Outage Detection in Partially Observable Distribution Systems Using Smart Meters and Generative Adversarial Networks

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Abstract-In this paper, we present a novel data-driven ² approach to detect outage events in partially observable distri-3 bution systems by capturing the changes in smart meters' (SMs) 4 data distribution. To achieve this, first, a breadth-first search 5 (BFS)-based mechanism is proposed to decompose the network 6 into a set of zones that maximize outage location information 7 in partially observable systems. Then, using SM data in each 8 zone, a generative adversarial network (GAN) is designed to 9 implicitly extract the temporal-spatial behavior in normal con-10 ditions in an unsupervised fashion. After training, an anomaly 11 scoring technique is leveraged to determine if real-time mea-12 surements indicate an outage event in the zone. Finally, to infer 13 the location of the outage events in a multi-zone network, a 14 zone coordination process is proposed to take into account the 15 interdependencies of intersecting zones. We have provided ana-16 lytical guarantees of performance for our algorithm using the 17 concept of entropy, which is leveraged to quantify outage loca-18 tion information in multi-zone grids. The proposed method has 19 been tested and verified on distribution feeder models with real 20 SM data.

21 *Index Terms*—Generative adversarial networks, outage detec-22 tion, partially observable system, smart meter, zone.

NOMENCLATURE

Generative adversarial network

Breadth-first search

Smart meter

Advanced metering infrastructure

Elements of set A that are not in set B

A has a higher topological order than B

Candidate branch set that are potentially the

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location of outage event

Set of grid branches

Power factor of node *i*

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D	Discriminator	34
G	Generator	35
$H(\cdot)$	Entropy function for assessing outage location	36
	information	37
$\mathbf{I}_{i-1,i}$	Branch current between nodes $i - 1$ and i	38
$\mathbf{K}_{i-1,i}$	Approximate voltage drop factor	39
$\mathbf{l}_{i-1,i}$	Length of distribution line segment between	40
	nodes $i - 1$ and i	41
m	Number of batch size	42
М	Number of branches in the system	43
n _D	Number of iterations for D per G iteration	44
N(g)	Set of neighboring nodes in the grid	45
0	Number of observable nodes	46
O _{end}	Number of observable nodes that do not have	47
	any observable downstream nodes	48
P_i	Power consumption of node <i>i</i>	49
$\Delta \mathbf{P}_s$	Outage event magnitude	50
$P_{X_{\Psi_i}}$	Probability density function of historical data	51
E.	in zone Ψ_i	52
S_r	Network's root node	53
S_g	Set of observable nodes in the partially observ-	54
	able distribution system	55
S_{o1}	Upstream observable node of zone	56
S_{o2}	Downstream observable node of zone	57
Т	Length of the time window	58
u_k	k'th set of branches that are covered with the	59
	exact same set of zones	60
$U(\Psi^g)$	Undetectable branch set for the selected zone	61
	set Ψ^g	62
V_O	Number of zones containing the faulted branch	63
	in the system	64
$ \mathbf{V}_i $	Voltage magnitude measurements at node <i>i</i>	65
$\Delta \mathbf{V}$	Voltage drop value in normal condition	66
$\Delta \mathbf{V}_o$	Post-outage voltage drop value	67
X_{Ψ_i}	Training dataset for zone Ψ_i	68
Z	Noise signal with uniform distribution	69
<i>z</i> *	Optimal solution for residual error	70
Z_{Ψ_i}	Set of branch in zone Ψ_i	71
$\mathbf{Z}_{(i-1,i),abc}$	Phase impedance matrix between nodes $i - 1$	72
	and <i>i</i>	73
α	Learning rate	74
$\delta_R(\cdot)$	Residual error	75

G.2020.3008770 $\delta_D(\cdot)$ Discriminator error

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AQ2

AMI

BFS

GAN

 $A \setminus B$

 $A \succ B$

 B_c

 B_g

 $cos\phi_i$

SM

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77	$\gamma^g(b_j)$	Set of zones in the grid that include branch b_j
78	λ	Weight factor for combining $\delta_R(\cdot)$ and $\delta_D(\cdot)$
79	μ_{Ψ_i}	Sample mean of the anomaly scores for the
80		training dataset in zone Ψ_i
81	ω	Number of zones in the system
82	Ψ_i	<i>i</i> 'th outage detection zone
83	Ψ^g	Set of all selected zones for the partially
84		observable grid
85	Ψ_a	Target zone containing the maximum
86		information on the outage event
87	σ_{Ψ_i}	Sample variance of the anomaly scores for the
88		training dataset in zone Ψ_i
89	θ_G, θ_D	Learning parameters for G and D
90	$\zeta \Psi_i$	GAN-based anomaly score in zone Ψ_i .

I. INTRODUCTION

UTAGE detection is a challenging problem in power 92 systems, especially in distribution networks where the 93 94 majority of outage events take place. According to the 95 statistical data provided by the U.S. Energy Information ⁹⁶ Administration, each customer lost power for around 4 hours 97 on average in 2016 [1]. To decrease outage duration, and ⁹⁸ improve system reliability and customer satisfaction, distri-99 bution system operators (DSOs) deploy state-of-the-art out-100 age management systems, using modern software tools and 101 protection devices with bidirectional communication func-102 tion. This allows DSOs to collect real-time up-to-the-second 103 data from the network [2]. Nevertheless, use of intelligent 104 communication-capable devices in distribution systems has 105 not become prevalent, mostly due to budgetary limitations of 106 utilities [3]. Hence, identification of distribution system out-107 age events, especially for small utilities, still relies on trouble 108 calls from customers and manual inspection. However, trouble 109 calls alone are not a reliable data source of outage detection ¹¹⁰ because customers may not make prompt calls to utilities [4]. 111 Also, conventional expert-experience-based outage discovery 112 methods that use customer calls are laborious, costly, and 113 time-consuming [5].

In recent years, a number of papers have explored data-114 ¹¹⁵ driven alternatives for outage detection. According to the type ¹¹⁶ of data source, the previous works in this area can be classified 117 into two groups: Class I - Smart meter (SM)-based meth-118 ods: With the widespread deployment of advanced metering 119 infrastructure (AMI), SMs provide an opportunity to rapidly 120 detect outage events by recording the real-time demand consumption and automatically sending "last gasp" signals to the 121 122 utilities. In [6], a multi-label support vector machine classifi-123 cation method is presented that utilizes the last gasp signals 124 of SMs to detect and find the locations of damaged lines in 125 fully observable networks. In [7], a hierarchical framework is 126 developed to provide anomaly-related insights using multivari-127 ate event counter data collected from SMs. In [8], a fuzzy Petri 128 nets-based approach is proposed to detect nontechnical losses 129 and outage events by tracking the differences between pro-130 filed and irregular power consumption. In [9], a probabilistic 131 and fuzzy model-based algorithm is presented to process out-132 age data using AMI. In [10], a tree-based polling algorithm is developed to obtain information about the system conditions by polling local SMs. *Class II - non-SM-based methods*: 134 Other data sources have been used in the literature for outage 135 detection, as well. In [2], a hypothesis testing-based outage 136 detection method is developed combining the use of real-time 137 power flow measurements and load forecasts of the nodes. 138 In [4], a social network-based data-driven method is proposed 139 by leveraging real-time information extraction from Twitter. 140 In [11], a new boosting algorithm is developed to estimate 141 outages in overhead distribution systems by utilizing weather 142 information. 143

