENT SIMULATION OF INVERTER-DOMINATED 486 MG FORMATION

In optimization level, our target is to maximize the amount of restored load while satisfying a series of constraints. One these constraints should be frequency dynamics constraint which is derived from simulation level. However, due to dynamic security constraints cannot be directly solved in dynamic security constraints cannot be directly solved in dynamic and so on. Therefore, we need a connection variable between the two levels.

For this purpose, we assume that the changes of typologies between each two sequential stages can be represented by the change of restored loads P^{L} . The sudden load change of P^{L} results in a disturbance in MGs in the time-scale of simulation level. During the transience to the new equilibrium (operation point), the system states such as frequency will deviate from their nominal values. Therefore, it is natural to estimate the dynamic security margin with the allowed maximum range of deviations.

Since the frequency of each inverter-dominated MG is mainly controlled by the grid-forming IBDGs, we can approximate the maximum frequency deviation during the transience by observing the dynamic response of the grid-forming IBDGs under sudden load change. In this paper, the standard outer droop control together with inner double-loop control structure is adopted for each IBDGs unit. As shown in Fig. 3, the three-phase output voltage $V_{0,abc}$ and current $I_{0,abc}$ are measured from the terminal bus of the inverter and transformed the filtered terminal output active



Fig. 3. Diagram of studied MG control system.

and reactive power P and Q are obtained by filtering the cal- 515culated power measurements P^{meas} and Q^{meas} with cut-off 516 frequency ω_c . Finally, the voltage and frequency references 517 for the inner control loop are calculated with droop controller. 518 Since the references can be accurately tracked by inner con- 519 trol loop with properly tuned PID parameters in the much 520 faster time-scale, the output voltage V and frequency ω can 521 be considered equivalently as the references generated by the 522 droop controller. Thus, the inverter can be modeled effectively 523 modeled by using the terminal states and line states of the 524 inverter [18], [19]. In this work, the transient simulation is con- 525 ducted with the detailed mathematical MG model (31)-(37) 526 adopted from [18], where the droop equations (34) and (35) are 527 replaced by the ones proposed in [19] to consider the restored 528 loads. 529

$$\dot{P} = \omega_{\rm c} \left(V \cos \theta I_{\rm d} + V \sin \theta I_{\rm q} - P \right), \tag{31}$$

$$\dot{Q} = \omega_{\rm c} \left(V \sin \theta I_{\rm d} - V \cos \theta I_{\rm q} - Q \right), \tag{32}$$

$$\dot{\theta} = \omega - \omega_0,$$
 (33) 532

$$\dot{\omega} = \omega_{\rm c} \left(\omega_{\rm set} - \omega + D_{\rm P} (P - P^{\rm L}) \right), \tag{34}$$

$$V = \omega_{\rm c} (V_{\rm set} - V + D_{\rm Q} (Q - Q^{\rm L})), \qquad (35) \ {}_{53}$$

$$I_{\rm d} = (V\cos\theta - V_{\rm bus} - RI_{\rm d})/L + \omega_o I_{\rm q}, \qquad (36) \quad {}_{538}$$

$$I_{\rm q} = (V\sin\theta - RI_{\rm q})/L - \omega_o I_{\rm d}, \qquad (37) \quad {}_{53}$$

where ω_{set} and V_{set} are the set points of frequency and voltage ⁵³⁷ controllers, respectively; ω_c is cut-off frequency; D_P and D_Q ⁵³⁸ are $P - \omega$ and Q - V droop gains, respectively; P^L and Q^L ⁵³⁹ are the restored active and reactive loads, respectively; θ is ⁵⁴⁰ phase angle; ω is angular frequency in rad/s; ω_0 is a fixed ⁵⁴¹ angular frequency; V_{bus} is bus voltage; I_d and I_q are dq-axis ⁵⁴² currents; R and L are aggregate resistance and inductance of ⁵⁴³ connections from the inverter terminal's point view, respectively. In (34), it can be observed that, the equilibrium can be ⁵⁴⁵ achieved when $\omega = \omega_{set}$ and $P = P^L$, which means that the ⁵⁴⁶ output frequency tracks the frequency reference when the output power of the simulation level tracks the obtained restored ⁵⁴⁸ load of the optimization level.