Even though previous works provide valuable results, crit- 144 ical questions remain unanswered in this area. The limitation 145 of most Class I models is their basic assumption that the dis- 146 tribution system is *fully observable*, i.e., all the nodes have 147 measurement devices. However, this assumption does not nec- 148 essarily apply to practical systems, in which large portions 149 of customers do not own smart meters [6]. On the other 150 hand. Class II methods are generally based on several limit- 151 ing assumptions, such as availability of accurate forecasts for 152 customer loads, availability of real-time power flow measure- 153 ments, and reliability of social network data. Another difficulty 154 in outage detection is *outage data scarcity*, which means that 155 the size of the outage data is far smaller compared to the 156 data in normal conditions. This issue causes a data imbal- 157 ance problem that could hinder reliable training of supervised 158 learning-based outage detection models [12]. 159

To address these shortcomings, in this paper, a genera- 160 tive adversarial network (GAN)-based method is developed 161 to detect power outages in partially observable distribution 162 systems by capturing the anomalous changes in SMs' measure- 163 ment data distributions that are caused by outage events [13]. 164 Compared to the previous works, the proposed method solves 165 three fundamental challenges in outage monitoring for par- 166 tially observable distribution systems: 1) Unlike supervised 167 classifiers that can fail in case of outage data scarcity, the 168 proposed generative model follows an unsupervised learn- 169 ing style which only relies on the operation data in normal 170 conditions for model training. Then, a GAN-based anomaly 171 score is defined to quantify the deviations between the learned 172 distribution and the real-time measurements to detect poten- 173 tial outage events, i.e., new observations with high anomaly 174 scores imply outage [14]. 2) Due to the temporal variability of 175 AMI data, efficient outage detection requires capturing high- 176 dimensional temporal-spatial relationships in measurement 177 data. Conventional data distribution estimators are limited by 178 the high-dimensional nature of the data. Instead of construct- 179 ing a complex data likelihood function explicitly, our approach 180 trains GANs to implicitly extract the underlying distribution of 181 the data. Each GAN consists of two interconnected deep neural 182 networks (DNNs) [15]. 3) Considering the partial observabil- 183 ity of real systems, we have proposed a breadth-first search 184 (BFS)-based mechanism to decompose large-scale distribution networks into a set of intersecting zones [16]. Each zone 186 is determined by two neighboring observable nodes of the 187 network (i.e., nodes with known voltages and demands) and 188 contains only a subset of network branches. A separate GAN 189 is trained in each zone using the time-series data of the two 190



Fig. 1. Example zone in normal condition.

¹⁹¹ observable nodes. Since sectionalizing networks into multiple ¹⁹² zones can be done in more than one way depending on the ¹⁹³ choice of observable nodes, it is necessary to find the optimal ¹⁹⁴ set of zones. Our BFS-based approach optimizes the zone ¹⁹⁵ selection and anomaly score coordination process and achieves ¹⁹⁶ maximum outage location information. To demonstrate this, ¹⁹⁷ we have proposed an outage detection metric based on the ¹⁹⁸ information-theoretic concept of *entropy* to quantify outage ¹⁹⁹ location information. The proposed outage detection method-²⁰⁰ ology has been tested and verified using real AMI data and ²⁰¹ network models.

202 II. REAL DATA DESCRIPTION AND ZONE SELECTION

203 A. AMI Data Description

The historical AMI data used in this paper contains several U.S., mid-west utilities' hourly energy consumption data (kWh) and voltage magnitude measurements of over 6000 cor customers [17]. The dataset includes around four years of measurements, from January 2015 to May 2018. Over 95% of customers are residential and commercial loads in the dataset. The hourly data was initially processed to remove bad and missing data caused by communication error.

212 B. Outage Detection Zone Definition

When an outage happens in a radial system, a protective device isolates the faulted area along with the loads boundary of the fault location [2]. This will cause the measurement data samples from unfaulted upstream observable nodes to deviate from the data distribution in normal condition. In this paper, we exploit this phenomenon to define an outage detection zone.

In general, two observable nodes (i.e., nodes with AMI-221 based measured voltage magnitudes and power consumption) 222 can be utilized to detect an outage happening on the paths 223 downstream of the two nodes. To show this, Fig. 1 presents 224 a typical distribution feeder with two observable nodes, node 225 *n* and node n + N. Given the radial structure of the feeder, 226 the voltage drop, ΔV , between nodes *n* and n + N can be



Fig. 2. Joint data distribution under normal and outage conditions.

expressed as [18]:

$$\Delta \mathbf{V} = |\mathbf{V}_n| - |\mathbf{V}_{n+N}| \approx |\sum_{i=n+1}^{n+N} \mathbf{Z}_{(i-1,i),abc} \cdot \mathbf{I}_{i-1,i}| \qquad (1) \quad 228$$

where, $|\mathbf{V}_n|$ and $|\mathbf{V}_{n+N}|$ are the voltage magnitude measurements of the observable nodes, $\mathbf{I}_{i-1,i}$ and $\mathbf{Z}_{(i-1,i),abc}$ are the measurebranch current and the phase impedance matrix between bus measurements of the variables in (1) depend on measurements of phases of distribution lines. For example, for measurements are three-phase feeder $|\mathbf{V}_n|$, $|\mathbf{V}_{n+N}|$ and $\mathbf{I}_{i-1,i}$ are 3-by-1 vectors, and $\mathbf{Z}_{(i-1,i),abc}$ is a 3-by-3 matrix. The above equation measurements, as matrix follows [18]:

$$\Delta \mathbf{V} \approx \sum_{i=n+1}^{n+N} \sum_{j=i}^{n+L} \mathbf{K}_{i-1,i} \otimes \mathbf{l}_{i-1,i} \otimes \frac{\mathbf{P}_{\mathbf{j}}}{\cos\phi_{j}}$$
(2) 238

where, n+L is the total length of this path, $\mathbf{K}_{i-1,i} \begin{bmatrix} \frac{q_{o}drop}{kVA-mile} \end{bmatrix}$ and ²³⁹ $\mathbf{l}_{i-1,i}$ are the approximate voltage drop factor and the length ²⁴⁰ of distribution line segment between nodes i-1 and i, \mathbf{P}_j and ²⁴¹ $\cos \phi_j$ represent the nodal power consumption and the power ²⁴² factor at node j. Here, $\mathbf{K}_{i-1,i}$, $\mathbf{l}_{i-1,i}$, and \mathbf{P}_j are 3-by-1 vec- ²⁴³ tors, and \otimes denotes element-wise multiplication. When outage ²⁴⁴ happens at an unobservable node s downstream of node n, ²⁴⁵ $n+1 \leq s \leq n+L$, the post-outage voltage drop value, ΔV_o , ²⁴⁶ is determined as follows: ²⁴⁷

$$\Delta \mathbf{V}_o \approx \Delta \mathbf{V} + \sum_{i=n+1}^{\min(s,n+N)} \mathbf{K}_{i-1,i} \otimes \mathbf{l}_{i-1,i} \otimes \frac{\Delta \mathbf{P}_s}{\cos\phi_s} \qquad (3) \quad 248$$

where, $\Delta \mathbf{P}_s$ represents the outage event magnitude and has 249 a negative value. Comparing (3) with (2), we can observe 250 that the voltage drop value across the two observable nodes 251 changes after an outage event downstream of any of the two 252 nodes. These changes are almost proportional to the outage 253 magnitude, ΔP_s . This can also be confirmed using real AMI 254 data, as shown in Fig. 2, where P_1^{ag} and P_2^{ag} are the aggregated 255 power consumption of the first and second observable nodes in 256 a zone. This figure shows the perceivable gap between the joint 257 data distribution obtained from two observable nodes under 258 normal and one specific outage condition, in three dimensions. 259 Given that an outage event anywhere downstream of the two 260 nodes will lead to deviations from their underlying joint mea- 261 surement data distribution in normal operations, we define an 262 outage detection zone as follows. 263

Definition 1: In a radial network, an outage detection zone, Ψ_i , is defined as $\Psi_i = \{S_{o1}, S_{o2}, Z_{\Psi_i}\}$ where S_{o1} and S_{o2} are two observable nodes, with S_{o1} being upstream of S_{o2} , and Z_{Ψ_i} is the set of all the branches downstream of S_{o1} .

268 C. Zone Selection

Based on Definition 1, for a specific distribution system, 269 270 different zone selection strategies can result in different zone 271 partitioning, which will impact the performance of outage 272 detection and location. Hence, we propose a BFS-based zone 273 selection method by exploiting the tree-like structure of distribution systems in this paper. Specifically, our method selects 274 275 the zones using nodes at the present depth before moving 276 on the nodes at the next depth level. As will be elabo-277 rated in Section IV, the proposed zone selection algorithm 278 offers two advantages: (1) it is able to obtain the optimal 279 zone set that maximizes the outage location information in ²⁸⁰ arbitrary partially observable network. (2) The proposed BFSbased algorithm introduces a valid topological ordering, which 281 significantly simplifies outage location identification process. 282 Prior to discussing the zone selection algorithm, we provide 283 the following useful definition [19]. 284

Definition 2: In a radial network, node *B* is defined as an *immediate observable downstream node* for an arbitrary node R^{287} *A* if two conditions are satisfied: 1) node *B* is located downstream of node *A*; 2) the path that connects *A* and *B* consists only of unobservable nodes.

²⁹⁰ The proposed algorithm involves the following steps:

- Step I: Consider a partially observable distribution system, g, with a total number of M branches, $B_g = \{b_1, \ldots, b_M\}$, and a set of O + 1 observable nodes, $S_g = \{S_r, S_1, S_2, \ldots, S_O\}$, where S_r represents the network's root node (i.e., main substation).
- Step II: Define and initialize the zone set and the neighboring node set for g, as Ψ^g and $N(g) = \{\emptyset\}$. Note that the set Ψ^g is an *ordered set*, where new elements are added to the right side of the current elements in the set (i.e., order of elements matters). Initialize the set of candidate observable nodes as $S_B = \{S_r\}$, and the zone counter $k \leftarrow 1$.
- Step III: If $N(g) = \{\emptyset\}$, randomly select and then remove a node, S_{o1} , from S_B . Else if $N(g) \neq \{\emptyset\}$, randomly select and remove a node, S_{o1} , from N(g).
- Step IV: Find all the immediate observable nodes downstream of S_{o1} (see Definition 2), and randomly select a node from this set, which is denoted as S_{o2} . If $N(g) = \{\emptyset\}$, add all the immediate observable nodes downstream of S_{o1} to N(g); otherwise, add them to S_B .
- Step V: Select a new zone Ψ_k , with S_{o1} and S_{o2} , and include all the branches downstream of S_{o1} into Z_{Ψ_k} (see Definition 1). Add Ψ_k to the right side of the current zones in Ψ^g .
- Step VI: $k \leftarrow k + 1$. Go back to Step III until N(g) is empty for all the nodes in S_B .
- Step VII: Output the ordered set of all network zones, $\Psi^g = {\Psi_1, \dots, \Psi_w}$, with *w* denoting the number of selected zones.



Fig. 3. Proposed BFS-based zone selection and ordering method.

To help the reader understand each step of the algorithm, an 320 example of zone selection is shown in Fig. 3. In this exemplary 321 system, $B_g = \{b_1, \dots, b_{36}\}$ and $S_g = \{S_r, S_1, \dots, S_8\}$. In the 322 first iteration (k = 1), Ψ^g and N(g) are both empty, $\{\emptyset\}$; In 323 Step II, the root node is selected to be the first observable node, 324 $S_B = \{S_r\}$. In Step III, since N(g) is empty, S_{o1} is randomly 325 selected and then removed from S_B ; thus, $S_{o1} \leftarrow S_r$ and $S_B \leftarrow {}_{326}$ $\{\emptyset\}$. In Step IV, S_1 and S_2 are identified as the immediate $_{327}$ observable downstream nodes of S_r . Since N(g) is empty, these 328 two nodes are added to N(g). Then, S_{o2} is selected randomly 329 from $\{S_1, S_2\}$. In this example, $S_{o2} \leftarrow \{S_1\}$. In Step V, the 330 first zone is defined based on the selected S_{o1} and S_{o2} and 331 added to the set Ψ^{g} ; $\Psi^{g} = {\Psi_{1}}$, where $\Psi_{1} = {S_{r}, S_{1}, Z_{\Psi_{1}}}$. 332 The algorithm will go back to Step III for the next iteration 333 $(k \leftarrow k+1)$. 334

Following the proposed zone selection method, the number of zones, ω , can be represented as a function of number $_{336}$ of observable nodes: $\omega = O + 1 - O_{end}$, where *O* is the $_{337}$ number of all observable nodes and O_{end} is the number of $_{338}$ observable nodes that do not have any observable downstream $_{339}$ nodes. This function indicates that the proposed method needs $_{340}$ sensors installation at internal nodes to develop a meaning- $_{341}$ ful zone partitioning. This requirement is consistent with the $_{342}$ recent expansion of smart grid monitoring devices. In current $_{343}$ distribution systems, metering devices are generally installed $_{344}$ 345 at some select locations, such as at the root node and other ³⁴⁶ major utility equipment, which can be utilized to obtain a 347 zone partitioning [20]. On the other hand, in many distri-348 bution systems monitoring devices are only installed at the terminal nodes, as claimed in [21]. To handle zone selection 349 350 is such systems, we have provided an approximation method. Prior to discussing the method, we define passive and active 351 352 internal nodes: active internal nodes are the subset of network internal nodes with non-zero current injection. In contrast, pas-353 ³⁵⁴ sive internal nodes do not have any current injection. The basic 355 idea of this method is to utilize a part of measurement data of 356 observed terminal nodes to represent their nearest unobserved 357 passive internal nodes. The rationale behind this approxima-358 tion is that the voltage drop between passive internal nodes 359 internal nodes and the nearest terminal nodes can often be ³⁶⁰ ignored. Using this approximation, the proposed approach can develop a reasonable zone partitioning when only terminal 361 362 buses are metered. It should be noted that similar strategy ³⁶³ has been utilized in previous works for learning the topology of distribution systems [22]. 364

When the zone set is obtained, each branch in the system will belong to at least one zone, while at the same time, no two zones have the exact same set of branches. For example, branches of the zone Ψ_6 in Fig. 3, are also covered by zones Ψ_1, \ldots, Ψ_5 . As will be shown in Section IV, these inter-zonal ro intersections introduce a *redundancy*, which will be leveraged for enhancing the robustness of the outage detection process by blocking bad data samples and outliers. Furthermore, to specify the outage location considering the zonal intersections, a zone coordination method is proposed in Section III.

III. GAN-BASED ZONE MONITORING

375

In this paper, to quantify deviations from the measurement 376 377 data distribution in normal conditions caused by outage events, we have utilized a recently-invented non-parametric unsuper-378 vised learning approach, GAN [23]. One unique advantage 379 380 Of GAN is its ability to implicitly represent complex data distributions without constructing high-dimensional likelihood 381 382 functions, thus addressing the challenge of dimensionality. 383 Moreover, GAN does not assume a prior parametric struc-³⁸⁴ ture over the data distribution. This ensures the performance 385 of GAN for outage detection problem, since the utilities gen-386 erally do not have a prior knowledge of the exact structure 387 of data distribution in normal conditions. Meanwhile, since 388 model training is done using only the data from normal con-³⁸⁹ dition, our method is not vulnerable to the outage data scarcity ³⁹⁰ problem. When training is completed, a GAN-based anomaly ³⁹¹ score is assigned to real-time measurements to detect outage ³⁹² events inside the zone [14].