Note that constraint (28) is the connection between the ⁵⁵⁰ optimization level and simulation level in our proposed two- ⁵⁵¹ level simulation-assisted restoration model, which incorporates ⁵⁵² the frequency response of inverter-dominated MG from the ⁵⁵³ simulation level into the optimization level. The variable ⁵⁵⁴ $P_{i,t}^{G,MLS}$ is restricted by frequency response in constraint (28). ⁵⁵⁵ Meanwhile, $P_{i,t}^{G,MLS}$ also limits the IBDG power output in con- ⁵⁵⁶ straint (30). In constraints (2) and (3), the power balance is met ⁵⁵⁷



Fig. 4. Flowchart of the proposed two-level simulation-assisted restoration method.

⁵⁵⁸ between restored load and power supply of IBDGs. Therefore, ⁵⁵⁹ we associate the frequency nadir of the transient simula-⁵⁶⁰ tion with respect to the restored load by incorporating the ⁵⁶¹ frequency dynamics constraints explicitly in the optimization ⁵⁶² level.

After the process of fault detection [27] and sub-grids isofield lation are finished, the proposed service restoration model will begin to work. Each isolated network will begin to form a MG depending on the location of the nearest grid-forming IBDG with black start capability. The flowchart of the proposed restoration method is shown in Fig. 4 and the interaction between the proposed transient simulation and the established optimization problem of service restoration is described as follows:

(a) Solving the optimal service restoration problem: Given brizon length T in each restoration stage, the MILP-based sequential service restoration problem (1)–(28) and (30) is solved, and the restoration solution is obtained for each formed MG.

(b) Transient simulation of inverter-dominated MGs: 578 After receiving restoration solutions of current stage from 579 optimization level, the frequency response is simulated by 580 (31)–(37) and the frequency nadir is calculated for each 581 inverter-dominated MG.

(c) Check the progress of service restoration and stopping criteria: If the maximum service restoration level is reached for all the MGs, then stop the restoration process; otherwise, go back to (a) to generate the restoration solution with newly obtained frequency responses of all MGs for next restoration stage.

588

V. NUMERICAL RESULTS

589 A. Simulation Setup

A modified IEEE 123-bus test system [28] in Fig. 5 is used to test the performance of the proposed frequency dynamics constrained service restoration model. In Fig. 5, blue dotted line and blue dot stand for single-phase line and bus, orange dashed line and orange dot stand for two-phase line and bus, black line and black dot stand for three-phase line and bus,



Fig. 5. Modified IEEE 123 node test feeder.

 TABLE I

 Locations and Capacities of Grid-Following and Grid-Forming

 IBDGs in Modified IEEE 123 Node Test Feeder

Туре	Locations	Capacities
Grid-following IBDG $(1-\phi)$	5, 11, 16, 28, 40, 42, 47, 81, 83, 90, 97, 107 110, 116	80 kW for single-phase 40 kVAr for single-phase
Grid-following	24, 33, 41, 48, 52,	100 kW per ϕ_a, ϕ_b, ϕ_c
IBDG $(3-\phi)$	59, 69, 91, 105, 109	50 kVAr per ϕ_a, ϕ_b, ϕ_c
Grid-forming	14, 19, 62, 72	100 kW per ϕ_a, ϕ_b, ϕ_c
IBDG $(3-\phi)$	14, 19, 02, 72	50 kVAr per ϕ_a, ϕ_b, ϕ_c

respectively. The modified test system has been equipped with 596 multiple remotely controlled switches, as shown in Fig. 5. In 597 Table I, the locations and capacities of grid-following and grid- 598 forming IBDGs are shown. Four line faults on lines between 599 substation and bus 1, bus 14 and bus 19, bus 14 and bus 54 and 600 bus 62 and bus 70 are detected, as shown in red dotted lines of 601 Fig. 5. They are assumed to be persisting during the restoration 602 process until the faulty areas are cleared to maintain the radial 603 topology and isolate the faulty areas. Consequently, four MGs 604 can be formed for service restoration with grid-forming IBDGs 605 and switches. For the sake of simplicity, we assume that the 606 weight factors for all loads are set to 1 during the restoration 607 process. We demonstrate the effectiveness of our proposed ser- 608 vice restoration model through numerical evaluations on the 609 following experiments: (i) Comparison between a base case 610 (i.e., without the proposed frequency dynamics constraints) 611 and the case with the proposed restoration model. (ii) Cases 612 with the proposed restoration model under different values 613 of hyper-parameters. All the case studies are implemented 614 using a PC with Intel Core i7-4790 3.6 GHz CPU and 16 GB 615 RAM hardware. The simulations are performed in MATLAB 616 R2019b, which integrates YALMIP Toolbox with IBM ILOG 617 CPLEX 12.9 solver and ordinary differential equation solver. 618