393 A. GAN Fundamentals and Training Process

For each zone, a GAN is trained to learn the joint distribusoftion of measured variables $X = \{\Delta V^t, P_n^t, P_{n+N}^t\}_{t=1}^T$ within a time-window with length T (see Fig. 1), where P_n^t and P_{n+N}^t are the nodal power consumption for the two observable nodes in the zone, and ΔV^t is the voltage difference between the two nodes at time t. The purpose of defining a time-window over the observable variables is to exploit tem- 400 poral relations between consecutive data samples in power 401 distribution systems for more effective anomaly detection. In 402 this paper, T is selected to be 3 hours based on calibration $_{403}$ results from the grid search method [24]. It should be noted 404 that the training procedure of GANs is an offline process; as a 405 result, the high computational cost of the grid search approach 406 does not impact the real-time performance of the proposed 407 method. The training set consists of the SM data history of the 408 variables defined in each zone, and is denoted as X_{Ψ_i} for zone 409 Ψ_i . To account for the strong seasonal changes in customers' 410 behavior that might mislead detecting the boundary between 411 normal and outage behavior [25], the dataset has been decom- 412 posed into separate seasons to train different GAN models for 413 each zone. Each dataset is randomly divided into three sep- 414 arate subsets for training (70% of the total data), validation 415 (15% of the total data), and testing (15% of the total data). 416

GAN relies on two interconnected DNNs, which are simultaneously trained via an adversarial process: a *generator*, *G*, ⁴¹⁸ and a *discriminator*, *D* [26], as shown in Fig. 4 (part A). ⁴¹⁹ The interaction between the two DNNs can be modeled as a ⁴²⁰ game-theoretic two-player nested minmax optimization [13]: ⁴²¹

$$\min_{\theta_G} \max_{\theta_D} V(D, G) = \mathbb{E}_{x_{\Psi_i} \sim p_{X_{\Psi_i}}(x_{\Psi_i})} [\log(D(x_{\Psi_i}))]$$
⁴²²

+
$$\mathbb{E}_{z \sim p_z(z)}[\log(1 - D(G(z)))]$$
 (4) 423

where, θ_G and θ_D are the learning parameters of G and D, 424 respectively. $p_{X_{\Psi_i}}$ is the underlying probability density function 425 of historical data obtained from the two observable nodes of 426 the zone. In each iteration, D is trained to maximize the prob- $_{427}$ ability of assigning the correct label to both training examples 428 and artificially generated samples from G. Thus, the output 429 of $D, 0 \leq D(x_{\Psi_i}) \leq 1$, represents the probability that x_{Ψ_i} is 430 from the training dataset rather than generated artificially by 431 G [13]. On the other hand, G is trained to generate artificial $_{432}$ samples that maximize the probability of the discriminator D_{433} mislabeling. The input of G is defined as $z \in \mathbb{R}^{d \times 1}$, which 434 is a noise signal with uniform distribution $p_z(z)$. In this case, 435 d = 4 showed the best performance on the validation set. 436 After a number of training iterations, G and D will reach 437 a unique global optima at which both cannot improve. This 438 means the generator can recover the underlying distribution of 439 the training data and the discriminator cannot distinguish the 440 true samples from the artificially generated samples [27]. The 441 training process takes place offline and the detailed procedure 442 is presented in Algorithm 1. In this paper, the hyperparameter 443 set of GAN is calibrated by using the random search algo- 444 rithm [28]; as a result, G consists of three components: an 445 input layer of 4 neurons, two hidden layers of 8 neurons, and 446 an output layer of 9 neurons. D also has three parts: an input 447 layer of 9 neurons, two hidden layers of 8 neurons, and an 448 output layer of 1 neuron. Moreover, $\{\alpha, m, n_D\}$ are selected as 449 0.01, 100, 1, respectively. To update θ_G and θ_D , a minibatch 450 stochastic gradient descent method is utilized [13]. 451

B. GAN-Based Anomaly Score Assignment

To detect potential outage events in each zone, a GAN-based 453 anomaly score is utilized to evaluate sequential measurements 454

Inverted

New AMI

Data

497



Fig. 4. GAN-based learning and testing structure.

Algorithm 1 GAN Training for Zone Ψ_i

Require: : Seasonal normal behavior data for zone Ψ_i

- **Require:** : Learning rate α , batch size *m*, number of iterations for *D* per *G* iteration n_D , initial learning parameters for *G* and *D*, θ_D and θ_G
- 1: while Nash equilibrium has not been achieved do
- 2: **for** $t = 0, ..., n_D$ **do**
- 3: Generate sample batch from the latent space z
- 4: $p_z \rightarrow \{(z_j)\}_{j=1}^m$
- 5: Obtain sample batch from the historical data
- 6: $p_{X_{\Psi_i}} \to \{x_{\Psi_i}(j)\}_{i=1}^m$
- 7: Update discriminator parameters using gradient descent with α based on the discriminator loss

8:
$$\delta_D = \frac{1}{m} \sum_{j=1}^{m} \left[-\log D(x_{\Psi_i}(j)) - \log(1 - D(G(z_i))) \right]$$

9:
$$\theta_D := \theta_D - \alpha * \nabla_{\theta_D} \delta_D$$

11: Update generator parameters using gradient descent with α

12:
$$\delta_G = \frac{1}{m} \sum_{j=1}^m \left[-\log D(G(z_j)) \right]$$

- 13: $\theta_G := \theta_G \alpha * \nabla_{\theta_G} \delta_G$
- 14: end while

⁴⁵⁵ of SMs online [14], as shown in Fig. 4 (part B). The anomaly ⁴⁵⁶ score consists of two error metrics: the residual error, $\delta_R(\cdot)$, ⁴⁵⁷ and the discriminator error, $\delta_D(\cdot)$. When a new data inquiry ⁴⁵⁸ $x_{new}^t \in \mathbb{R}^{3T \times 1}$ is obtained (at the *T* time slots), the residual ⁴⁵⁹ error describes the extent to which x_{new}^t follows the learned ⁴⁶⁰ distribution of the *G* model, in the best case [14]:

$$\delta_R(x_{new}^t) = \min_{z} |x_{new}^t - G(z)|$$

⁴⁶² After training, the generator, *G*, has learned an almost perfect ⁴⁶³ mapping from the latent space *z* to the zonal measurement ⁴⁶⁴ data distribution in normal conditions. Hence, if x_{new}^{t} is ⁴⁶⁵ obtained from normal conditions, its residual error value is ⁴⁶⁶ zero, $\delta_R(x_{new}^{t}) = 0$, since x_{new}^{t} and $G(z^*)$ are identical, where ⁴⁶⁷ z^* is the optimal solution to (5). To obtain z^* during test time, a ⁴⁶⁹ in this work. Thus, higher $\delta_R(x_{new}^{t})$ values represent deviations ⁴⁷⁰ from normal operation conditions, suggesting occurrence of ⁴⁷¹ outage event within the zone.

⁴⁷² The discriminator error, $\delta_D(x_{new}^t)$, is defined using the ⁴⁷³ trained discriminator, *D*, to measure how well $G(z^*)$ follows



Discriminator

Generator

 $D(G(z^*))$

Anomaly Score

Discrimination

Loss

÷



the learned data distribution by the G model. The discriminator 474 error can be written as [13]: 475

$$\delta_D(x_{new}^t) = -\log D(x_{new}^t) - \log(1 - D(G(z^*)))$$
(6) 470

The GAN-based anomaly score for zone Ψ_i is defined as the 477 weighted sum of both error metrics [14]:

$$\zeta_{\Psi_i}(x_{new}^t) = (1-\lambda) \cdot \delta_R(x_{new}^t) + \lambda \cdot \delta_D(x_{new}^t)$$
(7) 479

where, $0 \le \lambda \le 1$ is a user-defined weight factor, the value of 480 which is set at 0.1 in this paper, based on calibration results 481 over the validation set. To determine the critical threshold for 482 the anomaly score, above which new data points are identi- 483 fied as outage events, the GAN-based anomaly score, ζ_{Ψ_i} , is 484 obtained for all training data samples of zone Ψ_i . The sam- 485 ple mean, μ_{Ψ_i} , and the sample variance, σ_{Ψ_i} , of the anomaly 486 scores for the training data samples are calculated to deter- 487 mine the range of anomaly score in normal operations. When 488 outage occurs, the real-time measurement data samples are 489 expected to have anomaly scores above this range. We have 490 used a rolling window approach in this work. Hence, the test 491 point could use T-1 measurements before an outage, thus, 492 we can detect an outage within one-time interval. The length 493 of the time interval depends on the resolution of the smart 494 meter data. The details of anomaly identification process are 495 elaborated in the next section. 496

C. GAN-Based Zone Coordination

(5)

Using the trained GANs and GAN-based anomaly score 498 method, outage events can be detected in each zone by com- 499 paring the anomaly scores between the new inquiry samples 500 and the critical threshold. Considering that a GAN is trained 501 for each zone, a high anomaly score only gives a rough estima- 502 tion of event location by simply implying outage somewhere 503 in the zone. In other words, all branches in the zone are the 504 candidate event locations. Specifically, if we treat the whole 505 grid as a single zone (i.e., if only a single GAN is trained for 506 the whole grid), then a high anomaly score will only indicate 507 that an outage has occurred somewhere in the system with- 508 out any detailed location information. Since the granularity 509 of location information depends on the number of candidate 510 branches, it is necessary to reduce this number as much as 511 possible. To achieve this, we have presented a GAN-based 512 zone coordination method by integrating anomaly scores from 513 multiple zones, which includes the following steps: 514

- Stage I: Assign a GAN to each zone, $\Psi_i \in \Psi^g$ and use Algorithm 1 over the historical seasonal data of the two observable nodes of each zone to learn the joint distribution of the measurement data.
- Stage II: After training for each zone, Ψ_i , obtain the anomaly score for training samples in the zone; determine the anomaly score sample mean and sample variance, denoted as μ_{Ψ_i} and σ_{Ψ_i} , respectively.
- Stage III: At time T, observe the anomaly scores of all the zones in the set Ψ^g based on the latest real-time measurements.
- Stage IV: Select the first zone from the right side of the set Ψ^g that has an abnormal anomaly score value and denote it as Ψ_a . We will show that this zone contains the maximum information on the outage event in Section IV. In other words, $a = \arg \max_{\xi} \xi$, s.t. $\zeta_{\Psi_{\xi}} > \mu_{\Psi_{\xi}} + h \cdot \sigma_{\Psi_{\xi}}$, where, h is a user-defined threshold factor.
- *Stage V:* Output the set of candidate branches that are potential locations of outage event as $B_c = Z_{\Psi_a} \setminus \{Z_{\Psi_{a+1}} \cup Z_{\Psi_{a+2}} \cup \cdots \cup Z_{\Psi_a}\}$, where $A \setminus B$ represents the elements of
- set *A* that are not in set *B*. Further, $\{\Psi_{a+1}, \Psi_{a+2}, \dots, \Psi_{\omega}\}$

are the zones that have lower topology ordering than Ψ_a . 536 Based on the outcome of zone coordination, the DSO 537 538 can obtain the minimum branch candidates that are poten-539 tially impacted by the outage, thus maximizing the outage information. This process will help the repair crew to rapidly 540 nd the outage location. Note that given the unbalanced nature fiı 541 distribution networks, the proposed algorithm is applied to 542 543 each phase separately. Hence, the zone set needs to be obtained 544 for three phases. For the sake of conciseness we will continue 545 our discussions for one phase, keeping in mind that the same logic applies to the other phases as well. 546

In practice, the distribution system often undergoes recon-547 548 figuration, on-load tap changing, and capacitor switching, hich can strongly affect the actual data distribution. Thus, 549 W 550 the proposed outage detection method needs to be customized account for the effects of these events as well, as shown 551 Fig. 5. The basic idea is to integrate pre-trained GANs and in 552 fine-tuning strategy. Considering that the zone selection pro-553 cess and the training procedure of GANs are offline processes, 554 the utility can obtain the zone sets and the corresponding 555 556 GAN library in advance using historical data. When a capac-557 itor switching occurs and raises an anomaly score flag, the 558 existing GANs are treated as the pre-trained models which still maintain useful information. The new measurements from 559 560 the observable nodes are utilized to fine-tune these pre-trained GANs for adapting to the changes of the underlying data 561 562 distribution. The fine-tuning strategy can counter the over-⁵⁶³ fitting problem on small datasets, thus, reducing the data size 564 requirement [22].

IV. THEORETICAL PROPERTIES OF THE PROPOSED FRAMEWORK

⁵⁶⁷ In this section, we discuss the theoretical properties of the ⁵⁶⁸ proposed outage-detection framework. We will show that this ⁵⁶⁹ approach has three fundamental properties.



Fig. 5. Flowchart of the proposed method considering possibility of reconfiguration, on-load tap changing, and capacitor switching.

Framework Property 1–Valid Topological Ordering of the 570 Zones: The framework introduces a valid topological order 571 among the zones. This order can be leverage to simplify out- 572 age location in large-scale networks. A valid topological order 573 for any pair of zones is a relationship denoted as $\Psi_i \succ \Psi_i$, 574 indicating that Ψ_i has a higher topological order than Ψ_j . This 575 means that $Z_{\Psi_i} \not\subset Z_{\Psi_i}$; i.e., either all branches in Ψ_i are located 576 downstream of the branches of Ψ_i or the branches of Ψ_i and 577 Ψ_i do not share any common path starting from the network's 578 root node. Note that $\Psi^g = \{\Psi_1, \ldots, \Psi_w\}$ obtained from the 579 proposed BFS-based zone selection algorithm follows a valid 580 topological order, meaning that $\Psi_1 \succ \cdots \succ \Psi_w$. The reason 581 for this is that the proposed zone selection algorithm explores 582 all the immediate downstream nodes at each depth level with- 583 out backtracking in Stage II (Section II), prior to moving to 584 the next level. 585

To show this, note that when an outage event happens the 586 anomaly scores for a subset of zones in Ψ^g , will increase 587 above their normal range. Due to the radial structure of the 588 networks these zones will follow a relationship of the form 589 $Z_{\Psi_1} \supset Z_{\Psi_2} \supset \cdots \supset Z_{\Psi_{\nu_o}}$, with ν_O denoting the number 590 of the zones containing the faulted branch. Thus, the zones 591 within Ψ^g that are impacted by outage also follow a valid 592 topological order. At Stage IV (Section III), the proposed zone 593 coordination algorithm selects $\Psi_{\nu_0} \leftarrow \Psi_a$ (i.e., the zone with 594 the lowest topological order) as the zone that has the most 595 specific information on the location of outage among all the 596 impacted zones, since it contains the least number of candidate 597 branches. Hence, higher order zones on the same path with 598 abnormal anomaly scores, which are supersets of the selected 599 zone and have less information on outage location, are auto- 600 matically ignored. This eliminates the need for a burdensome 601 comprehensive search process. Finally, to infer the candidate 602



Fig. 6. Venn diagram for demonstrating proof of Theorem 1.