Fig. 6. Restoration solutions for the formed MG1-MG4, where the restoration stage when line switch closes is shown in red.

619 B. Sequential Service Restoration Results

As shown in (28), the relationship between the maximum load step and the frequency nadir is influenced by the value of hyper-parameter α in the frequency-dynamics constraints. Therefore, different α values may lead to different service restoration results. In this case, the horizon length *T* and the hyper-parameter α are set to 4 and 0.1, respectively.

As shown in Fig. 6, the system is partitioned into four 626 627 MGs by energizing the switchable lines sequentially, and the 628 radial structure of each MG is maintained at each stage. Inside 629 each formed MG, the power balance is achieved between the 630 restored load and power outputs of IBDGs. The value in brackets nearby each line switch in Fig. 6 represents the number 631 632 of restoration stage when it closes. In Table II, the restoration sequences for switchable IBDGs and loads are shown, where 633 the subscript and superscript are the bus index and the MG 634 635 index of grid-following IBDGs and loads, respectively. It can be observed that MG2 only needs 3 stages to be fully restored, 636 while MG1 and MG3 can restore in 4 stages. However, due 637 the heavy loading situation, MG4 is gradually restored in 638 to stages to ensure a relatively smooth frequency dynamics. 5 639

For each restoration stage, the restored loads and frequency madir in MG1-MG4 are shown in Table III. Total 1773 kW for load are restored at the end of the 5 stages. It can be sobserved the service restoration actions happened in certain stages rather than in all stages. For example, MG1 restores 280.5 kW of load in Stage 1, but it restores no more load the until Stage 4. While MG4 takes action on service restoration each stage. It is because the sequential service restoration is limited by operational constraints, among which the maximum load step in each stage is again limited by the proposed frequency-dynamics constraints. Note that a larger amount of restored load in the optimization level will typically cause a lower frequency nadir in the simulation level, then a low frequency nadir will be considered in constraint (28)