⁶⁰³ branches that are potentially the location of the outage event, 604 all the branches in the healthy zones with lower topological 605 orders than Ψ_{ν_0} have to be removed, as shown in Step IV 606 (Section II). This helps the operator to directly pick the small-607 est set of branches among thousands of candidate branches in 608 a large-scale network. For example, when outage occurs in any branches within Ψ_6 in Fig. 3, the DSO can ignore the anomaly 609 scores of zones that have a higher topological ordering (i.e., 610 Ψ_1, \ldots, Ψ_5) to directly infer outage location as $\Psi_a \leftarrow \Psi_6$. 611 Framework Property 2-Maximum Outage Location 612 613 Information Extraction: The proposed algorithm is able to 614 obtain the optimal zone set as it (locally) maximizes the 615 amount of information on the location of outage events 616 in partially observable systems. To show this, first, we 617 leverage the concept of entropy to assess the amount 618 of outage location information in Ψ^g . The set $\gamma^g(b_i)$ is 619 defined as $\gamma^g(b_j) = \{ \forall \Psi_i : b_j \in Z_{\Psi_i}, \Psi_i \in \Psi^g \}$. Hence, $\gamma^g(b_i)$ is the set of all zones in Ψ^g that include b_i . Based 620 621 on this definition, for each Ψ^g , a set of *undetectable* 622 branch sets is defined as $U(\Psi^g) = \{u_1, \ldots, u_V\}$, where 623 $u_k = \{b_{k_1}, \ldots, b_{k_n}: \forall b_{k_i}, b_{k_i}, \gamma^g(b_{k_i}) = \gamma^g(b_{k_i})\}$. Thus, u_k 624 defines a set of branches that are covered with the exact 625 same set of zones and cannot be distinguished from each other in terms of outage event location. Given the set $U(\Psi^g)$ 627 the outage location information can be measured using the 628 concept of *entropy*, as follows [29]:

629 $H(U(\Psi^g)) = -\sum_{i=1}^{V} \frac{|u_i|}{M} \log \frac{|u_i|}{M}$

 $H(U(\Psi^g)) = -\sum_{i=1}^{n} \frac{|M|}{M} \log \frac{|M|}{M}$

(8)

630 where $|u_i|$ is the cardinality of the set u_i . The higher entropy value implies a higher number of distinguishable branches, and 631 632 consequently, more information on outage location. The theo-⁶³³ retical upper boundary for the entropy is log(M); this case 634 only happens when each u_k only includes a single branch and V = M (i.e., all branches are fully distinguishable and 635 $|u_i| = 1$). This indicates any individual branch is distinguish-636 637 able using two zones that intersect exactly at that branch. The 638 theoretical lower boundary value for the entropy is zero, which 639 implies that all the branches are covered by identical set of ⁶⁴⁰ zones (i.e., the branches are not distinguishable and $|u_i| = M$). 641 Based on this metric, the following theorem and proof are 642 obtained.

Theorem 1: For any partially observable network, the 643 proposed BFS-based zone selection algorithm maximizes the 644 outage detection entropy. 645

Proof: We will prove the local optimality of the selected 646 zone set, Ψ^g , by showing that any deviation from this set 647 results in a decline in outage detection information entropy. 648 Here, a deviation is defined as the addition or removal of 649 any one zone. First, consider the case of removing an arbi- 650 trary zone $\Psi_i \in \Psi^g$, and without loss of generality assume 651 that $\Psi_{i-1} \in \Psi^g$ and $\Psi_{j+1} \in \Psi^g$ are the smallest and largest 652 zones, respectively, where $\Psi_{j-1} \supset \Psi_j \supset \Psi_{j+1}$ holds. As is 653 demonstrated in Fig. 6, all the branches that are covered by 654 Ψ_{i-1}, Ψ_i and Ψ_{i+1} are partitioned into three branch sets that 655 belong to the set $U: u_{l-1} = Z_{\Psi_{j-1}} \setminus Z_{\Psi_j}, u_l = Z_{\Psi_j} \setminus Z_{\Psi_{j+1}}$, 656 and $u_{l+1} = Z_{\Psi_{l+1}}$. Based on the proposed GAN-based zone 657 coordination algorithm, the status of u_{l-1} can be determined 658 by comparing the anomaly scores of Ψ_{j-1} and Ψ_j . The sta- 659 tus of u_l and u_{l+1} are determined by the anomaly scores of 660 Ψ_i and Ψ_{i+1} . Note that all these three sets are distinguishable 661 from each other in outage detection. When Ψ_i is removed, u_l 662 will be eliminated from $U(\Psi^g)$. The new branch partition is 663 reduced to two sets $u_{l-1} \leftarrow Z_{\Psi_{j-1}} \setminus Z_{\Psi_{j+1}}$ and $u_{l+1} = Z_{\Psi_{j+1}}$. 664 This means that the status of u_l cannot be determined any- 665 more (i.e., u_l is merged into u_{l-1}). In other words, Ψ_i is the one 666 zone that enables discrimination between branches u_l and u_{l-1} . 667 Mathematically, this leads to a decrease in entropy, $H(U(\Psi^g))$; 668 the decline in entropy equals $\frac{1}{M}\log \frac{(|u_{l-1}|+|u_l|)|u_{l-1}|+|u_l|}{|u_{l-1}|^{|u_{l-1}|}|u_l|^{|u_l|}}$. This 669 decrease shows that removal of any zone in Ψ^g will reduce 670 the amount of outage location information. Now consider the 671 case of adding a zone to Ψ^g : assume that the newly added 672 zone, Ψ_j , is defined by two observable nodes $S_{o1} \in S_g$ and 673 $S_{o2} \in S_g$; however, the proposed algorithm has already uti- 674 lized all the observable nodes in S_g as S_{o1} , shown in Step II 675 (Section II); this means that there is at least one zone in Ψ^{g} 676 that is identical to Ψ_i . Hence, adding a zone to the set Ψ^g will 677 not change $U(\Psi^g)$ and the entropy remains unchanged. 678

Framework Property 3–Robustness Against Bad Data 679 Samples: Bad AMI data samples could generate high anomaly 680 scores, which can lead to misclassification of bad data as out- 681 age event. Hence, it is essential to block these data samples 682 from the outage detection algorithm. To do this, we have inte- 683 grated a bad data detection mechanism into the algorithm by 684 taking advantage of existing redundancy of the zones in Ψ^g . 685 The basic idea is that since bad measurement data are not actually generated by outage events, it is highly unlikely to cause 687 deviations in anomaly scores assigned to several intersecting 688 zones at the same time, given that intersecting zones do not 689 share the data from the same measurement devices. To intro- 690 duce robustness against bad data, a set of redundant zones is 691 selected for Ψ_a , Stage IV (Section III). This set consists of the 692 zones with lower topological order than Ψ_a , and is denoted as 693 $\Psi^R = \{\Psi_{r_1}, \ldots, \Psi_{r_n}\}, \text{ where } \Psi_a \subset \Psi_{r_i}, \ \forall \Psi_{r_i} \in \Psi^R. \text{ If } \exists \Psi_{r_i} \ {}^{694}$ such that $\zeta_{\Psi_{r_i}} \leq \mu_{\Psi_{r_i}} + h \cdot \sigma_{\Psi_{r_i}}$ then the outage in Ψ_a is 695 dismissed as bad data. The number of redundant zones $|\Psi^R|_{696}$ depends on the desired reliability of the algorithm against bad 697 data. If the probability of receiving an anomaly due to bad 698 data for each zone is η , then the probability of misclassifying 699 a case of bad data as outage decreases with $\eta^{|\Psi^R|}$. 700



Fig. 7. 164-node feeder topology.