TABLE II Restored Grid-Following IBDGs and Loads at Each Restoration Stage

Restoration	Restored	Restored
stage	grid-following IBDGs	loads
1	$\begin{array}{c}G_{11}^1,G_5^1,G_{24}^2\\G_{28}^2,G_{40}^2,G_{41}^2\\G_{42}^2,G_{97}^4\end{array}$	$ \begin{array}{c} L_{14}^1, L_8^1, L_9^1, L_{11}^1, L_{11}^1, L_{12}^1\\ L_{13}^1, L_1^1, L_2^1, L_3^1, L_4^1, L_5^1, L_6^1\\ L_7^1, L_{19}^2, L_{20}^2, L_{21}^2, L_{22}^2, L_{23}^2\\ L_{24}^2, L_{25}^2, L_{26}^2, L_{27}^2, L_{28}^2, L_{29}^2\\ L_{30}^2, L_{31}^2, L_{36}^2, L_{37}^2, L_{38}^2, L_{39}^2\\ L_{40}^2, L_{41}^2, L_{42}^2, L_{43}^2, L_{44}^2, L_{62}^3\\ L_{65}^3, L_{63}^3, L_{64}^6, L_{72}^4, L_{71}^4, L_{93}^4\\ L_{94}^4, L_{95}^4, L_{70}^4, L_{96}^6, L_{97}^4, L_{98}^4\\ L_{99}^4, L_{100}^4, L_{101}^4, L_{102}^4, L_{103}^4\\ L_{104}^4 \end{array} $
2	$\begin{array}{c} G^2_{33}, G^2_{47}, G^2_{48} \\ G^3_{69}, G^4_{105}, G^4_{107} \\ G^4_{109}, G^4_{110}, G^4_{116} \end{array}$	$ \begin{array}{l} L^2_{32}, L^2_{33}, L^2_{34}, L^2_{35}, L^2_{45}, L^2_{46} \\ L^2_{47}, L^2_{48}, L^2_{49}, L^3_{66}, L^3_{67}, L^3_{68} \\ L^3_{69}, L^4_{105}, L^4_{106}, L^4_{107}, L^4_{108} \\ L^4_{109}, L^4_{110}, L^4_{111}, L^4_{112}, L^4_{113} \\ L^4_{114}, L^4_{115}, L^4_{116}, L^4_{117}, L^4_{118} \\ L^4_{119} \end{array} $
3	G_{52}^2	$L_{50}^{119}, L_{51}^2, L_{52}^2, L_{53}^2, L_{84}^4, L_{85}^4$ L_{86}^4, L_{87}^4
4	$\begin{array}{c} G_{16}^1, G_{59}^3, G_{90}^4 \\ G_{91}^4 \end{array}$	$ \begin{array}{c} L_{15}^1, L_{16}^1, L_{17}^1, L_{18}^1, L_{54}^3, L_{55}^3, \\ L_{56}^3, L_{57}^3, L_{58}^3, L_{59}^3, L_{60}^3, L_{60}^3, \\ L_{61}^3, L_{73}^4, L_{74}^4, L_{75}^4, L_{76}^4, L_{77}^4, \\ L_{78}^4, L_{79}^4, L_{88}^4, L_{89}^4, L_{90}^4, L_{91}^4, \\ L_{92}^4 \end{array} $
5	G_{81}^4, G_{83}^4	$L_{80}^4, L_{81}^4, L_{82}^4, L_{83}^4$

and help the optimization level to restrict a larger amount ⁶⁵⁴ of restored load in next restoration stage. Because the first ⁶⁵⁵ stage is the entry point of the restoration process, there is no ⁶⁵⁶ prior frequency nadir information to be used in constraint (28), ⁶⁵⁷ therefore, the restored load in the first stage is typically the ⁶⁵⁸ largest among all stages, which leads to a corresponding lowest ⁶⁵⁹ frequency nadir among all stages. ⁶⁶⁰

The comparison of total restored loads with and without 661 considering the proposed frequency dynamics constraints is 662 shown in Fig. 7. Note that the total amount of restorable load 663 of the base case model (i.e., without the frequency dynamics 664 constraints) is the same as that of the proposed model with 665 the frequency dynamics constraints. That is because the total 666 load of the test system is fixed and less than the total DG 667 generation capacity in both models. However, the base case 668 needs 6 stages to fully restore the all the loads, while the 669 proposed model can achieve that goal in the first 5 stages (as 670 it is observed, no more loads between Stage 5 and Stage 6 are 671 restored). While In the early stages 1 to 3, the restored load 672 of the proposed model is a little bit less than the base case. 673 A further analysis is that: during the early restoration stages, 674 the proposed model generated a restoration solution that pre- 675 vents too low frequency nadir during transients. The base case 676 restores more loads at Stage 1 to Stage 3 without considering 677 such limitation on the frequency nadir. However, Stage 4 is 678 a turning point when the proposed model restores more loads 679 than the base case. Therefore, the proposed model restores 680

Cases		Restored load	Frequency nadir
Cases		(kW)	(Hz)
	Stage 1	280.5	59.7044
MG1	Stage 2	280.5	59.9992
$(T = 4 \text{ and } \alpha = 0.1)$	Stage 3	280.5	59.9992
$(1 = 4 \text{ and } \alpha = 0.1)$	Stage 4	346.5	59.9200
	Stage 5	346.5	59.9989
	Stage 1	230.0	59.7079
MG2	Stage 2	360.0	59.8201
	Stage 3	420.0	59.9146
$(T = 4 \text{ and } \alpha = 0.1)$	Stage 4	420.0	59.9984
	Stage 5	420.0	59.9984
	Stage 1	212.5	59.7116
MC2	Stage 2	212.5	59.9990
MG3	Stage 3	212.5	59.9990
$(T = 4 \text{ and } \alpha = 0.1)$	Stage 4	382.5	59.7656
	Stage 5	382.5	59.9985
	Stage 1	192.0	59.7910
MG4	Stage 2	324.0	59.8541
	Stage 3	414.0	59.9003
$(T = 4 \text{ and } \alpha = 0.1)$	Stage 4	570.0	59.8230
	Stage 5	624.0	59.9364

TABLE III Restored Loads, Frequency Nadir and Computation Time for MG1-MG4



Fig. 7. Total restored load with and without considering frequency dynamics constraints.