701

V. NUMERICAL RESULTS

The proposed outage detection method is tested on a real 702 ⁷⁰³ distribution feeder with corresponding 3-year hourly SM data. To provide convincing results, the most complex real dis-704 705 tribution feeders is selected from our dataset. The topology this network is shown in Fig. 7. This feeder consists of of 706 164 nodes and around 800 customers [17]. Six observable 707 708 nodes are assumed in this feeder (node 8, node 22, node 31, node 83, node 109, and node 158), where five zones 709 710 are defined based on these nodes. These zones are denoted 711 $\{\Psi_1, \ldots, \Psi_5\}$ and include branches downstream of node 8, 712 node 22, node 31, node 83, and node 109, respectively. Note 713 that $\Psi_1 \succ \Psi_2 \succ \cdots \succ \Psi_5$.

714 A. Performance of GAN Model

To validate the performance of GAN training process, we 715 716 calculate the loss values of G and D that can be leveraged to 717 verify if the model has the model has converged to the Nash 718 equilibrium or not. The loss values are calculated based on the 719 objective function of GAN. In the training process, G is trained 720 to maximize log(D(G(z))) and D is trained to maximize the probability of assigning the correct label to both training exam-721 ⁷²² ples and samples from G, $-log(D(x_{\Psi_i})) - log(1 - D(G(z)))$. 723 According to the theoretical analysis in [13], when the Jensen-724 Shannon divergence between the G model's distribution and ⁷²⁵ the data distribution is zero, D(G(z)) and $D(x_{\Psi_i})$ should converge to 1/2, which indicates that the loss values of G and 726 should converge to $2\log(2)$ and $\log(\frac{1}{2})$ at the equilibrium, 727 D spectively. This has been confirmed in Fig. 8. After a num-728 re 729 ber of training iterations, both D and G losses converge to 730 the desired values and these indicate that the GAN has been trained successfully and the underlying joint data distribution 731 in normal condition has been learned. 732

The case study is conducted on a standard PC with an Intel Xeon CPU running at 3.70 GHz and with 32.0 GB of RAM. The average computational time for training each GAN over the available SM dataset is around 840 seconds. It should be



Fig. 8. Training result for a GAN model.

noted that multiple GANs can be trained independently and in 737 parallel with each other, which can reduce the adaptation time 738 after system reconfiguration and capacitor switching. Since the 739 training procedure is offline this parallel training method can 740 be conveniently scaled to large distribution systems. 741

B. Performance of Outage Detection

742

The performance of the GAN-based outage detection 743 method is tested for different outage cases. The outage event 744 is located between node 142 and node 164, as shown in Fig. 7; 745 three outage events are simulated with three different out-746 age magnitudes to evaluate the performance of the proposed 747 method. The first case is designed as a small-size event where 748 around 20 customers are disconnected (with 40kW aggregate 749 average hourly demand). The second case is designed to rep- 750 resent a middle-size event, where around 50 customers are 751 impacted (with 100kW aggregate average hourly demand). The 752 third case is a large-size event, with around 80 customers 753 (with 150kW aggregate average hourly demand). For each 754 case. GAN models are trained using the historical SM data 755 of the five zones. These three outage cases where simulated 756 in OpenDSS using our real datasets, in which voltage drop 757 was calculated according to simulation outcomes. Meanwhile, 758 to represent standard measurement deviations, error samples 759 were generated from a normal distribution with zero mean and 760 1% variance and added to the voltage values obtained from 761 the simulator [30]. Fig. 9 presents the histogram of anomaly 762 score for one zone under normal and outage conditions. The 763 mean values of ζ are 1.263 and 1.33 in the normal and outage 764 conditions with variance values 7.7×10^{-5} and 2.7×10^{-4} , 765 respectively. Based on Fig. 9, the difference between anomaly 766 score under normal and outage conditions is large enough 767 to enable DSOs to distinguish these conditions. Meanwhile, 768 Fig. 10 presents the consistency of anomaly score for train-769 ing and test sets when the system is in normal conditions. 770 However, when the outage event takes place in the zone, the 771 real-time anomaly score reaches considerably higher values. 772

It is critical to show that an outage event *outside* a zone 773 will not lead to abnormal anomaly scores for that zone. 774 Fig. 7 shows the distribution of anomaly score changes for 775 one zone, when the outages of different magnitudes happen 776 outside the zone. Hence, this figure depicts the histogram of 777



Fig. 9. Anomaly score histogram under the normal and outage conditions.



Fig. 10. Anomaly score of the training set, with respect to the normal/outage test set.



⁷⁷⁸ $\Delta \zeta = \zeta_n - \zeta_{out}$, where ζ_n is the anomaly score obtained in nor-

⁷⁷⁹ mal conditions and ζ_{out} is the anomaly score obtained when ⁷⁸⁰ the outage happens outside the zone. As can be observed, ⁷⁸¹ the anomaly score assigned to the zone does not change and ⁷⁸² remains almost constant for these outside-zone outages, which ⁷⁸³ indicates that the anomaly score can be relied upon to correctly ⁷⁸⁴ distinguish the outages inside and outside the zone.

To evaluate the quality of outage detection performance of the proposed method for a multi-zone network, several statistical metrics are applied, such as accuracy (Accu), precision (Prec), recall, and F_1 score [31]. The values of these indexes are presented in Table I for the three outage cases and diffrom ferent zones. Based on the results, we can conclude that

TABLE I OUTAGE DETECTION QUALITY ANALYSIS

Zone	Case	Accu	Recall	Prec	F_1
	case 1	0.752	0.645	0.8206	0.7223
Ψ_1	case 2	0.913	0.967	0.8727	0.9175
	case 3	0.928	0.9970	0.8761	0.9326
	case 1	0.8355	0.784	0.874	0.8266
Ψ_2	case 2	0.9435	1	0.8985	0.9465
	case 1 case 2 case 3 case 3 case 1 consection case 3 consection case 4 consection case 5 consection case 1 consection case 2 consection case 3 consection case 4 consection case 5 consection case 6 consection case 7 consection consection <t< td=""><td>0.9435</td><td>1</td><td>0.8985</td><td>0.9465</td></t<>	0.9435	1	0.8985	0.9465
	case 1	0.673	0.506	0.7685	0.6074
Ψ_3	case 2	0.912	0.984	0.8601	0.9179
	case 3	0.914	0.988	0.8606	0.9199
	case 1	0.9225	0.884	0.964	0.9223
Ψ_4	case 2	0.953	0.939	0.966	0.9523
	case 3	0.981	0.995	0.968	0.9813
	case 1	0.834	0.738	0.9134	0.8164
Ψ_5	case 2	0.9605	0.991	0.934	0.9617
	case 3	0.965	1	0.9346	0.9662
1	-		1 1	1 1	1
9-		(The second s			
8-		Ť			
7-		Knee	e Point		

0.4 387 432 495 558 648 777 972 1296 1944 3888 38880 Training Data Size (Sample)

0.5

Fig. 12. Sensitivity of outage detection accuracy to the size of training set.

the performance of the proposed outage detection method ⁷⁹¹ improves as the event size increases, due to higher levels of ⁷⁹² deviation from normal joint measurement data distribution. For ⁷⁹³ medium and large outage cases, all indexes reach values over ⁷⁹⁴ 0.9. Moreover, to represent the sensitivity of the outage inference accuracy to the duration of training data, we have tested ⁷⁹⁶ the average performance of the GAN under various sizes of ⁷⁹⁷ training dataset as shown in Fig. 12. As is demonstrated in ⁷⁹⁸ the figure, the performance of the GAN can reach acceptable ⁷⁹⁹ detection accuracy with a small training set (around 700 data ⁸⁰⁰ samples, which translates to around 3 days of data). ⁸⁰¹