681 less loads than the base case during early stages (here, Stage 682 1 to Stage 3), while it restores more loads than the base case 683 during later stages (from Stage 4). Such restoration pattern (restored load at each stage) of the base case model and the 684 proposed model may vary case by case if the system topology 685 686 or other operational constraints are changed. Therefore, if we implement the base case model and the proposed model in 687 another test system with different topology or constraint set-689 tings, the base case model may restore fewer loads than the ⁶⁹⁰ proposed model in the early stages and the turning point stage 691 may change as well.



Fig. 8. Frequency responses of MG4 with and without frequency dynamics constraints: (a) Subplot of frequency response of MG4 during 5.0 s to 5.8 s; (b) Frequency responses of MG4 in Stage 1.

In Fig. 8a and Fig. 8b, a zoom in view of the frequency 692 response of MG4 and the frequency response of MG4 in Stage 693 1 are shown for better observation of the frequency dynamic 694 performance. The frequency responses with and without the 695 frequency dynamics constraints are represented by blue and 696 red lines, respectively. By this comparison, it can be observed 697 that both the rate of change of frequency and frequency nadir 698 are significantly improved by considering frequency dynamics 699 constraints in the proposed restoration model. However, if the 700 frequency dynamics constraints are not considered to prevent a 701 large frequency drop, unstable frequency oscillation may hap-702 pen. The reason of the oscillation phenomenon in Fig. 8b is the 703 too large P^L , which deviates the initial state of MG in the cur- 704 rent stage out of the region of attraction of the original stable 705 equilibrium. This in turn demonstrates the necessity to incor-706 porate that frequency dynamics constraint in the optimization 707 level. Note that ω_{set} is set to 60 Hz in the droop equation (34), 708 the equilibrium can be achieved when $\omega = \omega_{\text{set}}$ and $P = P^L$, 709 which means that the output frequency tracks the frequency 710 reference when the output power of the simulation level tracks 711 the target restored load calculated from the optimization level. 712

Fig. 9 shows the frequency responses of each inverterdominated MG based on the proposed restoration model. The results show that the MG frequency drops when the load is restored. Because the maximum load step is constrained in the proposed MILP-based sequential service restoration model, the frequency nadir is also constrained. When load is restored as the frequency drops, the frequency nadir can be effectively maintained above the f^{min} threshold. Frequency (Hz)

60

0

60

59.8

3





Fig. 9. Frequency responses of inverter-dominated MGs: (a) MG1; (b) MG2; (c) MG3; (d) MG4.

C. Impact of Hyper-Parameters in Frequency Dynamics 721 **Constraints** 722

Compared to other MGs, MG4 is heavily loaded with the 723 largest number of nodes. Based on the results of Fig. 6, MG4 724 needs more stages to be fully restored compared to other MGs. 725 Therefore, MG4 is chosen to test the effect of different α 726 values. In Fig. 10a and Fig. 10b, the frequency responses of 727 728 MG4 during the period of 3.1 s to 5.1 s, the period of 9.3 s 729 to 11.3 s and the whole restoration process are shown, where 730 the frequency with $\alpha = 0.1$, $\alpha = 0.2$ and $\alpha = 1.0$ are repre-731 sented by blue solid line, red dashed line and yellow dotted ⁷³² line, respectively. It can be observed that 5 stages are required 733 to fully restore all the loads when $\alpha = 0.1$; while only 4 restoration stages are needed when $\alpha = 0.2$ or $\alpha = 1.0$. 734 735 During the period of 3.1 s to 5.1 s in left of Fig. 10a, the ₇₃₆ frequency nadirs with $\alpha = 0.2$ or $\alpha = 1.0$ are lower than the ⁷³⁷ frequency nadir with $\alpha = 0.1$, which means more loads can be restored with larger value of α . During the period of 9.3 s to 738 11.3 s in right of Fig. 10b, the frequency nadir with $\alpha = 0.1$ 739 ₇₄₀ is lower than the frequency nadirs with $\alpha = 0.2$ and $\alpha = 1.0$. is because the total restored loads for different α values are 741 it 742 same, with $\alpha = 0.2$ or $\alpha = 1.0$, it can restore more loads 743 in the early restoration stage, therefore they just need less 744 loads to be restored in the late restoration stage. However, $_{745} \alpha = 0.1$ restores less loads in the early restoration stage, it