To prove the performance of our method, we have conducted ⁸⁰² one more test with more smart meters, and hence finer zones. ⁸⁰³ In this case, 33 observable nodes are assumed in the feeder ⁸⁰⁴ (node 8, 9, 12, 18, 21, 22, 26, 29, 31, 35, 39, 41, 43, 48, 53, 73, ⁸⁰⁵ 75, 83, 85, 90, 93, 95, 99, 106, 108, 109, 110, 114, 125, 129, ⁸⁰⁶ 134, 141, 158), where 19 zones are defined based on these ⁸⁰⁷ nodes. These zones are denoted as { $\Psi_1, \ldots, \Psi_{19}$ } using the ⁸⁰⁸ proposed zone selection method. The values of the statistical ⁸⁰⁹ indexes are presented in Table II. Based on this table, most of ⁸¹⁰ the statistical indexes are above 0.9, which corroborates good ⁸¹¹ detection performance. When the outage does not occur in the ⁸¹² zones, the accuracy of these zones remains stable and high. In ⁸¹³ general, the proposed method can handle distribution systems ⁸¹⁴

Zone	Case	Accu	Recall	Prec	F_1	Zone	Case	Accu	Recall	Prec	F_1
	case 1	0.752	0.645	0.8206	0.7223		case 1	0.9225	0.884	0.964	0.9223
Ψ_1	case 2	0.913	0.967	0.8727	0.9175	Ψ_{11}	case 2	0.953	0.939	0.966	0.9523
	case 3	0.928	0.997	0.8761	0.9326		case 3	0.981	0.995	0.968	0.9813
	case 1	0.9495	0.955	0.9446	0.9498		case 1	0.94	0.94	0.94	0.94
Ψ_2	case 2	0.95	0.956	0.944	0.951	Ψ_{12}	case 2	0.94	0.94	0.94	0.94
	case 3	0.951	0.958	0.9447	0.951		case 3	0.9405	0.941	0.9401	0.9405
	case 1	0.922	0.929	0.916	0.923		case 1	0.96	0.96	0.96	0.96
Ψ_3	case 2	0.9225	0.93	0.9163	0.9231	Ψ_{13}	case 2	0.961	0.962	0.9601	0.961
	case 3	0.9175	0.92	0.9154	0.9177		case 3	0.958	0.956	0.9598	0.9579
	case 1	0.8355	0.784	0.874	0.8266		case 1	0.9625	0.962	0.963	0.9625
Ψ_4	case 2	0.9435	1	0.8985	0.9465	Ψ_{14}	case 2	0.962	0.961	0.9629	0.962
	case 3	0.9435	1	0.8985	0.9465		case 3	0.9635	0.964	0.963	0.9635
	case 1	0.9335	0.932	0.9348	0.9334		case 1	0.945	0.946	0.9441	0.945
Ψ_5	case 2	0.9315	0.928	0.9345	0.931	Ψ_{15}	case 2	0.9455	0.947	0.9442	0.9450
	case 3	0.9365	0.938	0.9352	0.9366		case 3	0.946	0.948	0.9442	0.946
	case 1	0.973	0.972	0.9739	0.973		case 1	0.834	0.738	0.9134	0.8164
Ψ_6	case 2	0.975	0.977	0.974	0.975	Ψ_{16}	case 2	0.9605	0.991	0.934	0.961′
	case 3	0.976	0.978	0.947	0.976		case 3	0.965	1	0.9346	0.9662
	case 1	0.9455	0.94	0.9505	0.9452		case 1	0.929	0.93	0.9281	0.929
Ψ_7	case 2	0.945	0.94	0.95	0.945	Ψ_{17}	case 2	0.928	0.928	0.927	0.928
	case 3	0.9465	0.942	0.9506	0.9463		case 3	0.934	0.94	0.9289	0.934
	case 1	0.902	0.908	0.8981	0.903		case 1	0.976	0.972	0.9798	0.975
Ψ_8	case 2	0.9055	0.914	0.8987	0.9063	Ψ_{18}	case 2	0.977	0.974	0.979	0.9769
	case 3	0.9065	0.916	0.9	0.9074		case 3	0.9785	0.977	0.98	0.978
	case 1	0.673	0.506	0.7685	0.6074		case 1	0.9115	0.908	0.9144	0.9112
Ψ_9	case 2	0.912	0.984	0.8601	0.9179	Ψ_{19}	case 2	0.9165	0.918	0.9153	0.916
	case 3	0.914	0.988	0.8606	0.9199		case 3	0.9195	0.924	0.9158	0.92
	case 1	0.9295	0.929	0.93	0.929		case 1	0.9051	0.881	0.922	0.899
Ψ_{10}	case 2	0.9305	0.931	0.9301	0.9305	Mean	case 2	0.9406	0.952	0.932	0.941
	case 3	0.9296	0.93	0.93	0.9295	7	case 3	0.944	0.9575	0.931	0.945

 TABLE II

 Outage Detection Quality Analysis for 19-Zone Case

⁸¹⁵ with different number of smart meters distributed across the ⁸¹⁶ grid.

817 C. Method Adaption

To validate our fine-tuning strategy, we have conducted 818 819 additional numerical experiments as shown in Fig. 13. As 820 demonstrated in the figure, a capacitor switching is assumed to ⁸²¹ have occurred at 12:00 pm. Due to the change in the underly-⁸²² ing data distribution, the performance of the proposed method 823 decreases from around 97% to 76%. Here, instead of perform-⁸²⁴ ing Monte Carlo simulation based on a single set of demand 825 data, we have tested the model with one-month data (under 826 the capacitor switching) and calculated the average accuracy. 827 At the beginning of the fine-tuning process and immediately 828 after the switching event, the model accuracy drops to a low ⁸²⁹ level compared to the previous time-point (around 25%). This 830 is due to the extremely small size of the newly-acquired train-831 ing dataset and re-calculation of the critical threshold of the 832 anomaly score. Then, the average accuracy of the proposed ⁸³³ method clearly improves as the size of training data increases, which allows the model to be fine-tuned reliably. Around a day later, our method achieves similar accuracy levels as before capacitor switching, which means the proposed method has adapted to changes in system conditions. Compared to the results of Fig. 12, the data collection time can be reduced from 3 days to 1 day using our fine-tuning strategy.

D. Method Comparison

We have conducted numerical comparisons with a previous 841 support vector machine-based approach [6] to show that our 842 proposed method can achieve good outage detection accuracy 843 with smaller number of smart meters. To ensure a fair comparison between the two methods, the accuracies of both are 845 evaluated based on the same zone-level criteria. As is demonstrated in Fig. 14, for the three different outage cases, the 847 previous method [6] requires a much higher level of observability (i.e., almost 10 times more) to achieve similar detection 849 accuracy with our method. This indicates that our approach 850 can accurately detect outage events and is a suitable method in 851 most current distribution grids that have limited observability. 852



Fig. 13. The performance of the fine-tuning strategy under capacitor switching.



Fig. 14. Comparison results between [6] and the proposed method.

853

VI. CONCLUSION

In this paper, we have presented a new data-driven method 854 855 to detect and locate outage events in partially observable grids using SM measurements. The proposed GAN-based approach 856 is able to implicitly represent the distribution of data in normal 857 conditions and determine potential outage events online. The 858 859 developed multi-zone outage detection mechanism is based on an unsupervised learning approach, which can address sev-860 eral challenges in outage detection: 1) the poor observability 861 862 of system caused by the limited number of SMs. 2) data ⁸⁶³ imbalance problem caused by outage data scarcity. 3) the ⁸⁶⁴ high-dimensionality of the data caused by the temporal-spatial ⁸⁶⁵ relationship. Meanwhile, our proposed robust BFS-based zone see selection and ordering mechanism is guaranteed to capture the 867 maximum amount of information on outage location for any ⁸⁶⁸ given partially observable system. This method is validated on 869 a real utility feeder using real SM data.

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