Frequency responses of MG4 with different α : (a) Frequency Fig. 10. responses during 3.1 s to 5.1 s; (b) Frequency responses during 9.3 s to 11.3 s; (c) Frequency responses during the whole restoration process.

has to restore more loads in the late restoration stage. As 746 shown in Fig. 10c, the overall dynamic frequency performance 747 with $\alpha = 0.1$ is still better than the cases with $\alpha = 0.2$ 748 and $\alpha = 1.0$. Hence, there is a trade-off between dynamic 749 frequency performance and restoration performance regarding 750 the choice of α : too small α may lead to too slow restoration 751 and the frequency nadir may be high in the early restora-752 tion stage and the frequency nadir may be low in the late 753 restoration stage; in turn, a large α may lead to less number 754 of restoration stages, too large α may cause too low frequency 755 in early stages and deteriorate the dynamic performance of the 756 system frequency in a practical restoration process. 757

We also shows that different values of the horizon length T_{758} may cause different service restoration results. Table IV sum- 759 marizes the total restored loads and computation time using 760 different horizon lengths in the proposed service restoration 761 model. On the one side, the restored loads of case with $T = 2_{762}$ and T = 3 are less than that of the cases with T > 4, where the 763 total restored load can reach the maximum level. Therefore, 764 the results with small number of horizon length T = 2 and 765 T = 3 are sub-optimal restoration solutions. On the other side, 766 the longer horizon length also leads to heavy computation bur-767 den and increase the computation time. Similar to the impact 768 of α , there can be a trade-off between the computation time 769 and the quality of solution when determining the value of T. 770

TABLE IV Restored Loads, Frequency Nadir and Computation Time With Different Horizon Lengths

	Total restored load (kW)	Computation time (s)
T=2	1362.5	26.8870
T = 3	1410.5	32.6725
T = 4	1773.0	48.5629
T = 5	1773.0	61.9968
T = 6	1773.0	88 0216



Fig. 11. Frequency responses of inverter-dominated MGs with different values of D_p during restoration process: (a) MG1; (b) MG2; (c) MG3; (d) MG4.

In Fig. 11, the frequency responses of MG1 to MG4 are depicted during the restoration process with different values of droop gain D_p . In the test case, the original setting of D_p is 1×10^{-5} . It can be observed that the different values of D_p will cause different restoration solutions and frequency responses. As indicated by the arrow in Fig. 11a, MG1 can be rrf fully restored in four stages when $D_p = 1 \times 10^{-5}$ or 2×10^{-5} , however, if the $D_p = 3 \times 10^{-5}$, MG1 needs five stages to be fully restored. Similar observation can be found for restorafully restored when D_p equals larger values (such as 2×10^{-5} responses of 3×10^{-5}), while it only needs four stages when D_p equals smaller values (such as $= 1 \times 10^{-5}$). As shown in Fig. 11b and Fig. 11d, larger value of D_p will also lead to larger frequency 784 drop during restoration process. 785

VI. CONCLUSION 786

To improve the dynamic performance of the system 787 frequency during service restoration of a unbalanced dis-788 tribution systems in an inverter-dominated environment, we propose a simulation-assisted optimization model considering 790 frequency dynamics constraints with clear physical meanings. 791 Results demonstrate that: (i) The proposed frequency dynam-792 ics constrained service restoration model can significantly reduce the transient frequency drop during MGs forming and retuce restoration. (ii) Other steady-state performance indica-795 tors of our proposed method can rival that of the conventional methods, in terms of the final restored total load and the required number of restoration stages. Investigating on how to choose the best hyper-parameters, such as α , horizon length *T* and droop gain D_p will be the next research direction.

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